**DECLARATION OF HONOUR FOR LEGAL PERSONS**

# (The declaration shall be filled in electronically and a signed paper version shall be sent to the CSSF)

Relating to point 15.3 a), c), d), f), h) of the authorisation form of payment institutions and e-money institutions

The processing of personal data submitted through this form has been notified to the National Commission for Data Protection. Your personal data will only be processed within the CSSF's remit and will not be transferred to any other entity or organisation outside the CSSF except with your prior consent or if so required by law or by a competent authority. Please note that Chapter VI of the Law of 2 August 2002 concerning the protection of individuals with regard to the processing of personal data grants you certain rights, such as, among others, the right to access and rectify data concerning you.

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| **1. Identification of the legal person** |
| **Official name of the legal person****(as indicated in the articles of association)** *(point 15.3 a). of the authorisation form* | Click here to enter text. |
| **Official legal form of the legal person** | Click here to enter text. |
| **Address, email address and phone number of the registered office of the legal person and where different, addresses of its head office and principal place of business** *(points 15.3 c) and d) of the authorisation form)* | Click here to enter text. |
| **Registration number of the Luxembourg trade and companies register (or equivalent register for a foreign legal person)** | Click here to enter text. |

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| **2. Identification of the undersigned natural person acting as a representative for the legal person** |
| **Last name of the undersigned representative of the legal person (as it appears on the identity card or passport)** | Click here to enter text. |
| **First name(s) of the undersigned representative of the legal person (as it appears on the identity card or passport)** | Click here to enter text. |
| **Position/function of the representative within the legal person**  | Click here to enter text. |
| **Professional address, email address and phone number**  | Click here to enter text. |
| **Private address and phone number** | Click here to enter text. |
| **Date and place of birth** | Click here to enter text. |
| **Identity card or passport number** | Click here to enter text. |
| **Nationality of the undersigned** | Click here to enter text. |
| **Social Security Identification Number** | Click here to enter text. |

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| **3. Other**  |
| **A list of undertakings that the legal person directs and of which the applicant is aware after due and careful enquiry; the status of these undertakings (whether or not they are active, dissolved, etc.); and a description of insolvency or similar procedures***(point 15.3 h) of the authorisation form, referring to point 15.2 d))* | Click here to enter text. |
| **A list of undertakings that the undersigned controls and of which the applicant is aware after due and careful enquiry; the percentage of control either direct or indirect in these companies; their status (whether or not they are active, dissolved, etc.); and a description of insolvency or similar procedures***(point 15.3 h) of the authorisation form, referring to point 15.2 d))* | Click here to enter text. |
| **Where an assessment of reputation of the legal person has already been conducted by a competent authority in the financial services sector, the identity of that authority and the outcome of the assessment** *(point 15.3 h) of the authorisation form, referring to point 15.2 e))* | Click here to enter text. |
| **Whether or not the legal person has ever been or is regulated by a competent authority in the financial services sector or other government body** *(point 15.3 f) of the authorization form)* | Click here to enter text. |
| **A description of any links to politically exposed persons, as defined in article 3(9) of Directive (EU) 2015/849***(point 15.3 h) of the authorisation form, referring to point 15.2 g))* | Click here to enter text. |

**I, the undersigned, \_\_** Click here to enter text. **\_\_\_\_\_\_\_\_\_\_\_ acting as a representative of the legal person declare on my honour, that the legal person I represent**

*(point 15.3 h) of the authorisation form, referring to point 15.2 c))*

1. **subject** **to national legislative requirements concerning the disclosure of spent convictions, has never been or is currently not subject to any criminal conviction or proceedings and which were not set aside;**
2. **has never been or is currently not subject to any civil or administrative decisions in matters of relevance to the assessment or authorisation process and has never been or is currently not subject to any administrative sanctions or measures imposed as a consequence of a breach of laws or regulations (including disqualification as a company director), in each case which were not set aside and against which no appeal is pending or may be filed;**
3. **has never been or is currently not subject to any bankruptcy, insolvency or similar procedures;**
4. **is currently not subject to any pending criminal investigations;**
5. **has never been or is currently not subject to any civil or administrative investigations, enforcement proceedings, sanctions or other enforcement decisions concerning matters that may be considered relevant to the authorisation to commence the activity of a payment institution or an electronic money institution or to the sound and prudent management of a payment institution or an electronic money institution;**
6. **that such documents that can be obtained, such as an official certificate or any other equivalent document evidencing whether or not any of the events set out in sub-paragraphs (i)-(v) has occurred in respect of the undersigned are provided in the annex;**
7. **has never been or is currently not subject to any refusal of registration, authorisation, membership or licence to carry out trade, business or a profession;**
8. **has never been or is currently not subject to any withdrawal, revocation or termination of a registration, authorisation, membership or licence to carry out trade, business or a profession;**
9. **has never been or is currently not subject to any expulsion by an authority or public sector entity in the financial services sector or by a professional body or association;**
10. **has never been or is currently not subject to any position of responsibility with an entity subject to any criminal conviction or proceedings, administrative investigations, sanctions or other enforcement decisions for conduct failings, including in respect of fraud, dishonesty, corruption, money laundering, terrorist financing or other financial crime, or of failure to put in place adequate policies and procedures to prevent such events, held at the time when the alleged conduct occurred, together with details of such occurrences and of the person’s involvement, if any, in them;**
11. **has never been or is currently not subject to any dismissal from employment or a position of trust, any removal from a fiduciary relationship (other than as a result of the relevant relationship coming to an end by passage of time) and any similar situation.**

**Possible remarks or comments of the undersigned *(on the items above, in particular if one of the above items cannot be confirmed by the undersigned)*.**

Click here to enter text.

**By signing this declaration of honour, the undersigned and the legal person commit to inform the CSSF without delay in case one of the elements covered by this declaration was to change in the future**.

**The undersigned recognises and accepts that if this declaration of honour becomes or is incorrect, disciplinary proceedings could be engaged in matters of professional propriety/repute.**

**By signing this declaration of honour, the undersigned and the legal person commit to deal with the CSSF in an open and cooperative way and to disclose appropriately and actively any information of which the CSSF would reasonably expect notice.**

**In case this declaration of honour is not the first with the CSSF, the undersigned shall indicate the date of the previous declaration.**

Click here to enter text.

**Place of signature** Click here to enter text.

**Date of signature** Click here to enter text.

Signature Click here to enter text.