AUTHORISED INVESTMENT FUND MANAGERS (“IFMs”) – TYPE(S) OF REQUESTED CHANGE(S)

|  |  |
| --- | --- |
| Name of the IFM |       |
| NoSig of the IFM (Format S000000 and/or A000000) |       |

1. **REQUEST FOR ADDITIONAL INVESTMENT STRATEGY(IES)**

Please select the additional investment strategy (-ies)

|  |  |
| --- | --- |
| Hedge Fund |  |
| Private Equity |  |
| Real Estate |  |
| Fund of Funds |  |
| Other – Commodity fund |  |
| Other – Equity fund |  |
| Other – Fixed income fund |  |
| Other – Infrastructure fund |  |
| Other – Other fund |  |

1. **OTHER ADDITIONAL REQUESTED AUTHORISATION(S)**

Select any additional authorisation(s) you are requesting

|  |  |
| --- | --- |
| Authorisation request with respect to the activity of UCI administration pursuant to Annex II of the Law of 17 December 2010 and/or pursuant to Annex I of the Law of 12 July 2013 |  |
| Authorisation request with respect to one or more MiFID services as defined in Article 101(3) of the Law of 17 December 2010 and/or as defined in Article 5(4) of the Law of 12 July 2013 |  |

1. **REQUEST FOR THE ACQUISITION OF A HOLDING IN AN IFM**

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| --- | --- |
| Authorisation request for the acquisition of a qualifying holding in an IFM, as defined in Article 108 of the Law of 17 December 2010 and/or as defined in Article 9 (1) of the Law of 12 July 2013, respectively in the context of a change of reference shareholder(s), as defined in Articles 125-1 (2) (d) and 125-1 (4) of the Law of 17 December 2010 |  |

1. **REQUEST FOR THE ESTABLISHMENT OF BRANCH(ES)**

If you are also requesting to establish branch(-es) abroad, please indicate:

|  |  |
| --- | --- |
| Number of branches you intend to established abroad |  |