**DECLARATION OF HONOUR FOR NATURAL PERSONS**

# (The declaration shall be filled in electronically and a signed paper version shall be sent to the CSSF)

Relating to point 4.1b) of the registration form of virtual asset service provider

CSSF processes personal data you provide in the context of this form according to the GDPR (UE 2016/679) as detailed in our Private Policy published on the CSSF website: [www.cssf.lu](http://www.cssf.lu)

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| **1. Identification of the undersigned person** | |
| **Last name and name at birth of the undersigned**  **(as indicated on the identity card or passport)**  *(point 4.1 (b) of the registration form)* | Click here to enter text. |
| **First name(s) of the undersigned**  **(as indicated on the identity card or passport)**  *(point 4.1 b) of the registration form))* | Click here to enter text. |
| **Professional address, email address and phone number** | Click here to enter text. |
| **Private address and phone number** | Click here to enter text. |
| **Country(ies) of residence in the last three years (if different from above address)** | Click here to enter text. |
| **Date and place of birth**  *(point 4.1 (b) of the registration form)* | Click here to enter text. |
| **Identity card or passport number**  *(point 4.1 (b) of the registration form)* | Click here to enter text. |
| **Nationality of the undersigned (current and previous)** | Click here to enter text. |
| **Social Security Identification Number** | Click here to enter text. |

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| **2. Other information** | |
| **Where an assessment of reputation of the undersigned has already been conducted by a competent authority in the financial services sector, the identity of that authority and the outcome of the assessment**  *(point 2 (h) of the registration form)* | Click here to enter text. |
| **A description of any links to politically exposed persons, as defined in article 3(9) of Directive (EU) 2015/849**  *(point 4.1(d) of the registration form)* | Click here to enter text. |

**I, the undersigned, \_\_** Click here to enter text. **declare on my honour**

*(point 4.1 (b) of the registration form)*

1. **subject to national legislative requirements concerning the disclosure of spent convictions, has never been or is currently not subject to any criminal conviction or proceedings and which were not set aside;**
2. **never having been subject or currently not being subject to any civil or administrative decisions in matters of relevance to the assessment or authorisation process and never having been subject or currently not being subject to any administrative sanctions or measures imposed as a consequence of a breach of laws or regulations (including disqualification as a company director), in each case which were not set aside and against which no appeal is pending or may be filed;**
3. **never having been subject or currently not being subject to any bankruptcy, insolvency or similar procedures;**
4. **currently not being subject to any pending criminal investigations;**
5. **never having been subject or currently not being subject to any civil or administrative investigations, enforcement proceedings, sanctions or other enforcement decisions concerning matters that may be considered relevant to the registration to commence or continue the activity of a virtual asset service provider or to the sound and prudent management of a virtual asset service provider;**
6. **that such documents that can be obtained, such as an official certificate or any other equivalent document evidencing whether or not any of the events set out in sub-paragraphs (i)-(v) has occurred in respect of the undersigned are provided in the annex;**
7. **never having been subject or currently not being subject to any refusal of registration, authorisation, membership or licence to carry out trade, business or a profession;**
8. **never having been subject or currently not being subject to any withdrawal, revocation or termination of a registration, authorisation, membership or licence to carry out trade, business or a profession;**
9. **never having been subject or currently not being subject to any expulsion by an authority or public sector entity in the financial services sector or by a professional body or association;**
10. **never having been subject or currently not being subject to any position of responsibility with an entity subject to any criminal conviction or proceedings, administrative investigations, sanctions or other enforcement decisions for conduct failings, including in respect of fraud, dishonesty, corruption, money laundering, terrorist financing or other financial crime, or of failure to put in place adequate policies and procedures to prevent such events, held at the time when the alleged conduct occurred, together with details of such occurrences and of the person’s involvement, if any, in them;**
11. **never having been subject or currently not being subject to any dismissal from employment or a position of trust, any removal from a fiduciary relationship (other than as a result of the relevant relationship coming to an end by passage of time) and any similar situation.**

**Possible remarks or comments of the undersigned (on the items above, in particular if one of the above items cannot be confirmed by the undersigned)**

Click here to enter text.

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***By signing this declaration of honour, the undersigned commits to inform the CSSF without delay in case one of the elements covered by this declaration was to change in the future***

***The undersigned recognises and accepts that if this declaration of honour becomes or is incorrect, his/her professional propriety/repute shall be compromised.***

***By signing this declaration of honour, the undersigned commits to deal with the CSSF in an open and cooperative way and to disclose appropriately and actively any information of which the CSSF would reasonably expect notice***

***In case this declaration of honour is not the first with the CSSF, the undersigned shall indicate the date of the previous declaration.***

**Place of signature**

**Date of signature** Click here to enter text.

Signature Click here to enter text.