

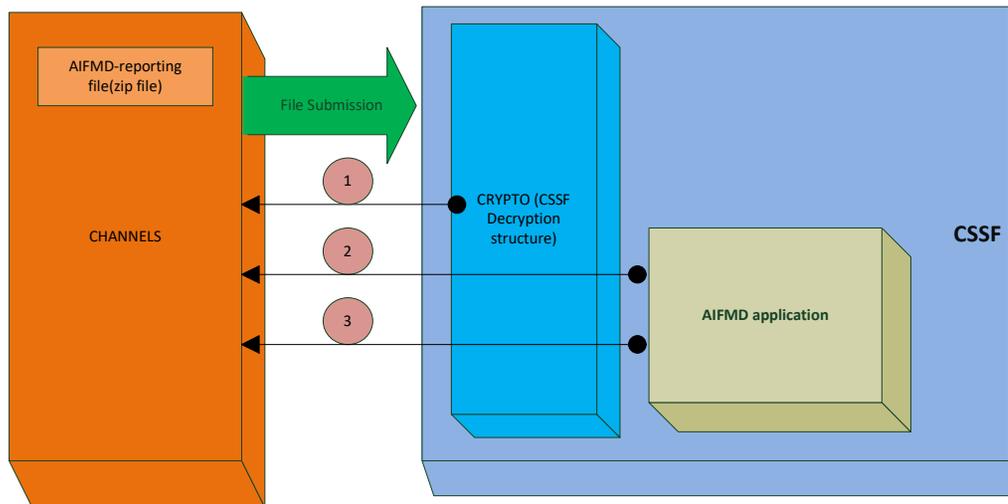
AIFMD REPORTING COMMUNICATION WITH THE CHANNELS DESCRIPTION OF THE CSSF CONTROLS AND DESCRIPTION OF THE FEEDBACK FILES

Objectives of this document

This document describes the controls that the CSSF applies to the AIFMD reporting files that AIFMs are required to submit to the CSSF upon the basis of articles 3 (3)(d), 24 (1), (2) and (4) of the AIFMD Regulation 231/2013. Guidance on different aspects can be found in the ESMA guidelines and in the CSSF FAQ. Technical details and naming conventions are described in the CSSF circular 14/581.

The document also gives an overview of the different feedback files that the CSSF generates upon receipt of the AIFMD reporting files.

Illustration of data and feedback flow



Feedbackfiles:

- 1 Step 1: Automatic FBR-files generated by "CRYPTO"
- 2 Step 2: Automatic FDB-files generated by the CSSF reporting "AIFMD application"
- 3 Step 3: Manually generated FBH-files

Only after receiving a positive feedback file (without error messages), it will be proceeded to the next step. Everytime the sender gets back a feedback file with one or more error messages in relation to one of the these three steps, the file has to be amended and resent with a different timestamp.

The next sheet describes these three steps for the two types of report.

Step 1 : Automatic FBR-feedback file after treatment by the CSSF decryption infrastructure

The CSSF decryption infrastructure automatically sends back a feedback file respecting the naming convention as described in the CSSF circular 14/581:

For an AIFM reporting file: AIFFBR-EI1111111-ANNNNNNNN-FMMMMMMMM-CCCCCCC-MAN-YYYYMMDDHHMMSS.ext

For an AIF reporting file: AIFFBR-EI1111111-ANNNNNNNN-FMMMMMMMM-CCCCCCC-AIF-YYYYMMDDHHMMSS.ext

i.e. the sender is automatically informed on the status of the submitted reporting file by an AIFFBR-file.

[Please note that the generation and description of this FBR-file is exactly the same as for every other electronic reporting submitted by the CSSF.](#)

If the sender does not receive the above mentioned FBR-file, the sender should contact the CSSF by using exclusively the e-mail address aifm@cssf.lu.

Only after a successful decryption of step 1, the file will be treated by the CSSF reporting AIFMD application, i.e. only after a positive completion of step 1, it will be proceeded to step 2.

Step 2 : Automatic CSSF and ESMA controls (FDB-feedback files)

The different CSSF and ESMA controls that are applied to the AIFM and the AIF reporting file are described hereafter.

- [First, all the controls applied to an AIFM reporting file.](#)

- [Second, all the controls applied to an AIF reporting file.](#)

All deactivated controls are shown in grey. If your report contains only a deactivated control, you can resend the report without modifications but with a modified timestamp.

Important: If the reporting file contains one or more of above mentioned errors, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The reporting file has to be amended and resubmitted.

The CSSF automatically sends back FDB-feedback files respecting the naming convention as described in the CSSF circular 14/581:

For an AIFM reporting file: AIFFDB-EI1111111-ANNNNNNNN-FMMMMMMMM-CCCCCCC-MAN-YYYYMMDDHHMMSS.ext

For an AIF reporting file: AIFFDB-EI1111111-ANNNNNNNN-FMMMMMMMM-CCCCCCC-AIF-YYYYMMDDHHMMSS.ext

Only after a successful completion of step 2, i.e. only after a positive FDB-feedback file, the CSSF will proceed to step 3.

Step 3 : Controls on the financial content of the AIFM-reporting file

The validation of these controls is performed manually. After these controls have been executed a FBH-feedback file is generated and the results are returned back to the sender. The naming convention of this feedback file is as follows:

For an AIFM reporting file: AIFFBH-EI1111111-ANNNNNNNN-FMMMMMMMM-CCCCCCC-MAN-YYYYMMDDHHMMSS.ext

For an AIF reporting file: AIFFBH-EI1111111-ANNNNNNNN-FMMMMMMMM-CCCCCCC-AIF-YYYYMMDDHHMMSS.ext

Error	Error message	XML TAG	XML PATH	M/C/O/F	Control Description	Error
1 CSSF controls that apply to the format of the zip-file						
IMPORTANT - If the AIFM-reporting file contains one of the described errors, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The AIFM-reporting file has to be amended and resubmitted.						
ERR-009	The zip file contains more than one XML file which is not accepted as set out in the circular CSSF 14/581.				The zip-file must contain only one XML file.	ERR-009
FOR-000	Invalid or corrupt zip-file.				It must be a valid zip-file that can be unzipped.	FOR-000
FOR-002	The report does not contain an XML file.				The report must contain an XML file.	FOR-002
2 CSSF controls that apply to the naming convention of the XML-file						
IMPORTANT - If the AIFM-reporting file contains one of the described errors, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The AIFM-reporting file has to be amended and resubmitted.						
ERR-001	The AIFM does not exist in the CSSF register.				The AIFM has to exist in the CSSF register. Before sending any AIFM-reporting files to the CSSF, the AIFM should check, if its AIFM identifier ("ANNNNNNNN", where A is a fix letter and NNNNNNNN a number composed by eight digits) can be found in the following AIFM/AIF identifier list https://www.cssf.lu/en/document/identifiers-of-aifms/ . If the identifier cannot be located in this zip-file and the AIFM has already been authorised or registered with the CSSF, the AIFMs should contact the CSSF by using exclusively the e-mail address aifm@cssf.lu .	ERR-001
ERR-002	The link between the sender and the AIFM does not exist in the CSSF register.				Before sending any AIFM-reporting file to the CSSF, the AIFM should inform the CSSF, if the company is the technical sender of the AIFM-reporting to the CSSF or if it delegates the submission to a third party. In this context, the CSSF has requested AIFMs in its press release 15/04 to submit this information exclusively to the e-mail address aifm@cssf.lu in the case the information has not already been submitted during the registration of their certificate with the CSSF.	ERR-002
ERR-003	A reporting file with the same filename has already been sent. If you want to change the existing data, please submit a new file with a different filename and take care to fulfill all other requirements for amending already submitted data.				The AIFM-reporting file must not already been sent with exactly the same naming convention with exactly the same timestamp.	ERR-003
ERR-007	The filename of the zip-file and the filename of the XML-file are not identical.				The filename of the zip-file and the filename of the XML-file must be the same.	ERR-007
3 CSSF controls that apply to the format of the XML file						
IMPORTANT - If the AIFM-reporting file contains one of the described errors, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The AIFM-reporting file has to be amended and resubmitted.						
FOR-001	Invalid XML format.				The XML format must correspond to the last version published by ESMA. If an AIFM submits an XML format which is not identical to the current version, the AIFM-reporting file will be refused.	FOR-001
FOR-004	The file is not an AIFM file				The filename corresponds to an AIFM-reporting whereas the XML format corresponds to an AIF-reporting.	FOR-004
FOR-010	The file contains more than one record.				The XML-file contains more than one reporting record (<AIFMRecordInfo> or <CancellationAIFMRecordInfo>)	FOR-010
4 CSSF controls on the identification aspects of the content of the file						

FIL-009	The reporting member state must be "LU".	<ReportingMemberState>			The reporting member state must be "LU".	FIL-009
CSSF-001	The AIFM national code in the reporting file is not the same as the AIFM code in the filename of the XML file.	<AIFMNationalCode>	/AIFMReportingInfo/AIFRecordInfo		The <AIFMNationalCode> in the XML file has to be identical to the AIFM code of the XML filename. The AIFM national code has to be exactly the same as in the XML file, i.e. "ANNNNNNNNN".	CSSF-001
CSSF-003	The AIFM content type is not correct.	<AIFMContentType>	/AIFMReportingInfo/AIFMRecordInfo		The <AIFM Content Type> has to be correct according to the following list: 1 - Luxembourg domiciled authorised AIFM. Reporting obligations under article 24 (1) of the AIFMD apply. 2 - Luxembourg domiciled registered AIFM. Reporting obligations under article 3(3) (d) of the AIFMD apply. 3 - Non-EU AIFM that markets AIFs under article 42 of the AIFMD. Reporting obligations under article 24 (1) of the AIFMD apply.	CSSF-003
CSSF-005	AIFM-file with inconsistent reporting period in comparison to an AIFM-file already submitted.	<ReportingPeriodType>	/AIFMReportingInfo/AIFMRecordInfo		The AIFM should not send AIFM-reporting files that cover periods that are overlapping. The only acceptable combinations of reporting periods are: - Q1, Q2, Q3, Q4 - Q1, Q2, H2 - Q1, X2 - H1, H2 - H1, Q3, Q4 - Y1 - X1, Q4	CSSF-005
CSSF-006	The reporting period year must be the same as the year in the reporting period start date and the reporting period end date.	<ReportingPeriodYear>	/AIFMReportingInfo/AIFMRecordInfo		The year contained in the field <ReportingPeriodYear> has to be the same as the one contained in the fields <ReportingPeriodStartDate> and <ReportingPeriodEndDate>.	CSSF-006
CSSF-009	Report to be cancelled not known by CSSF.				In the case of a cancellation, a previous report must have been send.	CSSF-009
CSSF-011	The <AIFMJurisdiction> indicated in the report is not identical to the jurisdiction recorded in the database of the CSSF. Please check and confirm the correct jurisdiction by mail to aifm@cssf.lu.	<AIFMJurisdiction>	/AIFMReportingInfo/AIFMRecordInfo		This is a warning message. The <AIFMJurisdiction> has to correspond to the jurisdiction in the database of the CSSF.	CSSF-011
CSSF-013	The field <CancelledReportFlag> must be filled with "C".	<CancelledReportFlag>	/AIFMReportingInfo/CancellationAIFMRecordInfo		In the case of a cancellation by an AIFM, the field <CancelledReportFlag> must be filled with "C".	CSSF-013
FOR-007	The reporting start date is not correct.	<ReportingPeriodStartDate>	/AIFMReportingInfo/AIFMRecordInfo		The reporting start date does not respect the ISO 8601 format.	FOR-007
FOR-008	The reporting end date is not correct	<ReportingPeriodEndDate>	/AIFMReportingInfo/AIFMRecordInfo		The reporting end date does not respect the ISO 8601 format.	FOR-008
CSSF-031	If the BaseCurrency is EUR, the field <AUMAmountInBaseCurrency> has to be equal to <AUMAmountInEuro>.	<AUMAmountInBaseCurrency>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription		If the BaseCurrency is EUR, the field <AUMAmountInBaseCurrency> has to be equal to <AUMAmountInEuro>.	CSSF-031
CSSF-032	The field <AIFMReportingCode> is not consistent with the supervision status of the AIFM.	<AIFMReportingCode>	/AIFMReportingInfo/AIFMRecordInfo		For a registered AIFM the reporting code should be 1. For an authorised AIFM the reporting code should be between 2 and 5 (included). For an A42 AIFM the reporting code should be between 6 and 9 (included).	CSSF-032

5 Consistency controls on the content of the AIFM-files

CAM-001	The AIF record with "INIT" filing type has been already provided and will not be recorded twice.	<FilingType>	/AIFMReportingInfo/AIFMRecordInfo	M	If for one report, the filing type is equal to "INIT" and that this report has been previously received without errors, the whole report will not be accepted. If you resubmit a reporting file that refers to the same <AIFMContentType>, the same <ReportingPeriodType>, the same <ReportingPeriodYear> and the same <AIFMNationalCode>, the filing type has to be equal to "AMND".	CAM-001
CAM-002	The reporting period start date is not allowed.	<ReportingPeriodStartDate>	/AIFMReportingInfo/AIFMRecordInfo	M	<ul style="list-style-type: none"> - For quarterly reporting (Q1, Q2, Q3, Q4) the reporting start date is the beginning of a quarter, i.e. allowed values are YYYY-01-01 (for Q1), YYYY-04-01 (for Q2), YYYY-07-01 (for Q3) and YYYY-10-01 (for Q4). - For half-yearly reporting (H1, H2) the reporting start date is the beginning of a half-yearly period (H1, H2), i.e. allowed values are YYYY-01-01 (for H1) and YYYY-07-01 (for H2). - For three-quarterly reporting (X1), the reporting start date must be equal to YYYY-01-01 and for three-quarterly reporting (X2), the reporting start date must equal YYYY-04-01. - For yearly reporting (Y1), the reporting start date is the beginning of the calendar year (Y1), i.e. the only allowed value is YYYY-01-01. 	CAM-002
CAM-003	The reporting period end date is not allowed.	<ReportingPeriodEndDate>	/AIFMReportingInfo/AIFMRecordInfo	M	<p>If the < Last Reporting Flag > is equal to < true >, then</p> <ul style="list-style-type: none"> - For quarterly reporting (Q1, Q2, Q3, Q4) the reporting end date is less or equal to YYYY-03-31 for Q1, YYYY-06-30 for Q2, YYYY-09-30 for Q3, YYYY-12-31 for Q4. - For half-yearly reporting (H1, H2) the reporting end date is less or equal to YYYY-06-30 for H1, YYYY-12-31 for H2. - For three-quarterly reporting (X1, X2) the reporting end date is less or equal to YYYY-09-30 for X1, YYYY-12-31 for X2. - For yearly reporting (Y1) the reporting end date is less or equal to YYYY-12-31 for Y1. <p>If the < Last Reporting Flag > is equal to < false >, then</p> <ul style="list-style-type: none"> - For quarterly reporting (Q1, Q2, Q3, Q4), the reporting end date is the end of a quarter, i.e. allowed values are YYYY-03-31 for Q1, YYYY-06-30 for Q2, YYYY-09-30 for Q3, YYYY-12-31 for Q4. - For half-yearly reporting (H1, H2), the reporting end date is the end of a 6-month period (H1, H2), i.e. allowed values are YYYY-06-30 for H1, YYYY-12-31 for H2. - For three-quarterly reporting (X1, X2) the reporting end date is the end of a 9-month period (X1, X2), i.e. allowed values are YYYY-09-30 for X1, YYYY-12-31 for X2. - For yearly reporting (Y1) ; the reporting end date is the end of the calendar year (Y1), i.e. the only allowed value is YYYY-12-31 for Y1. 	CAM-003
CAM-004	The quarter for the AIFM reporting obligation change should be reported.	<AIFMReportingObligationChangeQuarter>	/AIFMReportingInfo/AIFMRecordInfo	C M for <AIFMReportingObligationChangeFrequencyCode> or <AIFMReportingObligationChangeContentsCode> filled in F otherwise	Code indicating the quarter during which the frequency and/or contents reporting obligation on the AIFM change. The codes for the reporting obligation change quarter are: <ul style="list-style-type: none"> - Q1: for 1st quarter of the calendar year - Q2: for 2nd quarter of the calendar year - Q3: for 3rd quarter of the calendar year - Q4: for 4th quarter of the calendar year The field is mandatory for <AIFMReportingObligationChangeFrequencyCode> or <AIFMReportingObligationChangeContentsCode> filled in and forbidden otherwise.	CAM-004
CAM-005	The jurisdiction of the AIF is not correct.	<AIFMJurisdiction>	/AIFMReportingInfo/AIFMRecordInfo	M	The nationality of the AIFM must be the same as in the CSSF register, i.e. for Luxembourg domiciled AIFMs "LU" and for non-EU AIFMs the correct country code as requested by standard ISO-3166-1.	CAM-005

CAM-006	The AIFM national code does not exist in the ESMA Register.	<AIFMNationalCode>	/AIFMReportingInfo/AIFMRecordInfo	M	This is only a warning This control is currently not active. Please resend the file. The AIFM national code for Luxembourg domiciled AIFMS and non-EU AIFMs that market AIFs in Luxembourg must have the format ANNNNNNN (where A is a fix letter and NNNNNNN an 8-digit number).	CAM-006
CAM-007	Verify the correctness of the LEI code format rules following the calculation methodology of the 2-last check digits.	<AIFMIdentifierLEI>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMIdentifier	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check. (ISO 17442 standard)	CAM-007
CAM-008	The country code exists in the reference table of countries.	<ReportingMemberState>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMIdentifier/OldAIFMIdentifierNCA	M	Country code standard ISO-3166-1 of the reporting Member State which have allocated the former national identification code (AIFM national code) to provide when the national identification code changes (for the first reporting following the change of the national code and any subsequent reporting). The reporting member state must be "LU".	CAM-008
CAM-009	The field is mandatory when the old AIFM national identifier - Reporting Member State is filled in.	<AIFMNationalCode>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMIdentifier/OldAIFMIdentifierNCA	M	The former national identification code (<AIFMNationalCode>) has to be filled in, when the national identification code of the AIFM changes (for the first reporting following the change of the national code and any subsequent reporting). This field is mandatory when the field <ReportingMemberState> of the <OldAIFMIdentifierNCA> is filled in.	CAM-009
CAM-010	The MIC code is not correct.	<MarketCode>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMPrincipalMarkets/AIFMFivePrincipalMarkets/MarketIdentification	C M for Code type of principal market in which AIFM trades equal to "MIC"	If the code type of the principal market in which the AIFM trades equals "MIC", the field "MIC Code (ISO 10383) of the principal market in which the AIFM trades" is mandatory. Otherwise this field has to be empty. If the field is not empty, the MIC Code must exist in the table of the markets.	CAM-010
CAM-011	The field is mandatory for market code type different from "NOT".	<AggregatedValueAmount>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMPrincipalMarkets/AIFMFivePrincipalMarkets	C M for market code type different from "NOT"	Aggregated value of the instruments at the level of the five most important markets in which it trades on behalf of the AIF it manages. This aggregated value is expressed in euro filled in without decimal places. The field is mandatory for market code type different from "NOT".	CAM-011
CAM-012	The reported value is not consistent with the rank.	<AggregatedValueAmount>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMPrincipalMarkets/AIFMFivePrincipalMarkets	C M for market code type different from "NOT"	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank value and so on.	CAM-012
CAM-013	The aggregated value is not consistent with the sub-asset type.	<AggregatedValueAmount>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMPrincipalInstruments/AIFMPrincipalInstrument	C M for market code type different from "NOT"	Aggregated value of the instruments at the level of the five most important markets in which it trades on behalf of the AIF it manages. This aggregated value is expressed in euro filled in without decimal places. The field is mandatory for market code type different from "NOT".	CAM-013
CAM-014	The reported value is not consistent with the rank.	<AggregatedValueAmount>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMPrincipalInstruments/AIFMPrincipalInstrument	C M for market code type different from "NOT"	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank value and so on.	CAM-014

CAM-016	The total AuM amount in base currency is not consistent with the total AuM amount in Euro.	<AUMAmountInEuro>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/	M	This control is currently not active. Please resend the file. If the mandatory field <AIFMBaseCurrency> equals « EUR », the field <TotalAuMAmountInEuro> must be equal to the mandatory field <TotalAuMAmountInBaseCurrency>. If the mandatory field <AIFMBaseCurrency> is different from «EUR», the field <TotalAuMAmountInEuro> must be equal to the mandatory field <TotalAuMAmountInBaseCurrency> * the mandatory field <EURFXRate>. For example if the AIFMs' <TotalAuMAmountInBaseCurrency> is "123.456.789 USD" and the "Base currency / EUR FX rate" equals "0,8264" then the <TotalAuMAmountInEuro> must be equal to "102.024.690 EUR". The CSSF accepts <TotalAuMAmountInEuro> where the result has been rounded up to the next integer or where the entire part of the result has been computed.	CAM-016
CAM-017	The currency code exists in the reference table of currencies.	<BaseCurrency>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMBaseCurrencyDescription	M	The base currency code (3-character currency code according to the ISO 4217) of the AIFM must exist in the reference table of currencies.	CAM-017
CAM-020	The reference rate description is not consistent with the reference rate type.	<FXEUROtherReferenceRateDescription>	/AIFMReportingInfo/AIFMRecordInfo/AIFMCompleteDescription/AIFMBaseCurrencyDescription	C M for Base currency / EUR FX reference rate type equal to "OTH"	Name of the source of the euro reference rates used according to the FX EUR conversion reference rate type reported - Free text for "OTH" type when the corresponding euro reference rate is not published by the ECB - Empty for "ECB" type when the corresponding euro reference rate is published by the ECB. The field is mandatory for Base currency / EUR FX reference rate type equal to "OTH" and forbidden otherwise.	CAM-020

Technical guidance

The "Error" column contains the error code.

The "Error message" column contains the error message transmitted in the feedback file.

The "XML Tag" column contains the exact xml tag where the error has occurred.

The "XML path" column contains the entire path.

The "M/C/O/F" column indicates whether an element is mandatory (M), conditional (C) for elements mandatory in specific cases, forbidden (F) or optional (O).

The "Control Description" column contains a detailed description of the expected / authorized values for this field.

Error	Error message	XML TAG	XML PATH	M/C/O/F	Control description	Error
1 CSSF controls that apply to the format of the zip-file						
IMPORTANT - If the AIF-reporting file contains the described error, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The AIF-reporting file has to be amended and resubmitted.						
ERR-009	The zip file contains more than one XML file which is not accepted as set out in the circular CSSF 14/581.				The zip-file must contain only one XML file.	ERR-009
FOR-000	Invalid or corrupt zip-file.				The zip-file must be valid and can be unzipped.	FOR-000
FOR-002	The report does not contain an XML file.				The report must contain an XML file.	FOR-002
2 CSSF controls that apply to the naming convention of the XML-file						
IMPORTANT - If the AIF-reporting file contains the described error, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The AIF-reporting file has to be amended and resubmitted.						
ERR-001	The AIFM does not exist in the CSSF register.				The AIFM has to exist in the CSSF register. Before sending any AIF-reporting files to the CSSF, the AIFM should check, if the AIFM identifier (ANNNNNNNN, where A is a fix letter and NNNNNNNN a number composed by eight digits) can be found in the following AIFM/AIF identifier list https://www.cssf.lu/en/document/identifiers-of-aifms/ . If the identifier cannot be located in this zip-file and the AIFM has already been authorised or registered with the CSSF, the AIFM should contact the CSSF by using exclusively the e-mail address aifm@cssf.lu .	ERR-001
ERR-002	The link between the sender and the AIFM does not exist in the CSSF register.				Before sending any AIF-reporting file to the CSSF, the AIFM should inform the CSSF, if the company is the technical sender of the AIF-reporting to the CSSF or if delegates the submission to a third party. In this context, the CSSF has requested AIFMs in its press release 15/04 to submit this information exclusively the e-mail address aifm@cssf.lu in the case the information has not already been submitted during the registration of their certificate with the CSSF.	ERR-002
ERR-003	A reporting file with the same filename has already been sent. If you want to change the existing data, please submit a new file with a different filename and take care to fulfill all other requirements for amending already submitted data.				The AIF-reporting file must not already been sent with the exactly the same naming convention with exactly the same timestamp.	ERR-003
ERR-004	The AIF does not exist in the CSSF register.				The AIF has to exist in the CSSF register. Before sending any AIF-reporting files to the CSSF, the AIFM should check, if its AIF identifier (FMMMMMMMM_CCCCCCCC, "F" to be replaced by the constant value "O", "K" or "V" followed by the identification number of the AIF (preceded by zeros when the number has less than 8 digits), " _ " (underscore) and the identification number of the compartment/sub-fund (preceded by zeros when the number has less than 8 digits, or "00000000", if the fund has no compartment/sub-fund) can be found in the AIFM/AIF identifier file https://www.cssf.lu/en/document/identifiers-of-aifms/ . If the identifier cannot be located in this zip-file and the AIF has already been registered with the CSSF, the AIFM should contact the CSSF by using exclusively the e-mail address aifm@cssf.lu . The CSSF also checks if the <AIFNationalCode> is identical to the AIF code of the XML file. The AIF national code has to be exactly the same as in the XML file, i.e. "FMMMMMMMM_CCCCCCCC" in the AIF-file (Id 17) must equal "FMMMMMMMM-CCCCCCC" in the corresponding part of the XML-file.	ERR-004

ERR-005	The link between the AIFM and the AIF does not exist in the CSSF register.				The AIFM and the AIF have to be linked in the CSSF register. Before sending any AIF-reporting file to the CSSF, the AIFM should check, if its AIFM and AIF identifier can be found in the same line of the identifier list (https://www.cssf.lu/en/document/identifiers-of-aifms/). If both identifiers cannot be located in the same line of this zip-file, the AIFM should contact the CSSF by using exclusively the e-mail address aifm@cssf.lu .	ERR-005
ERR-007	The filename of the zip-file and the filename of the the XML-file are not identical.				The filename of the zip-file and the filename of the XML-file must be the same.	ERR-007
3 CSSF controls that apply to the format of the XML file						
IMPORTANT - If the AIF-reporting file contains the described error, the treatment of the file will be stopped and the sender will receive an FDB-file with the respective error codes and messages. The AIF-reporting file has to be amended and resubmitted.						
FOR-001	Invalid XML format.				The XML format must correspond to the last version published by ESMA. If an AIFM submits an XML format which is not identical to the current version, the AIF-reporting file will be refused.	FOR-001
FOR-003	The file is not an AIF file				The filename corresponds to an AIF-reporting whereas the XML format corresponds to an AIFM-reporting.	FOR-003
FOR-010	The file contains more than one record.				The XML-file contains more than one reporting record (<AIFRecordInfo> or <CancellationAIFRecordInfo>)	FOR-010
4 CSSF controls on the identification aspects of the content of the file						
FIL-009	The reporting member state must be "LU".	<ReportingMemberState>			The reporting member state must be "LU".	FIL-009
CSSF-001	The AIFM national code in the reporting file is not the same as the AIFM code in the filename of the XML file.	<AIFMNationalCode>	/AIFReportingInfo/AIFRecordInfo		The <AIFMNationalCode> in the XML file has to be identical to the AIFM code of the XML filename. The AIFM national code has to be exactly the same as in the XML file, i.e. "ANNNNNNNN".	CSSF-001
CSSF-009	Report to be cancelled not known by CSSF				In the case of a cancellation, a previous report must have been send.	CSSF-009
CSSF-012	Two strategies should be reported at least when <PredominantAIFType> is equal to "NONE".	<PredominantAIFType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription		If the field <PredomiantAIFType> is none, at least two stategies must be reported.	CSSF-012
CSSF-013	The field <CancelledReportFlag> must be filled with "C".	<CancelledReportFlag>	/AIFReportingInfo/CancellationAIFRecordInfo		In the case of a cancellation by an AIFM, the field <CancelledReportFlag> must be filled with "C".	CSSF-013
CSSF-015	The subfund does not exist in the CSSF register.	<AIFNationalCode>	/AIFReportingInfo/AIFRecordInfo		The Subfund has to exist in the CSSF register. Before sending any AIF-reporting files to the CSSF, the AIFM should check, if the subfund (CCCCCCCC, a number composed by eight digits) can be found in the following AIFM/AIF identifier list https://www.cssf.lu/en/document/identifiers-of-aifms/ . If the identifier cannot be located in this zip-file, the AIFM should contact the CSSF by using exclusively the e-mail address aifm@cssf.lu .	CSSF-015
CSSF-016	The field <AIExpiryDate> must contain a correct date.	<AIExpiryDate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification		The date in the field <AIExpiryDate> must be greater than 01.01.2010.	CSSF-016
ERR-008	The AIF national code is not the same as the AIF code in the filename of the XML file.	<AIFNationalCode>			The <AIFNationalCode> in the XML file has to be identical to the AIF code of XML filename. The AIF national code has to be exactly the same as in the XML file, i.e. "FMMMMMMMM".	ERR-008
FOR-005	The reporting start date is not correct.	<ReportingPeriodStartDate>			The reporting start date does not respect the ISO 8601 format.	FOR-005
FOR-006	The reporting end date is not correct	<ReportingPeriodEndDate>			The reporting end date does not respect the ISO 8601 format.	FOR-006

FOR-009	The inception date is not correct	<InceptionDate>			The inception date does not respect the ISO 8601 format.	FOR-009
CSSF-017	The AIF's name has to be defined.	<AIFName>	/AIFReportingInfo/AIFRecordInfo		The field <AIFName> is mandatory.	CSSF-017
CSSF-018	If the field <AIFNoReportingFlag> is equal to "false", the filling of the block <AIFCompleteDescription> is mandatory.	<AIFNoReportingFlag>	/AIFReportingInfo/AIFRecordInfo		If the field <AIFNoReportingFlag> is equal to "false", the filling of the block <AIFCompleteDescription> is mandatory.	CSSF-018
CSSF-020	Duplicate values exist for the field <Ranking> in at least one category.	<Ranking>			The field <Ranking> cannot contain duplicate values for the same information. The concerned blocks are: MainInstrumentTraded, PrincipalExposure, PortfolioConcentration, AIFPrincipalMarkets, FundToCounterpartyExposure, CounterpartyToFundExposure, CCPExposure, BorrowingSource	CSSF-020
CSSF-021	The field <MainBeneficialOwnersRate> cannot be equal to 0.	<MainBeneficialOwnersRate>	/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/InvestorConcentration		The field <MainBeneficialOwnersRate> cannot be equal to 0.	CSSF-021
CSSF-022	The field <SubAssetType> of an individual exposure equal to 'NTA_NTA_NOTA' is forbidden.	<SubAssetType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/AssetTypeExposures/AssetTypeExposure		The field <SubAssetType> of an individual exposure equal to 'NTA_NTA_NOTA' is forbidden.	CSSF-022
CSSF-023	The sum of the percentage of NAV for each typology of investors should be equal to 100%.	<InvestorGroupRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/InvestorGroups/InvestorGroup		The sum of the percentage of NAV for each typology of investors should be equal to 100%. Only one percentage per <InvestorGroupType> block is allowed.	CSSF-023
CSSF-024	The field <PrimaryStrategyFlag> is only allowed once.	<PrimaryStrategyFlag>			The field <PrimaryStrategyFlag> is allowed only once for the following investment strategy types: HedgeFundStrategyType PrivateEquityFundStrategyType RealEstateFundStrategyType FundOfFundsStrategyType OtherFundStrategyType	CSSF-024
CSSF-033	There is only one <LongPosition> and <ShortPosition> expected per <CurrencyExposure> .	<CurrencyExposure>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure		There is only one <LongPosition> and <ShortPosition> expected per <CurrencyExposure> .	CSSF-033
CSSF-034	The field <AIFReportingCode> is not consistent with the supervision status of the AIFM.	<AIFReportingCode>	/AIFReportingInfo/AIFRecordInfo		For a registered AIFM the reporting code should be 1. For an authorised AIFM the reporting code should be between 2 and 34 (included). For an A42 AIFM the reporting code should be between 35 and 45 (included).	CSSF-034

CSSF-041	The fund is trading under MIFID and should have a LEI.	<AIFIdentifierLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFIdentification		If the fund is trading under MIFID, the LEI Code is mandatory.	CSSF-041
CSSF-042	The sum of the percentages of financing amount is different than 100% (between 99-101%).	<TotalFinancingInDays0To1Rate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/FinancingLiquidityProfile		If the <TotalFinancingInDays0To1Rate> is not empty and the sum of all <TotalFinancingInDaysXToYRate> is not 100 but between 99 and 101.	CSSF-042
CSSF-043	The sum of the percentages of financing amount is different than 100% (<99% or >101%).	<TotalFinancingInDays0To1Rate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/FinancingLiquidityProfile		If the <TotalFinancingInDays0To1Rate> is not empty and the sum of all <TotalFinancingInDaysXToYRate> is below 99 or above 101.	CSSF-043
CSSF-044	If there is no predominant AIF type and all investment strategy NAV percentages are the same, all investment strategies should be set as primary investment strategy.	<PrimaryStrategyFlag>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/HedgeFundInvestmentStrategies/HedgeFundStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrivateEquityFundInvestmentStrategies/PrivateEquityFundInvestmentStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/FundOfFundsInvestmentStrategies/FundOfFundsStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/OtherFundInvestmentStrategies/OtherFundStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/RealEstateFundInvestmentStrategies/RealEstateFundStrategy		If there is no predominant AIF type and all investment strategy NAV percentages are the same, all investment strategies should be set as primary investment strategy.	CSSF-044
5 Consistency controls on the content of the AIF-files						
CAF-001	The AIF record with "INIT" filing type has been already provided and will not be recorded twice.	<FilingType>	/AIFReportingInfo/AIFRecordInfo	M	If for one report, the filing type is equal to "INIT" and that this report has been previously received without errors, the whole report will not be accepted. If you resubmit a reporting file that refers to the same <AIFMContentType>, <ReportingPeriodType>, <ReportingPeriodYear> and <AIFMNationalCode>, the filing type has to be equal to "AMND".	CAF-001

CAF-002	The reported AIF information does not correspond to the AIF content type.	<AIFContentType>	/AIFReportingInfo/AIFRecordInfo	M	<p>This control is currently not active. Please resend the file.</p> <p>Code indicating the contents of the AIF reporting. The AIF content types admitted are:</p> <ul style="list-style-type: none"> - 1 for "24(1) reporting obligation" - 2 for "24(1) + 24(2) reporting obligation" - 3 for "3(3) (d) reporting obligation" - 4 for "24(1) + 24(2) + 24(4) reporting obligation" - 5 for "24(1) + 24(4) reporting obligation" <p>When the AIF no reporting flag is false:</p> <p>The block "AIFPrincipalInfo" will be filled in for all AIF content types.</p> <p>The block "AIFIndividualInfo" will be filled in for AIF content types equal to "2" and "4".</p> <p>The block "AIFLeverageArticle24-2" will be filled in for AIF content types equal to "2" and "4".</p> <p>The block "AIFLeverageArticle24-4" will be filled in for AIF content types equal to "4" and "5".</p>	CAF-002
CAF-003	The reporting period start date is not allowed.	<ReportingPeriodStartDate>	/AIFReportingInfo/AIFRecordInfo	M	<p>The start date of the reporting period should begin on the first calendar day of January, April, July or October. (Format "yyyy-mm-dd" - ISO 8601 Date Format)</p> <ul style="list-style-type: none"> - For quarterly reporting (Q1, Q2, Q3, Q4), the reporting start date is the beginning of a quarter, i.e. allowed values are YYYY-01-01 (for Q1), YYYY-04-01 (for Q2), YYYY-07-01 (for Q3) and YYYY-10-01 (for Q4). - For half-yearly reporting (H1, H2), the reporting start date is the beginning of a half-yearly period (H1, H2), i.e. allowed values are YYYY-01-01 (for H1) and YYYY-07-01 (for H2). - For half-yearly reporting (X1), the reporting start date must be equal to YYYY-01-01 and for half-yearly reporting (X2), the reporting start date must be YYYY-04-01. - For yearly reporting (Y1), the reporting start date is the beginning of the calendar year (Y1), i.e. the only allowed value is YYYY-01-01. 	CAF-003
CAF-004	The reporting period end date is not allowed.	<ReportingPeriodEndDate>	/AIFReportingInfo/AIFRecordInfo	M	<p>If the <LastReportingFlagLast> is equal to "true", then</p> <ul style="list-style-type: none"> - For quarterly reporting (Q1, Q2, Q3, Q4), the reporting end date is less or equal to YYYY-03-31 for Q1, YYYY-06-30 for Q2, YYYY-09-30 for Q3, YYYY-12-31 for Q4. - For half-yearly reporting (H1, H2), the reporting end date is less or equal to YYYY-06-30 for H1, YYYY-12-31 for H2. - For half-yearly reporting (X1, X2), the reporting end date is less or equal to YYYY-09-30 for X1, YYYY-12-31 for X2. - For yearly reporting (Y1), the reporting end date is less or equal to YYYY-12-31 for Y1. <p>If the <LastReportingFlagLast> is equal to "false", then</p> <ul style="list-style-type: none"> - For quarterly reporting (Q1, Q2, Q3, Q4), the reporting end date is the end of a quarter, i.e. allowed values are YYYY-03-31 for Q1, YYYY-06-30 for Q2, YYYY-09-30 for Q3, YYYY-12-31 for Q4. - For half-yearly reporting (H1, H2), the reporting end date is the end of a 6-month period (H1, H2), i.e. allowed values are YYYY-06-30 for H1, YYYY-12-31 for H2. - For half-yearly reporting (X1, X2), the reporting end date is the end of a 6-month period (X1, X2), i.e. allowed values are YYYY-09-30 for X1, YYYY-12-31 for X2. - For yearly reporting (Y1), the reporting end date is the end of the calendar year (Y1), i.e. the only allowed value is YYYY-12-31 for Y1. 	CAF-004

CAF-005	Data have been reported twice for the same reporting period.	<ReportingPeriodType>	/AIFReportingInfo/AIFRecordInfo	M	For one specific calendar year and AIF, it is not possible to have reporting overlap. The reporting period types admitted are: when reporting frequency is quarterly: - Q1: for 1st quarter of the calendar year - Q2: for 2nd quarter of the calendar year - Q3: for 3rd quarter of the calendar year - Q4: for 4th quarter of the calendar year when reporting frequency is half-yearly: - H1: for 1st half of the calendar year - H2: for 2nd half of the calendar year when reporting frequency is yearly: - Y1: for the calendar year when the specific reporting period is from 1st quarter to 3rd quarter due to change in the reporting obligation: - X1: for period from 1st quarter to 3rd quarter when the specific reporting period is from 2nd quarter to 4th quarter due to change in the reporting obligation: - X2: for period from 2nd quarter to 4th quarter	CAF-005
CAF-006	The quarter for the AIF reporting obligation change should be reported.	<AIFReportingObligationChangeQuarter>	/AIFReportingInfo/AIFRecordInfo	C M for <AIFReportingObligationChangeFrequencyCode> or <AIFReportingObligationChangeContentsCode> filled in F otherwise	Code indicating the quarter during which the frequency and/or contents reporting obligation on the AIF changes. The codes for the reporting obligation change quarter are: - Q1: for 1st quarter of the calendar year - Q2: for 2nd quarter of the calendar year - Q3: for 3rd quarter of the calendar year - Q4: for 4th quarter of the calendar year The field is mandatory for <AIFReportingObligationChangeFrequencyCode> or <AIFReportingObligationChangeContentsCode> filled in and forbidden otherwise.	CAF-006
CAF-007	The AIFM national code does not exist in the AIFM reference table.	<AIFMNationalCode>	/AIFReportingInfo/AIFRecordInfo	M	This control is currently not active. Please resend the file. The AIFM national code for Luxembourg domiciled AIFMs and non-EU AIFMs that market AIFs in Luxembourg must have the format ANNNNNNN (where A is a fix letter and NNNNNNN an 8-digit number).	CAF-007
CAF-008	The AIF national code does not exist in the AIF reference table.	<AIFNationalCode>	/AIFReportingInfo/AIFRecordInfo	M	The CSSF checks if the <AIFNationalCode> is identical to the AIF code of the XML filename. The AIF national code has to be exactly the same as in the XML file, i.e. "FMMMMMMMM_CCCCCC".	CAF-008
CAF-009	The EEA flag is not correct.	<AIFEEAFlag>	/AIFReportingInfo/AIFRecordInfo	M	This flag indicates whether the AIF is domiciled in the EEA or the EU. If the field <AIFEEAFlag> is equal to "true", the value of the field <AIFDomicile> must be the country code standard ISO-3166-1 of a country that belongs to the EEA, else it must be a country code of a non-EEA or EU AIF.	CAF-009
CAF-010	The domicile of the AIF is not correct.	<AIFDomicile>	/AIFReportingInfo/AIFRecordInfo	M	For EU AIF, the domicile of the AIF should be understood as the home Member State of the AIF as defined in Article 4(1)(p) of the AIFMD. For non-EU AIF, the domicile of the AIF should be the country where the AIF is authorised or registered or where it has its registered office. The Country code standard ISO-3166-1 has to be used to fill in this field. The domicile of the AIF must be the same as the one in the CSSF register.	CAF-010
CAF-011	The inception date is not allowed as it should be before the reporting start date.	<InceptionDate>	/AIFReportingInfo/AIFRecordInfo	M	The date the AIF started business (Format "yyyy-mm-dd" - ISO 8601 Date Format). The inception date should not be after the start date of the reporting period.	CAF-011
CAF-012	The AIF no reporting flag is not consistent with the reported information.	<AIFNoReportingFlag>	/AIFReportingInfo/AIFRecordInfo	M	The whole <AIFCompleteDescription> block is forbidden if the <AIFNoReportingFlag> is equal to "true" (empty file, this means that no information is reported for the reporting period).	CAF-012

CAF-013	The check digits of the LEI code are not correct.	<AIFIdentifierLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-013
CAF-014	The check digit of the ISIN code is not correct.	<AIFIdentifierISIN>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFIdentification	O	The CSSF verifies the correctness of the ISIN code format rules following the calculation methodology of the "last check digit" (ISO 6166 standard).	CAF-014
CAF-015	The country of the old AIF national code is not correct and should be an EEA or EU country.	<ReportingMemberState>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFIdentification/OldAIFIdentifierNCA	M	Country code standard ISO-3166-1 of the reporting Member State which have allocated the former national identification code (AIFM national code) to provide when the national identification code changes (for the first reporting following the change of the national code and any subsequent reporting).	CAF-015
CAF-016	The share class national code is not consistent with the share class flag.	<ShareClassNationalCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	This field is forbidden if the field <ShareClassFlag> of the AIF equals to "false" and optional otherwise. Where available, AIFMs have to fill in the unique reference allocated by the national competent authority to which the AIFM reports under AIFMD identifying each share class.	CAF-016
CAF-017	The check digit of the ISIN code is not correct.	<ShareClassIdentifierISIN>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	The CSSF verifies the correctness of the ISIN code format rules following the calculation methodology of the "last check digit" (ISO 6166 standard). The field is forbidden for AIF share class equal to false and optional otherwise.	CAF-017
CAF-018	The share class ISIN code is not consistent with the share class flag.	<ShareClassIdentifierISIN>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	Where available, the ISIN code (ISO 6166 standard) identifying each share class. The field is forbidden for <ShareClassFlag> of the AIF equal to "false" and optional otherwise.	CAF-018
CAF-019	The share class SEDOL code is not consistent with the share class flag.	<ShareClassIdentifierSEDOL>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	Where available, the SEDOL code identifying each share class. The field is forbidden for <ShareClassFlag> of the AIF equal to "false" and optional otherwise.	CAF-019
CAF-020	The share class CUSIP code is not consistent with the share class flag.	<ShareClassIdentifierCUSIP>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	Where available, the CUSIP code identifying each share class. The field is forbidden for <ShareClassFlag> of the AIF equal to "false" and optional otherwise.	CAF-020
CAF-021	The share class Bloomberg code is not consistent with the share class flag.	<ShareClassIdentifierTicker>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	Where available, the Bloomberg ticker symbol identifying each share class. The field is forbidden for <ShareClassFlag> of the AIF equal to "false" and optional otherwise.	CAF-021
CAF-022	The share class Reuters code is not consistent with the share class flag.	<ShareClassIdentifierRIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C F for AIF share class flag false O otherwise	Where available, the Reuters Identifier Code (RIC) identifying each share class. The field is forbidden for <ShareClassFlag> of the AIF equal to "false" and optional otherwise.	CAF-022
CAF-023	The share class name is not consistent with the share class flag.	<ShareClassName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/ShareClassIdentification/ShareClassIdentifier	C M for AIF share class flag true F otherwise	This field is forbidden if the <ShareClassFlag> of the AIF is equal to "false" and mandatory otherwise.	CAF-023

CAF-024	The master AIF name is not consistent with the master feeder status.	<AIFName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/MasterAIFsIdentification/MasterAIFIdentification	C M for Master feeder status equal to "FEEDER" F otherwise	The legal name of the AIF (no standard). If the field <AIFMasterFeederStatus> is equal to "FEEDER", the field <AIFName> of the <MasterAIFsIdentification> is mandatory. AIFM is requested to provide the full name as provided by Swift for master AIF(s) that have one BIC or LEI code. If the field <AIFMasterFeederStatus> is not equal to "FEEDER", the field <AIFName> of the <MasterAIFsIdentification> is forbidden. In the case of umbrella fund, the name of the umbrella fund and the subfund(s) should be reported.	CAF-024
CAF-025	The country of the master AIF national code is not correct and should be an EEA or EU country.	<ReportingMemberState>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/MasterAIFsIdentification/MasterAIFIdentification/AIFIdentifierNCA	C O for Master feeder status equal to "FEEDER" F otherwise	AIFM is requested to provide the Country code standard ISO-3166-1 of the reporting Member State to which the AIFM of the master AIF(s) reports under AIFMD.	CAF-025
CAF-026	The master AIF name is not consistent with the master feeder status.	<ReportingMemberState>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/MasterAIFsIdentification/MasterAIFIdentification/AIFIdentifierNCA	C O for Master feeder status equal to "FEEDER" F otherwise	If the field <AIFMasterFeederStatus> equals "FEEDER", the field <ReportingMemberState> of the <AIFIdentifierNCA> of the Master is mandatory. If the field <AIFMasterFeederStatus> is not equal to "FEEDER", the field <ReportingMemberState> of the <AIFIdentifierNCA> of the Master is forbidden. The country code standard ISO-3166-1 of the Member State to which the AIFM reports the AIFMD reporting has to be reported.	CAF-026
CAF-027	The master AIF national code is not consistent with the master feeder status.	<AIFNationalCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/MasterAIFsIdentification/MasterAIFIdentification/AIFIdentifierNCA	C M for Master feeder status equal to "FEEDER" F otherwise	Unique reference identifying each AIF allocated by the national competent authority of the reporting Member State of the AIFM to which the AIFM reports under AIFMD. If the field <AIFMasterFeederStatus> equals "FEEDER", the field <AIFNationalCode> of the <AIFIdentifierNCA> of the Master is mandatory. If the field <AIFMasterFeederStatus> is not equal to "FEEDER", the field <AIFNationalCode> of the <AIFIdentifierNCA> of the Master is forbidden.	CAF-027
CAF-028	The check digits of the LEI code are not correct.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrimeBrokers/PrimeBrokerIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-028
CAF-029	The currency code is not correct.	<BaseCurrency>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/AIFBaseCurrencyDescription	M	Currency code (3-character currency code according to the ISO 4217) used for reporting the information. Only one currency should be reported. This means that AIFs with several share classes denominated in different currencies should have only one base currency. AIFM is requested to use the currency of the AIF (i.e. of the fund or of the subfund) as it has been submitted to the CSSF.	CAF-029
CAF-030	The FX rate is not consistent with the AIF base currency.	<FXEURRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/AIFBaseCurrencyDescription	C M for AIF base currency different from "EUR" F otherwise	Conversion rate filled in with 4 decimal places. The field is mandatory for <AIFBaseCurrency> different from "EUR" and forbidden otherwise, i.e. if <AIFBaseCurrency> is "EUR", this field is forbidden.	CAF-030
CAF-031	The FX reference rate type is not consistent with the AIF base currency.	<FXEURReferenceRateType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/AIFBaseCurrencyDescription	C M for AIF base currency different from "EUR" F otherwise	2 types are admitted: - "ECB" when the base currency is one of the currencies for which the exchange rates are euro reference rates published by the ECB - "OTH" in other cases The field is mandatory for <AIFBaseCurrency> different from "EUR" and forbidden otherwise, i.e. if <AIFBaseCurrency> is "EUR", this field is forbidden. The ECB reference rates are published daily when TARGET system is open.	CAF-031

CAF-032	The reference rate description is not consistent with the FX reference rate type.	<FXEUROtherReferenceRateDescription>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/AIFBaseCurrencyDescription	C M for reference rate type equal "OTH" F otherwise	Name of the source of the euro reference rates used according to the FX EUR conversion reference rate type. - Free text for "OTH" type when the corresponding euro reference rate is not published by the ECB - Empty for "ECB" type when the corresponding euro reference rate is published by the ECB. This field is mandatory (free text), if the field <FXEURReferenceRateType> of the <AIFBaseCurrencyDescription> equals "OTH". This field has to be left blank, if the <FXEURReferenceRateType> of the <AIFBaseCurrencyDescription> equals "ECB".	CAF-032
CAF-033	The first funding country is not correct.	<FirstFundingSourceCountry>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription	O	AIFMs must use a country code that figures in the reference table of the country codes table of the standard ISO 3661-1.	CAF-033
CAF-034	The second funding country is not correct.	<SecondFundingSourceCountry>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription	O	AIFMs must use a country code that figures in the reference table of the country codes table of the standard ISO 3661-1.	CAF-034
CAF-035	The third funding country is not correct.	<ThirdFundingSourceCountry>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription	O	AIFMs must use a country code that figures in the reference table of the country codes table of the standard ISO 3661-1.	CAF-035
CAF-036	The investment strategy code is not consistent with the predominant AIF type.	<HedgeFundStrategyType> <PrivateEquityFundStrategyType> <FundOfFundsStrategyType> <OtherFundStrategyType> <RealEstateFundStrategyType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/ /PredominantAIFType/ AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/ HedgeFundInvestmentStrategies/ AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/ PrivateEquityFundInvestmentStrategies/ AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/ FundOfFundsInvestmentStrategies/ AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/ OtherFundInvestmentStrategies/ AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/ RealEstateFundInvestmentStrategies	C M within each strategy block	The investment strategy types admitted are listed in Annex II - Table 3. Investment strategies are mutually exclusive, except when AIFMs select "None". Within the <HedgeFundStrategies> block, if the investment strategy code "MULT_HFND" is reported, at least 2 other different hedge fund investment strategy codes should be reported. Within the <PrivateEquityFundStrategies> block, if the investment strategy code "MULT_PEQF" is reported, at least 2 other different Private equity fund investment strategy codes should be reported. Within the <RealEstateFundStrategies> block, if the investment strategy code "MULT_REST" is reported, at least 2 other different Private equity fund investment strategy codes should be reported. If the field <PredominantAIFType> equals "HFND", the block <HedgeFundStrategyType> has to be reported. If the field <PredominantAIFType> equals "PEQF", the block <PrivateEquityFundStrategyType> has to be reported. If the field <PredominantAIFType> equals "REST", the block <RealEstateFundStrategyType> has to be reported. If the field <PredominantAIFType> equals "FOFS", the block <FundOfFundsStrategyType> has to be reported. If the field <PredominantAIFType> equals "OTHR", the block <OtherFundStrategyType> has to be reported.	CAF-036

CAF-037	The investment strategy code is not allowed.	<HedgeFundStrategyType> <PrivateEquityFundStrategyType> <FundOfFundsStrategyType> <OtherFundStrategyType> <RealEstateFundStrategyType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PredominantAIFType/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/HedgeFundInvestmentStrategies/HedgeFundStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrivateEquityFundInvestmentStrategies/PrivateEquityFundInvestmentStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/FundOfFundsInvestmentStrategies/FundOfFundsStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/OtherFundInvestmentStrategies/OtherFundStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/RealEstateFundInvestmentStrategies/RealEstateFundStrategy	C M within each strategy block	The investment strategy types admitted are listed in Annex II - Table 3 of the "Guidelines on reporting obligations under Articles 3(3)(d) and 24(1), (2) and (4) of the AIFMD" (ESMA ESMA/2014/869EN). The CSSF checks that: - Different Investment strategies are not reported when the AIF predominant type is different from "NONE". In that case, only one investment strategy block (HedgeFundInvestmentStrategies, PrivateEquityFundInvestmentStrategies, RealEstateFundInvestmentStrategies, FundOfFundsInvestmentStrategies, OtherFundInvestmentStrategies) can be reported as the investment strategies are mutually exclusive. - Within the <HedgeFundStrategyType> block, if the investment strategy code "MULT_HFND" is reported, at least 2 other different hedge fund investment strategy codes should be reported. - Within the <PrivateEquityFundStrategyType> block, if the investment strategy code "MULT_PEQF" is reported, at least 2 other different Private equity fund investment strategy codes should be reported. - Within the <RealEstateFundStrategyType> block, if the investment strategy code "MULT_REST" is reported, at least 2 other different Real Estate fund investment strategy codes should be reported.	CAF-037
CAF-038	Multi strategies investment strategies should be primary strategies.	<PrimarystrategyFlag>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/HedgeFundInvestmentStrategies/HedgeFundStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrivateEquityFundInvestmentStrategies/PrivateEquityFundInvestmentStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/FundOfFundsInvestmentStrategies/FundOfFundsStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/OtherFundInvestmentStrategies/OtherFundStrategy/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/RealEstateFundInvestmentStrategies/RealEstateFundStrategy	C M within each strategy block	Flag indicating whether the fund strategy is the primary strategy. There is only one primary investment strategy. This field has to be "true" for primary strategy and "false" otherwise. The flag should be true when the investment strategy code reported is equal to "MULT_PEQF", "MULT_HFND" or "MULT_REST".	CAF-038

CAF-039	The sum of the percentages should be equal to 100%.	<StrategyNAVRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/HedgeFundInvestmentStrategies/HedgeFundStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrivateEquityFundInvestmentStrategies/PrivateEquityFundInvestmentStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/FundOfFundsInvestmentStrategies/FundOfFundsStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/OtherFundInvestmentStrategies/OtherFundStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/RealEstateFundInvestmentStrategies/RealEstateFundStrategy/StrategyNAVRate	C M within each strategy block for reported investment strategy code different from "MULT_PEQF", "MULT_HFND" or "MULT_REST".	The NAV rate is expressed as a percentage for each reported investment strategy. As there is no NAV percentage reported for multi strategies funds, this element is only mandatory for investment strategy codes different from "MULT_PEQF", "MULT_HFND" or "MULT_REST". The sum of all the percentages should be 100%.	CAF-039
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CAF-040	There is no NAV percentage to report for multi strategies investment strategies.	<StrategyNAVRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/HedgeFundInvestmentStrategies/HedgeFundStrategy/StrategyTypeOtherDescription/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrivateEquityFundInvestmentStrategies/PrivateEquityFundInvestmentStrategy/StrategyTypeOtherDescription/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/FundOfFundsInvestmentStrategies/FundOfFundsStrategy/StrategyTypeOtherDescription/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/OtherFundInvestmentStrategies/OtherFundStrategy/StrategyTypeOtherDescription/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/RealEstateFundInvestmentStrategies/RealEstateFundStrategy/StrategyTypeOtherDescription	C M within each strategy block for reported investment strategy code different from "MULT_PEQF", "MULT_HFND" or "MULT_REST".	The NAV rate is expressed as a percentage for each reported investment strategy. As there is no Nav percentag reported for multi strategies funds, this element is only mandatory for investment strategy codes different from "MULT_PEQF", "MULT_HFND" or "MULT_REST". The sum of all the percentages should be 100%.	CAF-040
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CAF-041	The investment strategy code description is not consistent with the reported investment strategy code.	<StrategyTypeOtherDescription>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/HedgeFundInvestmentStrategies/HedgeFundStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/PrivateEquityFundInvestmentStrategies/PrivateEquityFundInvestmentStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/FundOfFundsInvestmentStrategies/FundOfFundsStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/OtherFundInvestmentStrategies/OtherFundStrategy/StrategyNAVRate/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AIFDescription/RealEstateFundInvestmentStrategies/RealEstateFundStrategy/StrategyNAVRate	C M for strategy codes equal to "OTHR_HFND", "OTHR_PEQF", "OTHR_REST", "OTHR_FOFS", "OTHR_OTHF" F otherwise	This field is mandatory for strategy types equal to: - "OTHR_HFND" (Other hedge fund strategy) - "OTHR_PEQF" (Other private equity fund strategy) - "OTHR_REST" (Other real estate strategy) - "OTHR_FOFS" (Other fund of funds) - "OTHR_OTHF" (Other fund). It has to be filled in, but there is no standard (predefined list of values).	CAF-041
CAF-042	The instrument code type is not consistent with the sub-asset type.	<InstrumentCodeType>	/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	This field is mandatory for <SubAssetType> code different from "NTA_NTA_NOTA" and forbidden otherwise. The instrument code type admitted are: - "NONE" for instrument without codes - "ISIN" for instruments with ISIN codes - "AII" for instruments with AII codes	CAF-042
CAF-043	The instrument name is not consistent with the sub-asset type.	<InstrumentName>	/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	This field is mandatory for <SubAssetType> code different from "NTA_NTA_NOTA" and forbidden otherwise. There is no standard for this field, but it is strongly recommended to provide the instrument short name as provided by Swift under ISO18774 when available.	CAF-043
CAF-044	The check digit of the ISIN code is not correct.	<ISINInstrumentIdentification>	/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded	C M for Instrument code type equal to "ISIN" F otherwise	The ISIN code of the instrument (ISO 6166 standard) when available. The CSSF verifies the correctness of the ISIN code format rules following the calculation methodology of the last check digit.	CAF-044
CAF-045	The instrument ISIN code is not consistent with the instrument code type.	<ISINInstrumentIdentification>	/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded	C M for Instrument code type equal to "ISIN" F otherwise	The ISIN code of the instrument (ISO 6166 standard) when available. The field is mandatory for <InstrumentCodeType> equal to "ISIN" and forbidden otherwise.	CAF-045

CAF-046	The MIC code is not correct.	<AIExchangeCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	Four character MIC of the market that admits the derivative to trading. The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-046
CAF-047	The instrument <AIExchangeCode> is not consistent with the instrument code type.	<AIExchangeCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	Four character MIC of the market that admits the derivative to trading. The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-047
CAF-048	The instrument <AIProductCode> is not consistent with the instrument code type.	<AIProductCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	This code that is uniquely associated with a particular underlying instrument and settlement type and other characteristics of the contract. It is between one and 12 characters in length. The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-048
CAF-049	The instrument <AIIDerivativeType> is not consistent with the instrument code type.	<AIIDerivativeType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	This is a single character field identifying whether the instrument is an option (O) or a future (F). The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-049
CAF-050	The instrument put/call identifier is not consistent with the instrument code type.	<AIIPutCallIdentifier>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	This is a single character field identifying whether the option (if it is an option) is a put (P) or a call (C). F in case of a future. The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-050
CAF-051	The instrument <AIExpiryDate> is not consistent with the instrument code type.	<AIExpiryDate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	Exercise date/ maturity date of a derivative contract. The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-051
CAF-052	The instrument <AIIStrikePrice> is not consistent with the instrument code type.	<AIIStrikePrice>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/AIInstrumentIdentification	C M for Instrument code type equal to "AII" F otherwise	The strike price of an option or other financial instrument. In case of a future the strike should contain the value "0". The field is mandatory for <InstrumentCodeType> equal to "AII" and forbidden otherwise.	CAF-052
CAF-053	The position type is not consistent with the sub-asset type.	<PositionType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	The long/short position flag admitted are: - "L" for long - "S" for short The field is mandatory for <SubAssetType> code different from "NTA_NTA_NOTA" and forbidden otherwise.	CAF-053
CAF-054	The position value is not consistent with the sub-asset type.	<PositionValue>	AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTrade/PositionType	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	The <PositionValue> of the <MainInstrumentTraded> has to be expressed in base currency filled in without decimal places. The field is mandatory for <SubAssetType> code different from "NTA_NTA_NOTA" and forbidden otherwise.	CAF-054

CAF-055	The reported value is not consistent with the rank.	<PositionValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded/PositionType	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank values and so on.	CAF-055
CAF-056	The position value is not consistent with the position type.	<ShortPositionHedgingRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MainInstrumentsTraded/MainInstrumentTraded	C O* for PositionType equal to "S" F otherwise	For short positions, indication of whether the position is used to hedge a position with a similar economic exposure. The field is optional for <PositionType> equal to "S" and forbidden otherwise.	CAF-056
CAF-057	The sum of the percentages should be equal to 100%.	<AfricaNAVRate> <AsiaPacificNAVRate> <EuropeNAVRate> <EEANAVRate> <MiddleEastNAVRate> <NorthAmericaNAVRate> <SouthAmericaNAVRate> <SupraNationalNAVRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/NAVGeographicalFocus	M	NAV rate expressed as a percentage for each geographical area. Certain regions may have negative values whereas other regions may have values above 100%. The sum of all the region percentages should be 100%, i.e. <AfricaAUMRate> + <AsiaPacificAUMRate> + <EuropeAUMRate> + <EEAAUMRate> + <MiddleEastAUMRate> + <NorthAmericaAUMRate> + <SouthAmericaAUMRate> + <SupraNationalAUMRate> = 100 (%). A maximum of 8 regions can be reported.	CAF-057
CAF-058	The sum of the percentages should be equal to 100%.	<AfricaAUMRate> <AsiaPacificAUMRate> <EuropeAUMRate> <EEAAUMRate> <MiddleEastAUMRate> <NorthAmericaAUMRate> <SouthAmericaAUMRate> <SupraNationalAUMRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/AUMGeographicalFocus	O	The AuM rate is expressed as a percentage for each geographical area. Certain regions may have negative values whereas other regions may have values above 100%. The sum of all the region percentages should be 100%. A maximum of 8 regions can be reported.	CAF-058
CAF-059	The sub-asset type is not consistent with the macro-asset type.	<SubAssetType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure	M	The ten sub-asset types of the ten principal exposures. The sub-asset types admitted are listed in Annex II - Table 1 of the "Guidelines on reporting obligations under Articles 3(3)(d) and 24(1), (2) and (4) of the AIFMD" (ESMA/2014/869EN), i.e. highest level of detail available. This field is mandatory for <AssetMacroType> code different from "NTA" and forbidden otherwise.	CAF-059
CAF-060	The position type is not consistent with the macro-asset type.	<PositionType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	The long/short position flag admitted are: - "L" for long - "S" for short The field is mandatory for <AssetMacroType> code different from "NTA" and forbidden otherwise.	CAF-060
CAF-061	The aggregated value is not consistent with the macro-asset type.	<AggregatedValueAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure	C M for macro asset type different from "NTA" F otherwise	The aggregated value for each sub-asset type has to be expressed in the base currency of the AIF and has to be filled in without decimal places. This field is mandatory for <AssetMacroType> code different from "NTA" and forbidden otherwise	CAF-061
CAF-062	The reported value is not consistent with the rank.	<AggregatedValueAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure	C M for macro asset type different from "NTA" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank value and so on.	CAF-062

CAF-063	The aggregated value percentage is not consistent with the macro-asset type.	<AggregatedValueRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure	C M for macro asset type different from "NTA" F otherwise	Aggregated value rate expressed as a percentage for each sub-asset type. This field is mandatory for <AssetMacroType> code different from "NTA" and forbidden otherwise.	CAF-063
CAF-064	The LEI code is not consistent with the counterparty name.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure/CounterpartyIdentification	O	The LEI code cannot be filled if the <EntityName> of the counterparty is not filled in.	CAF-064
CAF-065	The check digits of the LEI code are not correct.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure/CounterpartyIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-065
CAF-066	The BIC code is not consistent with the counterparty name.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/PrincipalExposures/PrincipalExposure/CounterpartyIdentification	O	The BIC code (ISO 9362 standard) cannot be filled if the <EntityName> of the counterparty is not filled in.	CAF-066
CAF-067	The position type is not consistent with the asset type.	<PositionType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration	C M for Sub-asset type different from "NTA_NTA_NOTA" F otherwise	The long/short position flag admitted are: - "L" for long - "S" for short The field is mandatory for <SubAssetType> code different from "NTA_NTA_NOTA" and forbidden otherwise.	CAF-067
CAF-068	The market code type is not consistent with the asset type.	<MarketCodeType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/MarketIdentification	C M for Asset type different from "NTA_NTA" F otherwise	The market codes types admitted are: - "MIC" for markets with MIC codes - "OTC" for OTC derivatives - "XXX" for no market The field is mandatory for <AssetType> code different from "NTA_NTA" and forbidden otherwise.	CAF-068
CAF-069	The MIC code is not correct.	<MarketCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/MarketIdentification	C M for market code type equal to "MIC" F otherwise	The "MIC" code (ISO 10383) for the trading venue where the category of investment is traded has to be filled in. The CSSF checks the existence of the MIC code. The field is mandatory for <MarketCodeType> equal to "MIC" and forbidden otherwise.	CAF-069
CAF-070	The MIC code is not consistent with the market code type.	<MarketCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/MarketIdentification	C M for market code type equal to "MIC" F otherwise	The "MIC" code (ISO 10383) for the trading venue where the category of investment is traded. The field is mandatory for <MarketTypeCode> equal to "MIC" and forbidden otherwise.	CAF-070
CAF-071	The aggregated value is not consistent with the asset type.	<AggregatedValueAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration	C M for macro asset type different from "NTA" F otherwise	This is the aggregated value for each sub-asset type expressed in Base currency filled in without decimal places. The field is mandatory for <AssetMacroType> code different from "NTA" and forbidden otherwise.	CAF-071

CAF-072	The reported value is not consistent with the rank.	<AggregatedValueAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration	C M for macro asset type different from "NTA" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank values and so on.	CAF-072
CAF-073	The aggregated value percentage is not consistent with the asset type.	<AggregatedValueRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration	C M for macro asset type different from "NTA" F otherwise	The aggregated value rate expressed as a percentage for each each asset type, market and position type has to be filled in. The field is mandatory for <AssetMarcoType> code different from "NTA" and forbidden otherwise.	CAF-073
CAF-074	The counterparty name is not consistent with the market code type.	<EntityName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/CounterpartyIdentification	C F for market code type different from "OTC" O otherwise	The entity name of the counterparty has to be filled in. It is strongly recommended to provide the full name corresponding to the allocated LEI code (or BIC code if any and LEI not available). The counterparty data is not allowed when the reported <MarketType> is different from "OTC" .	CAF-074
CAF-075	The LEI code is not consistent with the counterparty name.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/CounterpartyIdentification	C F for counterparty name of the portfolio concentration not filled in or market code type is different from "OTC" O otherwise	The counterparty data in this field is not allowed when reported market type is different from "OTC". The LEI code cannot be filled if the counterparty name is not filled in.	CAF-075
CAF-076	The counterparty LEI code is not consistent with the counterparty name.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/CounterpartyIdentification	C F for counterparty name of the portfolio concentration not filled in or market code type is different from "OTC" O otherwise	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-076
CAF-077	The BIC code is not consistent with the counterparty name.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/CounterpartyIdentification	C F for counterparty name of the portfolio concentration not filled in or market code type is different from "OTC" O otherwise	The BIC code (ISO 9362 standard) has to be used for this field. The BIC code cannot be filled in if the counterparty name is not filled in.	CAF-077

CAF-078	The counterparty BIC code is not consistent with the counterparty name.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/PortfolioConcentrations/PortfolioConcentration/CounterpartyIdentification	C F for counterparty name of the portfolio concentration not filled in or market code type is different from "OTC" O otherwise	The counterparty data in this field is not allowed when the reported market type is different from "OTC".	CAF-078
CAF-079	The position size type is not consistent with the predominant AIF type.	<TypicalPositionSize>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration	C M for "PEQF" predominant AIF type F otherwise	The category of deal/position size that the private equity fund typically invests in. The possible categories are: - V_SMALL for "Very Small" when less than €5m - SMALL for "Small" when between €5m to €25m - LOW_MID_MKT for "Low mid market" when between €25m to €150m - UP_MID_MKT for "Upper mid market" when between €150m to €500m - L_CAP for "Large cap" when between €500m to €1bn - M_CAP for "Mega cap" when for €1bn and greater See Annex II - Table 4 of the "Guidelines on reporting obligations under Articles 3(3)(d) and 24(1), (2) and (4) of the AIFMD" (ESMA/2014/869 EN). This field is mandatory for AIF predominant type "Private Equity funds" and forbidden otherwise.	CAF-079
CAF-080	The MIC code is not correct	<MarketCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/AIFPrincipalMarkets/AIFPrincipalMarket/MarketIdentification	C M for market code type equal to "MIC" F otherwise	In this field the "MIC" code (ISO 10383) for the trading venue has to be filled in. The CSSF checks the existence of the MIC code. The field is mandatory for <MarketType> code equal to "MIC" and forbidden otherwise.	CAF-080
CAF-081	The MIC code is not consistent with the market code type.	<MarketCode>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/AIFPrincipalMarkets/AIFPrincipalMarket/MarketIdentification	C M for market code type equal to "MIC" F otherwise	The "MIC" code (ISO 10383) for the trading venue where the category of investment is traded. This field is mandatory if the "Code type of principal market in which AIF trades" <AIFPrincipalMarkets> is equal to "MIC" and forbidden otherwise.	CAF-081
CAF-082	The aggregated value is not consistent with the market code type.	<AggregatedValueAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/AIFPrincipalMarkets/AIFPrincipalMarket	C M for macro asset type different from "NTA" F otherwise	The aggregated value has to be expressed in base currency of the AIF and has to be filled in without decimal places. The field is mandatory if the "Code type of principal market in which AIF trades" <AIFPrincipalMarkets> is different from "NTA" and forbidden otherwise.	CAF-082
CAF-083	The reported value is not consistent with the rank.	<AggregatedValueAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/AIFPrincipalMarkets/AIFPrincipalMarket	C M for macro asset type different from "NTA" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank value and so on.	CAF-083
CAF-084	The sum of the percentages should be equal to 0% or 100%.	<ProfessionalInvestorConcentrationRate> <RetailInvestorConcentrationRate>	/AIFRecordInfo/AIFCompleteDescription/AIFPrincipalInfo/MostImportantConcentration/InvestorConcentration	M	The sum of the percentages reported by professional clients and retail investors should be 100% or 0%. The retail investor rate and professional investor rate should be filled in with 0% when there is no information to report.	CAF-084

CAF-085	The gross value is not consistent with the sub-asset type.	<GrossValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/AssetTypeExposures/AssetTypeExposure	C Forbidden for Sub-asset type different from "DER_FEX_INV" and "DER_IRD_INTR" otherwise	For Sub-asset types "DER_FEX_INV", "DER_FEX_HEDG" and "DER_IRD_INTR" the gross value amount expressed in the base currency of the AIF has to be filled in without decimal places. This field is forbidden for <SubAssetType> different from "DER_FEX_INV", "DER_FEX_HEDG" and "DER_IRD_INTR".	CAF-085
CAF-086	The long value is not consistent with the sub-asset type.	<LongValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/AssetTypeExposures/AssetTypeExposure	C Forbidden for Sub-asset type equal to "DER_FEX_INV" and "DER_IRD_INTR" otherwise	For Sub-asset types different from "DER_FEX_INV", "DER_FEX_HEDG" and "DER_IRD_INTR" the long value amount for each sub-asset expressed in the base currency of the AIF has to be filled in without decimal places. This field is forbidden for <SubAssetType> equal to "DER_FEX_INV", "DER_FEX_HEDG" and "DER_IRD_INTR".	CAF-086
CAF-087	The short value is not consistent with the sub-asset type.	<ShortValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/AssetTypeExposures/AssetTypeExposure	C Forbidden for Sub-asset type equal to "DER_FEX_INV" and "DER_IRD_INTR" otherwise	The short value amount for each sub-asset type different from "DER_FEX_INV", "DER_FEX_HEDG" and "DER_IRD_INTR" with short position value expressed in the base currency of the AIF has to be filled in without decimal places. This field is forbidden for <SubAssetType> equal to "DER_FEX_INV", "DER_FEX_HEDG" and "DER_IRD_INTR".	CAF-087
CAF-088	The notional value is not consistent with the sub-asset type.	<NotionalValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/AssetTypeTurnovers/AssetTypeTurnover	C Forbidden for sub-asset type different from "DER_EQD_EQD", "DER_FID_FID", "DER_CDS_CDS", "DER_FEX_INV", "DER_FEX_HED", "DER_IRD_IRD", "DER_CTY_CTY", "DER_OTH_OTH"	The notional value of turnover in each relevant sub-asset type over the reporting months expressed in the base currency of the AIF has to be filled in without decimal places. The notional amount should be reported only for derivatives sub-asset types codes of turnover ("DER_EQD_EQD", "DER_FID_FID", "DER_CDS_CDS", "DER_FEX_INV", "DER_FEX_HED", "DER_IRD_IRD", "DER_CTY_CTY", "DER_OTH_OTH"). When no trades took place during the reporting period, "0" should be reported for the sub-asset type code of turnover "OTH_OTH_OTH".	CAF-088
CAF-089	The currency code is not correct.	<ExposureCurrency>	/AIFReportingInfo/AIFRecordInfo/AIFIndividualInfo/IndividualExposure/CurrencyExposures/CurrencyExposure	O	Each currency of exposure has to be filled in by respecting the 3-character currency code according to the ISO 4217. The currency must exist in the reference table of currencies.	CAF-089

CAF-090	The long position value is not consistent with the currency of exposure.	<LongPositionValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CurrencyExposures/CurrencyExposure	C F for currency of the exposure not filled in O otherwise	Long position value amount for each currency exposure must be filled in without decimal places. The field is forbidden for <ExposureCurrency> not filled in and optional otherwise.	CAF-090
CAF-091	The short position value is not consistent with the currency of exposure.	<ShortPositionValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CurrencyExposures/CurrencyExposure	C F for currency of the exposure not filled in O otherwise	Short position value amount for each currency exposure must be filled in without decimal places. The field is forbidden for <ExposureCurrency> not filled in and optional otherwise.	CAF-091
CAF-092	The company name is not consistent with the AIF predominant type.	<EntityName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence/CompanyIdentification	C M for AIF predominant type "Private Equity funds" F otherwise	Currently not active. Please resend the file. It is strongly recommended to provide the full name corresponding to the allocated LEI code (or BIC code if any and LEI not available).	CAF-092
CAF-093	The check digits of the LEI code are not correct.	<EntityName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence/CompanyIdentification	C M for AIF predominant type "Private Equity funds" F otherwise	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-093
CAF-094	The LEI code is not consistent with the AIF predominant type.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence/CompanyIdentification	C O for AIF predominant type "Private Equity funds" F otherwise	This control is currently not active. Please resend the file. The field is forbidden for <PredominantAIFType> different from "Private Equity funds".	CAF-094
CAF-095	The BIC code is not consistent with the AIF predominant type.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence/CompanyIdentification	C O for AIF predominant type "Private Equity funds" F otherwise	The BIC code (ISO 9362 standard) has to be used for this field. The field is forbidden for <PredominantAIFType> different from "Private Equity funds".	CAF-095
CAF-096	The transaction type is not consistent with the AIF predominant type.	<TransactionType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence	C M for AIF predominant type "Private Equity funds" F otherwise	This control is currently not active. Please resend the file. The codes for the transaction type are: - ACAP for "Acquisition capital" - BOUT for "Buyouts" - CONS for "Consolidations (industry roll-ups)" - CDIV for "Corporate Divestitures" - ESOP for "Employee Stock Ownership Plans" - GCAP for "Growth Capital" - RCAP for "Recapitalisation" - SLIQ for "Shareholder Liquidity" - TURN for "Turnarounds" - OTHR for "Other transaction type" See Annex II - Table 5 ("Transaction type") The field is mandatory for <PredominantAIFType> equal to "Private Equity funds" and forbidden otherwise.	CAF-096

CAF-097	The description for other transaction type is not consistent with the transaction type.	<OtherTransactionTypeDescription>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence	C M for transaction type equal to "OTHR" F otherwise	Free text. The field is mandatory for <TransactionType> equal to "OTHR" and forbidden otherwise.	CAF-097
CAF-098	The percentage of voting rights is not consistent with the AIF predominant type.	<VotingRightsRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/IndividualExposure/CompaniesDominantInfluence/CompanyDominantInfluence	C M for AIF predominant type "PEQF" F otherwise	This control is currently not active. Please resend the file. This rate is expressed as a percentage. The field is mandatory for <PredominantAIFType> equal to "Private Equity funds" and forbidden otherwise.	CAF-098
CAF-099	The risk measure value is not consistent with the risk measure type.	<RiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure	C O for risk measure type equal to "NET_EQTY_DELTA" and "NET_FX_DELTA" and "NET_CTY_DELTA"	This field is only authorised for <RiskMeasureType> equal to "NET_EQTY_DELTA" and "NET_FX_DELTA" and "NET_CTY_DELTA" and forbidden otherwise.	CAF-099
CAF-100	The risk measure value is not consistent with the risk measure type.	<LessFiveYearsRiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/MarketRiskMeasureValues	C O for risk measure type equal to "NET_DV01" and "NET_CS01" F otherwise	This field is only authorised for <RiskMeasureType> equal to "NET_DV01" and "NET_CS01" and forbidden otherwise.	CAF-100
CAF-101	The risk measure value is not consistent with the risk measure type.	<FifteenYearsRiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/MarketRiskMeasureValues	C O for risk measure type equal to "NET_DV01" and "NET_CS01" F otherwise	This field is only authorised for <RiskMeasureType> equal to "NET_DV01" and "NET_CS01" and forbidden otherwise.	CAF-101
CAF-102	The risk measure value is not consistent with the risk measure type.	<MoreFifteenYearsRiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/MarketRiskMeasureValues	C O for risk measure type equal to "NET_DV01" and "NET_CS01" F otherwise	This field is only authorised for <RiskMeasureType> equal to "NET_DV01" and "NET_CS01" and forbidden otherwise.	CAF-102
CAF-103	The risk measure value is not consistent with the risk measure type.	<CurrentMarketRiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/VegaRiskMeasureValues	C O * for risk measure type equal to "VEGA_EXPO" F otherwise	This field is only authorised for <RiskMeasureType> equal to "VEGA_EXPO" and forbidden otherwise.	CAF-103
CAF-104	The risk measure value is not consistent with the risk measure type.	<LowerMarketRiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/VegaRiskMeasureValues	C O * for risk measure type equal to "VEGA_EXPO" F otherwise	This field is only authorised for <RiskMeasureType> equal to "VEGA_EXPO" and forbidden otherwise.	CAF-104

CAF-105	The risk measure value is not consistent with the risk measure type.	<HigherMarketRiskMeasureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/VegaRiskMeasureValues	C O * for risk measure type equal to "VEGA_EXPO" F otherwise	This field is only authorised for <RiskMeasureType> equal to "VEGA_EXPO" and forbidden otherwise.	CAF-105
CAF-106	The risk measure value is not consistent with the risk measure type.	<VARValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/VARRiskMeasureValues	O *	This field is authorised only for risk measure type equal to "VAR" and forbidden otherwise. This value is expressed as a percentage.	CAF-106
CAF-107	The VAR calculation method is not consistent with the risk measure type.	<VARCalculationMethodCodeType>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure/VARRiskMeasureValues	O *	Allowed values are: - "HISTO" for Historical simulation - "CARLO" for Monte Carlo simulation - "PARAM" for Parametric This field is authorised only for <RiskMeasureType> equal to "VAR" and forbidden otherwise.	CAF-107
CAF-108	The risk measure description is not consistent with the risk measure value.	<RiskMeasureDescription>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/MarketRiskProfile/MarketRiskMeasures/MarketRiskMeasure	C M for risk measure values equal to 0	This control is currently not active. Please resend the file. Free description. The field is mandatory for risk measure values equal to "0" and optional otherwise.	CAF-108
CAF-109	The sum of the percentages should be equal to 100%.	<RegulatedMarketRate> <OTCRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/TradingClearingMechanism/TradedSecurities	M	The rate is expressed as a percentage. The sum of the regulated exchange and OTC percentages should be equal to 100%.	CAF-109
CAF-111	The sum of the percentages should be equal to 100%.	<RegulatedMarketRate> <OTCRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/TradingClearingMechanism/TradedDerivatives	M	The rate is expressed as a percentage. The sum of the percentages reported by regulated exchanges and OTCs should be 100%.	CAF-111
CAF-113	The counterparty name is not consistent with the counterparty exposure flag.	<EntityName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/FundToCounterpartyExposures/FundToCounterpartyExposure/CounterpartyIdentification	O	The name of the company has to be filled in (no standard), although it is strongly recommended to provide the full name corresponding to the allocated LEI code (or BIC code no LEI is available). Within each ranking, if the <CounterpartyExposureFlag> is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-113
CAF-114	The check digits of the LEI code are not correct.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/FundToCounterpartyExposures/FundToCounterpartyExposure/CounterpartyIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-114

CAF-115	The LEI code is not consistent with the counterparty exposure flag.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/FundToCounterpartyExposures/FundToCounterpartyExposure/CounterpartyIdentification	O	Within each ranking, the LEI code is forbidden for <CounterpartyExposureFlag> (Counterparty to which the AIF has the greatest exposure) equal to "false".	CAF-115
CAF-116	The BIC code is not consistent with the counterparty exposure flag.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/FundToCounterpartyExposures/FundToCounterpartyExposure/CounterpartyIdentification	O	The BIC code (ISO 9362 standard) has to be used for this field. The field is forbidden if the name of the counterparty is not filled in and optional otherwise.	CAF-116
CAF-117	The NAV percentage is not consistent with the counterparty exposure flag.	<CounterpartyTotalExposureRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/FundToCounterpartyExposures/FundToCounterpartyExposure	C Within each ranking, M for Counterparty exposure flag (to which) equal to "true" F otherwise	Percentage of the NAV corresponding to the total exposure amount for each of the 5 greatest counterparty exposures to which the AIF has the greatest exposure. Within each ranking, if the <CounterpartyExposureFlag> is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-117
CAF-118	The reported value is not consistent with the rank.	<CounterpartyTotalExposureRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/FundToCounterpartyExposures/FundToCounterpartyExposure	C Within each ranking, M for Counterparty exposure flag (to which) equal to "true" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank value and so on.	CAF-118
CAF-119	The counterparty name is not consistent with the counterparty exposure flag.	<EntityName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyToFundExposures/CounterpartyToFundExposure/CounterpartyIdentification	O	The name of the company has to be filled in (no standard), although it is strongly recommended to provide the full name corresponding to the allocated LEI code (or BIC code no LEI is available). Within each ranking, if the <CounterpartyExposureFlag> (counterparty that has the greatest exposure to the AIF) is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-119
CAF-120	The check digits of the LEI code are not correct.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyToFundExposures/CounterpartyToFundExposure/CounterpartyIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-120
CAF-121	The LEI code is not consistent with the counterparty exposure flag.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyToFundExposures/CounterpartyToFundExposure/CounterpartyIdentification	O	Within each ranking, the LEI code is forbidden for <CounterpartyExposureFlag> (Counterparty that has the greatest exposure to the AIF) equal to "false".	CAF-121

CAF-122	The BIC code is not consistent with the counterparty exposure flag.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/CounterpartyToFundExposures/CounterpartyToFundExposure/CounterpartyIdentification	O	The BIC code (ISO 9362 standard) has to be used for this field. The field is forbidden if the name of the counterparty is not filled in and optional otherwise.	CAF-122
CAF-123	The NAV percentage is not consistent with the counterparty exposure flag.	<CounterpartyTotalExposureRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/CounterpartyToFundExposures/CounterpartyToFundExposure	C Within each ranking, M for Counterparty exposure flag (to which) equal to "true" F otherwise	Percentage of the NAV corresponding to the total exposure amount for each of the 5 greatest counterparty exposures that has the greatest to the AIF. Within each ranking, if the <CounterpartyExposureFlag> (counterparty that has the greatest exposure to the AIF) is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-123
CAF-124	The reported value is not consistent with the rank.	<CounterpartyTotalExposureRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/CounterpartyToFundExposures/CounterpartyToFundExposure	C Within each ranking, M for Counterparty exposure flag (to which) equal to "true" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank values and so on.	CAF-124
CAF-125	If the Direct clearing flag equal to true, at least rank 1 has to be reported.	<Ranking>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/CCPExposures/CCPExposure	M	The ranking admitted are: - 1 for "first CCP exposure" - 2 for "second CCP exposure" and - 3 for "third top CCP exposure". If the direct clearing flag (<ClearTransactionsThroughCCPFlag>) is equal to "true", at least rank "1" has to be reported.	CAF-125
CAF-126	The LEI code is not consistent with the counterparty exposure flag.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/CCPExposures/CCPExposure/CCPIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-126
CAF-127	The reported value is not consistent with the rank.	<CCPExposureValue>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/CounterpartyRiskProfile/CCPExposures/CCPExposure	C First ranking mandatory for Direct clearing flag equal to "true" F otherwise	The ranks that are assigned to the reported values should be consistent in relation to each other. First rank value is above or equal to the second rank values and so on.	CAF-127
CAF-128	The sum of the percentages should be equal to 100%.	<PortfolioLiquidityInDays0to1Rate> <PortfolioLiquidityInDays2to7Rate> <PortfolioLiquidityInDays8to30Rate> <PortfolioLiquidityInDays31to90Rate> <PortfolioLiquidityInDays91to180Rate> <PortfolioLiquidityInDays181to365Rate> <PortfolioLiquidityInDays365MoreRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/PortfolioLiquidityProfile	C To be filled in when at least one portfolio liquidity profile element is reported	The percentage of portfolio capable of being liquidated within X day or less. The total sum of the percentage of the fund's portfolio that is capable of being liquidated within each of the liquidity periods specified should equal 100%, i.e. <PortfolioLiquidityInDays0to1Rate> + <PortfolioLiquidityInDays2to7Rate> + <PortfolioLiquidityInDays8to30Rate> + <PortfolioLiquidityInDays31to90Rate> + <PortfolioLiquidityInDays91to180Rate> + <PortfolioLiquidityInDays181to365Rate> + <PortfolioLiquidityInDays365MoreRate> = 100 (%).	CAF-128

CAF-129	The sum of the percentages should be equal to 100%.	<InvestorLiquidityInDays0to1Rate> <InvestorLiquidityInDays2to7Rate> <InvestorLiquidityInDays8to30Rate> <InvestorLiquidityInDays31to90Rate> <InvestorLiquidityInDays91to180Rate> > <InvestorLiquidityInDays181to365Rate> > <InvestorLiquidityInDays365MoreRate> >	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/InvestorLiquidityProfile	C To be filled in when at least one portfolio liquidity profile element is reported	Breakdown of the NAV divided among the X day or less period depending on the shortest period within which the invested funds could be withdrawn or investors could receive redemption payments. The total should equal 100%. i.e. <InvestorLiquidityInDays0to1Rate> + <InvestorLiquidityInDays2to7Rate> + <InvestorLiquidityInDays8to30Rate> + <InvestorLiquidityInDays31to90Rate> + <InvestorLiquidityInDays91to180Rate> + <InvestorLiquidityInDays181to365Rate> + <InvestorLiquidityInDays365MoreRate> = 100 (%).	CAF-129
CAF-130	The investor redemption frequency is not consistent with the withdrawal redemption rights flag.	<InvestorRedemptionFrequency>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/InvestorRedemption	C F for Withdrawal redemption rights flag false O otherwise	The frequency of investor redemptions. If there are multiple classes of shares or units, the frequency reported is for the largest share class by NAV. The allowed values are: - D for "Daily" - W for "Weekly" - F for "Fortnightly" - M for "Monthly" - Q for "Quarterly" - H for "Half-yearly" - Y for "Yearly" - O for "Other" - N for "None" See Annex II - Table 6 The field is forbidden if the <ProvideWithdrawalRightsFlag> of the <InvestorRedemption> is equal to "false" and optional otherwise.	CAF-130
CAF-131	The investor redemption notice period is not consistent with the withdrawal redemption rights flag.	<InvestorRedemptionNoticePeriod>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/InvestorRedemption	C F for Withdrawal redemption rights flag false O otherwise	The notice period required by investors for redemptions in days. If there are multiple classes of shares or units, the notice period reported is the asset weighted notice period. The number of days is expressed without decimal places when weighted. The field is forbidden if the <ProvideWithdrawalRightsFlag> of the <InvestorRedemption> is equal to "false" and optional otherwise.	CAF-131
CAF-132	The investor redemption lock-up is not consistent with the withdrawal redemption rights flag.	<InvestorRedemptionLockUpPeriod>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/InvestorRedemption	C F for Withdrawal redemption rights flag false O otherwise	The investor lock-up period in days. If there are multiple classes of shares or units, the notice period reported is the asset weighted notice period. The number of days expressed without decimal places when weighted. The field is forbidden if the <ProvideWithdrawalRightsFlag> of the <InvestorRedemption> is equal to "false" and optional otherwise.	CAF-132
CAF-133	The sum of the percentages should be equal to 100%.	<TotalFinancingInDays0to1Rate> <TotalFinancingInDays2to7Rate> <TotalFinancingInDays8to30Rate> <TotalFinancingInDays31to90Rate> <TotalFinancingInDays91to180Rate> <TotalFinancingInDays181to365Rate> <TotalFinancingInDays365MoreRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/LiquidityRiskProfile/FinancingLiquidityProfile	C M if "Available Financing Amount" is filled in F otherwise	Breakdown of the total financing amount divided among the X day or less period depending on the longest period for which the creditor is contractually committed to provide such financing. The total should equal 100%. <TotalFinancingInDays0to1Rate> + <TotalFinancingInDays2to7Rate> + <TotalFinancingInDays8to30Rate> + <TotalFinancingInDays31to90Rate> + <TotalFinancingInDays91to180Rate> + <TotalFinancingInDays181to365Rate> + <TotalFinancingInDays365MoreRate> = 100 (%).	CAF-133

CAF-134	The month rate is not consistent with the reporting period.	<RateJanuary> <RateFebruary> <RateMarch> <RateApril> <RateMay> <RateJune> <RateJuly> <RateAugust> <RateSeptember> <RateOctober> <RateNovember> <RateDecember>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/OperationalRisk/HistoricalRiskProfile/GrossInvestmentReturnsRate	O	Gross investment returns or IRR of the AIF for example January (in %, gross of management and performance fees). Rate expressed as a percentage. For each calendar year, the January rate should be reported only once. For Q1 reporting period, only January, February and March rates may be reported. For Q2 reporting period, only April, May and June rates may be reported. For Q3 reporting period, only July, August and September rates may be reported. For Q4 reporting period, only October, November and December rates may be reported. For H1 reporting period, only January, February, March, April, May and June rates may be reported. For H2 reporting period, only July, August, September, October, November and December rates may be reported. For Y1 reporting period, all month rates may be reported. For X1 reporting period, only January, February, March, April, May, June, July, August and September rates may be reported. For X2 reporting period, only April, May, June, July, August, September, October, November and December rates may be reported.	CAF-134
CAF-135	The month rate is not consistent with the reporting period.	<RateJanuary> <RateFebruary> <RateMarch> <RateApril> <RateMay> <RateJune> <RateJuly> <RateAugust> <RateSeptember> <RateOctober> <RateNovember> <RateDecember>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/OperationalRisk/HistoricalRiskProfile/NetInvestmentReturnsRate	O	Net investment rate of returns or IRR of the AIF for example January (in %, gross of management and performance fees). Rate expressed as a percentage. For each calendar year, the January rate should be reported only once. For Q1 reporting period, only January, February and March rates may be reported. For Q2 reporting period, only April, May and June rates may be reported. For Q3 reporting period, only July, August and September rates may be reported. For Q4 reporting period, only October, November and December rates may be reported. For H1 reporting period, only January, February, March, April, May and June rates may be reported. For H2 reporting period, only July, August, September, October, November and December rates may be reported. For Y1 reporting period, all month rates may be reported. For X1 reporting period, only January, February, March, April, May, June, July, August and September rates may be reported. For X2 reporting period, only April, May, June, July, August, September, October, November and December rates may be reported.	CAF-135
CAF-136	The month rate is not consistent with the reporting period.	<RateJanuary> <RateFebruary> <RateMarch> <RateApril> <RateMay> <RateJune> <RateJuly> <RateAugust> <RateSeptember> <RateOctober> <RateNovember> <RateDecember>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/OperationalRisk/HistoricalRiskProfile/NAVChangeRate	O	The rate is expressed as a percentage. For each calendar year, the January rate should be reported only once. For Q1 reporting period, only January, February and March rates may be reported. For Q2 reporting period, only April, May and June rates may be reported. For Q3 reporting period, only July, August and September rates may be reported. For Q4 reporting period, only October, November and December rates may be reported. For H1 reporting period, only January, February, March, April, May and June rates may be reported. For H2 reporting period, only July, August, September, October, November and December rates may be reported. For Y1 reporting period, all month rates may be reported. For X1 reporting period, only January, February, March, April, May, June, July, August and September rates may be reported. For X2 reporting period, only April, May, June, July, August, September, October, November and December rates may be reported.	CAF-136

CAF-137	The month quantity is not consistent with the reporting period.	<QuantityJanuary> <QuantityFebruary> <QuantityMarch> <QuantityApril> <QuantityMay> <QuantityJune> <QuantityJuly> <QuantityAugust> <QuantitySeptember> <QuantityOctober> <QuantityNovember> <QuantityDecember>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/OperationalRisk/HistoricalRiskProfile/Subscription	O	Number of subscriptions during for example January. This number should be filled in without decimal places. For each calendar year, the January quantity should be reported only once. For Q1 reporting period, only January, February and March quantities may be reported. For Q2 reporting period, only April, May and June quantities may be reported. For Q3 reporting period, only July, August and September quantities may be reported. For Q4 reporting period, only October, November and December quantities may be reported. For H1 reporting period, only January, February, March, April, May and June quantities may be reported. For H2 reporting period, only July, August, September, October, November and December quantities may be reported. For Y1 reporting period, all month quantities may be reported. For X1 reporting period, only January, February, March, April, May, June, July, August and September rates may be reported. For X2 reporting period, only April, May, June, July, August, September, October, November and December rates may be reported.	CAF-137
CAF-138	The month quantity is not consistent with the reporting period.	<QuantityJanuary> <QuantityFebruary> <QuantityMarch> <QuantityApril> <QuantityMay> <QuantityJune> <QuantityJuly> <QuantityAugust> <QuantitySeptember> <QuantityOctober> <QuantityNovember> <QuantityDecember>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFIndividualInfo/RiskProfile/OperationalRisk/HistoricalRiskProfile/Redemption	O	For example this is the number of redemptions during January. This number should be filled in without decimal places. For each calendar year, the January quantity should be reported only once. For Q1 reporting period, only January, February and March quantities may be reported. For Q2 reporting period, only April, May and June quantities may be reported. For Q3 reporting period, only July, August and September quantities may be reported. For Q4 reporting period, only October, November and December quantities may be reported. For H1 reporting period, only January, February, March, April, May and June quantities may be reported. For H2 reporting period, only July, August, September, October, November and December quantities may be reported. For Y1 reporting period, all month quantities may be reported. For X1 reporting period, only January, February, March, April, May, June, July, August and September rates may be reported. For X2 reporting period, only April, May, June, July, August, September, October, November and December rates may be reported.	CAF-138
CAF-139	The field is forbidden for rehypothecation flag false and optional otherwise.	<AllCounterpartyCollateralRehypothecatedRate>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-2	C F for rehypothecation flag "false" O otherwise	Percentage of the amounts of collateral and other credit support (that the AIF has posted to counterparties) that have been rehypothecated by counterparties. This rate is expressed as a percentage. The field is forbidden if the <AllCounterpartyCollateralRehypothecationFlag> is equal to "false" and optional otherwise.	CAF-139
CAF-140	The check digits of the LEI code are not correct.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-2/ControlledStructures/ControlledStructure/ControlledStructureIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-140
CAF-141	Within each ranking of five largest sources of borrowed cash, mandatory for Borrowing source flag equal to true else forbidden.	<EntityName>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-4/BorrowingSource/SourceIdentification	O	The name of the company has to be filled in (no standard), although it is strongly recommended to provide the full name corresponding to the allocated LEI code (or BIC code no LEI is available). Within each ranking, if the <BorrowingSourceFlag> is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-141

CAF-142	The check digits of the LEI code are not correct.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-4/BorrowingSource/SourceIdentification	O	The CSSF verifies the correctness of the LEI code format and performs the 2-last digits check (ISO 17442 standard).	CAF-142
CAF-143	The LEI code is not consistent with the borrowing source flag.	<EntityIdentificationLEI>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-4/BorrowingSource/SourceIdentification	O	Within each ranking, the LEI code is forbidden, if the <BorrowingSourceFlag> is equal to "false".	CAF-143
CAF-144	The BIC code is not consistent with the borrowing source flag.	<EntityIdentificationBIC>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-4/BorrowingSource/SourceIdentification	O	The BIC code (ISO 9362 standard) has to be used for this field. The field is forbidden, if the name of the counterparty is not filled in and optional otherwise.	CAF-144
CAF-145	The leverage amount is not consistent with the borrowing source flag.	<LeverageAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-4/BorrowingSource	C Within each ranking, M for Borrowing source flag equal to "true" F otherwise	Leverage amount received from each of the 5 largest sources of borrowed cash or securities expressed in base currency filled in without decimal places. Within each ranking, if the <BorrowingSourceFlag> is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-145
CAF-146	The reported value is not consistent with the rank.	<LeverageAmount>	/AIFReportingInfo/AIFRecordInfo/AIFCompleteDescription/AIFLeverageInfo/AIFLeverageArticle24-4/BorrowingSource	C Within each ranking, M for Borrowing source flag equal to "true" F otherwise	Leverage amount received from each of the 5 largest sources of borrowed cash or securities expressed in base currency filled in without decimal places. Within each ranking, if the <BorrowingSourceFlag> is equal to "true", this field has to be reported. It is forbidden otherwise.	CAF-146
WAF-001	The sum of the percentages should be equal to 100%.				Percentage of trade volumes for derivatives cleared by a CCP <CCPRate> + Percentage of trade volumes for derivatives cleared bilaterally <BilaterallyClearingRate> = 100(%).	WAF-001
WAF-002	The sum of the percentages should be equal to 100%.				Percentage of market value for repos trades cleared by CCP <CCPRate> + Percentage of market value for repos trades cleared bilaterally <BilateralClearingRate> + Percentage of market value for repos trades cleared with cleared bilaterally <TriPartyClearingRate> = 100(%).	WAF-002

Technical guidance

The "Error" column contains the error code.

The "Error message" column contains the error message transmitted in the feedback file.

The "XML Tag" column contains the exact xml tag where the error has occurred.

The "XML path" column contains the entire path.

The "M/C/O/F" column indicates whether an element is mandatory (M), conditional (C) for elements mandatory in specific cases, forbidden (F) or optional (O).

The "Control Description" column contains a detailed description of the expected / authorized values for this field.

Document status

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05.01.00	CSSF	17.05.2018
05.02.00	CSSF	21.03.2019
05.03.00	CSSF	05.09.2019
05.04.00	CSSF	01.05.2020
05.05.00	CSSF	19.05.2020
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