BRANCH PASSPORT NOTIFICATION FORM
CHANGE IN BRANCH PARTICULARS NOTIFICATION FORM

Where credit institutions notify changes in branch particular notifications to competent authorities of home and host Member States, credit institutions shall only complete the parts of the form which contain information that has changed.

# Contact information

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| Type of notification | [ ]  Initial branch passport notification [ ]  Notification of change in branch particulars notification |
| Host Member State in which the branch is to established |       |
| Name and national reference code of the credit institution as available in the credit institution register maintained by the European Banking Authority (EBA) |       |
| LEI of the credit institution |       |
| Address of the credit institution in the host Member State from which documents may be obtained |       |
| Intended principal place of business of the branch in the Host Member State |       |
| Date on which the branch intends to commence its activities |       |
| Name of contact person at the branch |       |
| Telephone number |       |
| E-mail |       |

# Programme of operations

## Types of business envisaged

### Description of the main objectives and business strategy of the branch and an explanation of how the branch will contribute to the strategy of the institution and, where applicable, of its group.

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### Description of the target customers and counterparties.

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### List of the activities referred to in Annex I to Directive 2013/36/EU that the credit institution intends to carry out in the host Member State with the indication of the activities that will constitute the core business in the host Member State, including the intended start date for each activity (as accurate as possible).

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| **N°** | Activity | Activities that the credit institution intends to start carrying out (S) or to cease carrying out (C)[[1]](#footnote-1) | Activities that will constitute the core business | Intended start date for each core activity |
| 1. | Taking deposits and other repayable funds |       | [ ]  |       |
| 2. | Lending including, inter alia: consumer credit, credit agreements relating to immovable property, factoring, with or without recourse, financing of commercial transactions (including forfeiting) |       | [ ]  |       |
| 3. | Financial leasing |       | [ ]  |       |
| 4. | Payment services as defined in Article 4, point (3) of Directive (EU) 2015/2366 of the European Parliament and of the Council[[2]](#footnote-2) |       | [ ]  |       |
| 4a. | Services enabling cash to be placed on a payment account and all the operations required for operating a payment account |       | [ ]  |       |
| 4b. | Services enabling cash withdrawals from a payment account and all the operations required for operating a payment account |       | [ ]  |       |
| 4c. | Execution of payment transactions, including transfers of funds on a payment account with the user’s payment service provider or with another payment service provider:* execution of direct debits, including one- off direct debits
* execution of payment transactions through a payment card or a similar device
* execution of credit transfers, including standing orders
 |       | [ ] [ ] [ ]  |       |
| 4d. | Execution of payment transactions where the funds are covered by a credit line for a payment service user:* execution of direct debits, including one- off direct debits
* execution of payment transactions through a payment card or a similar device
* execution of credit transfers, including standing orders

Does the activity referred to in point 4d include the granting of credits in accordance with Article 18(4) of Directive (EU) 2015/2366? [ ]  yes [ ]  no |       | [ ] [ ] [ ]  |       |
| 4e. | * Issuing of payment instruments
* Acquiring of payment transactions

Does the activity referred to in point 4e include the granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366? [ ]  yes [ ]  no |       | [ ] [ ]  |       |
| 4f. | Money remittance |       | [ ]  |       |
| 4g. | Payment initiation services |       | [ ]  |       |
| 4h. | Account information services |       | [ ]  |       |
| 5. | Issuing and administering other means of payment (e.g. travellers’ cheques and bankers’ drafts) insofar as such activity is not covered by point 4. |       | [ ]  |       |
| 6. | Guarantees and commitments |       | [ ]  |       |
| 7. | Trading for own account or for account of customers in any of the following: |       | [ ]  |       |
| 7a. | * Money market instruments (e.g. cheques, bills, certificates of deposits)
 |       | [ ]  |       |
| 7b. | * Foreign exchange
 |       | [ ]  |       |
| 7c. | * Financial futures and options
 |       | [ ]  |       |
| 7d. | * Exchange and interest-rate instruments
 |       | [ ]  |       |
| 7e. | * Transferable securities
 |       | [ ]  |       |
| 8. | Participation in securities issues and the provision of services related to such issues |       | [ ]  |       |
| 9. | Advice to undertakings on capital structure, industrial strategy, and related questions and advice as well as services relating to mergers and the purchase of undertakings |       | [ ]  |       |
| 10. | Money broking |       | [ ]  |       |
| 11. | Portfolio management and advice |       | [ ]  |       |
| 12. | Safekeeping and administration of securities |       | [ ]  |       |
| 13. | Credit reference services |       | [ ]  |       |
| 14. | Safe custody services |       | [ ]  |       |
| 15. | Issuing electronic money |       | [ ]  |       |

### List of the services and activities that the credit institution intends to carry out in the host Member State, and which are provided for in Sections A and B of Annex I to Directive 2014/65/EU of the European Parliament and of the Council[[3]](#footnote-3) , when referring to the financial instruments provided for in Section C of Annex I of that Directive.

*Row and column headings are references to the relevant section and item numbers in Annex I to Directive 2014/65/EU (e.g. A1 refers to point 1 of Section A of Annex I).*

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| **Financial instruments** | **Investment services and activities** | **Ancillary services** |
|  | **A1** | **A2** | **A3** | **A4** | **A5** | **A6** | **A7** | **A8** | **A9** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** |
| **C1** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C2** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C3** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C4** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C5** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C6** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C7** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C8** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C9** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C10** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]
| **C11** |[ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]

## Structural organisation of the branch

### Description of the organisational structure of the branch, including functional and legal reporting lines and the position and role of the branch within the corporate structure of the institution and, where applicable, of its group.

The description can be supported by relevant documents, such as an organisational chart.

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### Description of the governance arrangements and internal control mechanisms of the branch, including the following information:

#### Risk management procedures of the branch and details of liquidity risk management of the institution, and where applicable, of its group.

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#### Any limits that apply to the activities of the branch, in particular to its lending activities.

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#### Details of the internal audit arrangements of the branch, including details of the person responsible for these arrangements and, where applicable, details of the external auditor.

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#### Anti-money laundering arrangements of the branch including details of the person appointed to ensure compliance with these arrangements.

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#### Controls over outsourcing and other arrangements with third parties in connection with the activities carried out in the branch that are covered by the institution’s authorisation.

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### Where the branch is expected to carry out one or more of the investment services and activities defined in Article 4(1), point (2), of Directive 2014/65/EU, a description of the following arrangements:

#### Arrangements for safeguarding client money and assets.

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#### Arrangements for compliance with the obligations laid down in Articles 24, 25, 27 and 28 of Directive 2014/65/EU and measures adopted pursuant thereto by the relevant competent authorities of the host Member State.

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#### Internal code of conduct including controls over personal account dealing.

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#### Details of the person responsible for dealing with complaints in relation to the investment services and activities of the branch.

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#### Details of the person appointed to ensure compliance with the arrangements of the branch relating to investment services and activities.

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### Details of professional experience of the persons responsible for the management of the branch.

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## Other information

### Financial plan containing forecasts for balance sheet and profit and loss account, covering a period of three years comprising the underlying assumptions.

This information can be provided as an attachment to the notification.

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### Name and contact details of the Union deposit guarantee and investor protection schemes in the Member State of which the institution is a member and which cover the activities and services of the branch, together with the maximum coverage of the investor protection scheme.

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### Details of the branch’s IT arrangements.

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SSM Privacy Statement

In compliance with [Regulation (EU) 2018/1725)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32018R1725), this privacy statement informs the data subjects of how the ECB collects and processes personal data in the context of prudential supervision under the Single Supervisory Mechanism in line with [the Council Regulation (EU) No 1024/2013](https://eur-lex.europa.eu/legal-content/EN/TXT/?&uri=CELEX:32013R1024) and [Regulation (EU) No 468/2014](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32014R0468&qid=1608283343733).

Direct Link to the SSM Privacy Statement:

[Privacy statement for the processing of personal data related to prudential supervision under the Single Supervisory Mechanism (europa.eu)](https://www.bankingsupervision.europa.eu/home/data-protection/privacy-statements/html/ssm.privacy_statement_prudential_supervision.en.html)

Please Confirm by Ticking Both Boxes:

[ ]  *I hereby declare that I have informed all data subjects whose personal data are incorporated to the form that they are assessed as part of this procedure and, as a result, their data are being processed in the terms of the SSM privacy statement.*

[ ]  *I have read the SSM privacy statement*

1. Please fill in with “S” in case the credit institution intends to start to carry out the activity or with “C” in cas the credit institution intends to cease carrying out the activity. [↑](#footnote-ref-1)
2. Directive (EU) 2015/2366 of the European Parliament and of the Council of 25 November 2015 on payment services in the internal market (OJ L 337, 23.12.2015, p. 35). [↑](#footnote-ref-2)
3. Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU (OJ L 173, 12.6.2014, p. 349). [↑](#footnote-ref-3)