|  |
| --- |
| **Declaration of honour – Legal persons** |

|  |  |  |
| --- | --- | --- |
| **1** | **Identification of the legal person** | |
| CSSF Personal ID number | | [enter text] |
| Registration number of the Luxembourg trade and companies register | | [enter text] |
| Official name of the legal person | | [enter text] |
| Official legal form of the legal person | | [enter text] |
| Address, email address and phone number of the registered office of the legal person | | [enter text] |
| Is the legal person currently subject to any legal proceedings? (If yes, please contact the CSSF and join the list of current litigations) | | YesNo |
| **2** | **Identification of the undersigned natural person acting as representative for the legal person** | |
| Last name of the undersigned representative of the legal person | | [enter text] |
| First name of the undersigned representative of the legal person | | [enter text] |
| Position/function of the representative within the legal person | | [enter text] |
| Professional address, email address and phone number | | [enter text] |
| Date and place of birth | | [enter text] |
| **I, the undersigned,** [enter text] **acting as representative of the legal person declare on my honour, that the legal person I represent :** | | |
|  | | 1. has never been or is currently not subject to any bankruptcy, insolvency, moratorium, controlled management, suspension of payments, court ordered liquidation or reorganization or any similar procedure generally affecting the right of creditors; 2. has never been or is currently not director, manager, administrator or does not have a significant influence in a company, legal person or any other legal entity which has been subject or is subject to bankruptcy, insolvency, moratorium, controlled management, suspension of payments, court ordered liquidation or reorganization or any similar procedure generally affecting the rights of creditors, or which had or currently has a significant influence on a company subject to these proceedings; 3. has never had criminal records (fine or other criminal sanction) relating to its activities and has never been subject to any criminal investigations or proceedings relating to its activities, to civil/administrative and disciplinary proceedings relating to its activities (including disqualification as a company director and/or in relation with bankruptcy, insolvency or similar procedures), nor currently being subject to any such investigation, proceedings or measure described above; 4. has never been subject or is currently not subject to investigations, enforcement, proceedings or sanctions by a supervisory authority (including the CSSF) ; 5. is not director or shareholder of an entity that has been subject or is currently subject to investigations, enforcement proceedings or sanctions by a supervisory authority (including the CSSF) ; 6. has never been subject to a refusal or a withdrawal of registration, authorization, membership or licence to carry out a trade, business or profession, or to the withdrawal, revocation or termination of registration, authorization, membership or licence, or expulsion by a regulatory or government body ; 7. has never been dismissed from a position of trust, fiduciary relationship or similar situation (e.g. alleged serious infringement of contractual obligations); 8. is not affected by proceedings (such as those under a) to e) above) involving a legal entity of the group or network to which the legal entity belongs; 9. has an insurance policy covering all risks arising from its activities. |
| **Possible remarks or comments of the undersigned (on the above items, in particular if one of the above items cannot be confirmed by the undersigned).**  [enter text] | | |
| **By signing this declaration of honour, the undersigned commits to inform the CSSF without delay in case one the elements covered by this declaration should change in the future.**  **The undersigned recognised and accepts that if this declaration of honour becomes or is incorrect, disciplinary proceedings could be engaged in matters of professional propriety/repute.**  **By signing this declaration of honour, the undersigned commits to deal with the CSSF in an open and cooperative way and to disclose appropriately any information of which the CSSF would reasonably expect notice.** | | |
| Place of signature | | [enter text] |
| Date of signature | | [enter text] |
| Signature (1) (on behalf of the applicant)  (1) *when available please use your electronic signature* | | [enter text] |