**DECLARATION OF HONOUR FOR NATURAL PERSONS**

# (The declaration shall be filled in electronically and a signed paper version shall be sent to the CSSF)

Relating to point 4.3 a) of the registration form of virtual asset service provider

CSSF processes personal data you provide in the context of this form according to the GDPR (UE 2016/679) as detailed in our Private Policy published on the CSSF website: [www.cssf.lu](http://www.cssf.lu)

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| **1. Identification of the undersigned person** | |
| **Last name and name at birth of the undersigned**  **(as indicated on the identity card or passport)**  *(point 4.3 a) of the registration form)* | Click here to enter text. |
| **First name(s) of the undersigned**  **(as indicated on the identity card or passport)**  *(point 4.3 a) of the registration form)* | Click here to enter text. |
| **Professional address, email address and phone number** | Click here to enter text. |
| **Private address and phone number** | Click here to enter text. |
| **Country(ies) of residence in the last three years (if different from above address)** | Click here to enter text. |
| **Date and place of birth**  *(point 4.3 a) of the registration form)* | Click here to enter text. |
| **Identity card or passport number**  *(point 4.3 a) of the registration form)* | Click here to enter text. |
| **Nationality of the undersigned (current and previous)** | Click here to enter text. |
| **Social Security Identification Number** | Click here to enter text. |

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| **2. Other information** | |
| **Position applied for (member of social bodies; member of daily management), including the planned start date and duration of the mandate** | Click here to enter text. |
| **A description of the undersigned person’s key duties and responsibilities within the applicant** | Click here to enter text. |

**I, the undersigned, \_\_** Click here to enter text. **declare on my honour**

*(point 4.3 a) of the registration form)*

1. **to provide, where available, criminal records and relevant information on criminal investigations and proceedings, relevant civil and administrative cases, and disciplinary actions, including disqualification as a company director, bankruptcy, insolvency and similar procedures, notably through an official certificate or any objectively reliable source of information concerning the absence of criminal conviction, investigations and proceedings, such as third-party investigations and testimonies made by a lawyer or a notary established in the European Union;**
2. **currently not being subject to any pending criminal proceedings, nor myself or any organisation managed by myself having ever been involved as a debtor in insolvency proceedings or comparable proceedings;**
3. **never having been subject or currently not being subject to investigations, enforcement proceedings or sanctions by a supervisory authority that the undersigned has been directly or indirectly involved in;**
4. **never having been subject or currently not being subject to any refusal of registration, authorisation, membership or licence to carry out a trade, business or profession; never having been subject or currently not being subject to the withdrawal, revocation or termination of registration, authorisation, membership or licence; never having been subject or currently not being subject to expulsion by a regulatory or government body or by a professional body or association;**
5. **never having been subject or currently not being subject to dismissal from employment or a position of trust, fiduciary relationship or similar situation, or having been asked to resign from employment in such a position, excluding redundancies;**
6. **already having been subject to an assessment of reputation as an acquirer or a person who directs the business of an institution by another competent authority, i.e. Click here to enter text. (please insert the name of that authority, the date of the assessment and evidence of the outcome of this assessment, if applicable), and has consented, where required, to seek and process such information and use the provided information for the suitability assessment;**
7. **already having been subject to an assessment on authority from another, non-financial sector authority, i.e. Click here to enter text. (please insert the name of that authority and evidence of the outcome of such an assessment, if applicable).**

**Possible remarks or comments of the undersigned (on the items above, in particular if one of the above items cannot be confirmed by the undersigned)**

Click here to enter text.

***By signing this declaration of honour, the undersigned commits to inform the CSSF without delay in case one of the elements covered by this declaration was to change in the future***

***The undersigned recognises and accepts that if this declaration of honour becomes or is incorrect, his/her professional propriety/repute shall be compromised.***

***By signing this declaration of honour, the undersigned commits to deal with the CSSF in an open and cooperative way and to disclose appropriately and actively any information of which the CSSF would reasonably expect notice***

***In case this declaration of honour is not the first with the CSSF, the undersigned shall indicate the date of the previous declaration.***

**Place of signature**

**Date of signature** Click here to enter text.

Signature Click here to enter text.