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| Application Form (LU) for registration of third-country auditors and audit entities **Declaration of honour (LU) - Individuals** |

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| **Introduction**  This document is an Annex to Item 14.0 of Application Form (LU) for registration of third-country auditors and audit entities according to Article 45 of the amended Directive 2006/43/EC of 17 May, 2006 on statutory audits of annual accounts and consolidated accounts. |

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| **Declaration of honour – Individuals** | |
| Full name of the undersigned | [enter text] |
| Full address of the undersigned  (Street, city and home country) | [enter text] |
| Is the undersigned acting as: |  an individual third-country auditor; or   a member of the administrative or management board of an audit firm (as listed in Form 6 (LU) - Administration and Management); or   an individual third-country auditor (as listed in Form 7 (LU) - Third country auditors) designated by the audit entity as being the person responsible for carrying out or signing on behalf of the firm an audit of a relevant audit client (as listed in Form 8 (LU) - Client Information) ? |
| Is the undersigned currently subject to any legal proceedings? | YesNo (If yes, please contact the CSSF) |
| I, the undersigned, | [enter text] |
| born in, | [enter text] |
| on, | [enter text] |

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| Declare on my honour: | 1. never having been subject to bankruptcy, insolvency, moratorium, controlled management, suspension of payments, court ordered liquidation or reorganization or any similar procedure affecting the rights of creditors; 2. not having been or currently not being director, manager or having a significant influence in a company legal person or any other legal entity which has been subject or is subject to bankruptcy, insolvency, moratorium, controlled management, suspension of payments, court ordered liquidation or reorganization or any similar procedure affecting the rights of creditors, or which had or currently has a significant influence on a company subject to these proceedings; 3. never having any criminal records relevant to my activity, nor having been subject to any criminal investigations or criminal proceedings, warrant or arrest, surrender procedure, nor having been subject to preventative detention, to a prison sentence, to a criminal fine, to any other criminal sanction nor having been subject to any civil / administrative proceedings and disciplinary measures relating to my activities (including disqualification as a company director and/or in relation with bankruptcy, insolvency or similar procedures) nor currently being subject to any such investigation, proceedings or measures described above; 4. never having been or currently not being subject to investigations, enforcement proceedings or sanctions by a supervisory authority (including the CSSF); 5. never having been or currently not being director, manager or shareholder of an entity that has been subject or is currently subject to investigations, enforcement proceedings or sanctions by a supervisory authority (including the CSSF); 6. never having been subject to a refusal or a withdrawal of registration, authorisation, membership or licence to carry out a trade, business or profession, or to the withdrawal, revocation or termination of registration, authorisation, membership or licence, or expulsion by a regulatory or government body; 7. not having been dismissed from employment or a position of trust, fiduciary relationship or similar situation, nor having been asked to resign from employment in such a position (e.g. alleged serious infringement of contractual obligations); 8. being covered by an insurance policy covering all risks arising from my activity (only applicable if the undersigned acts as a natural person). |
| **Possible remarks or comments of the undersigned (on the items above, in particular if one of the above items cannot be confirmed by the undersigned)**  [enter text] | |
| **By signing this declaration of honour, the undersigned commits to inform the CSSF without delay in case one the elements covered by this declaration should change in the future.**  **The undersigned recognised and accepts that were this declaration of honour becomes or is incorrect, his/her professional propriety/repute shall be compromised.**  **By signing this declaration of honour, the undersigned commits to deal with the CSSF in an open and cooperative way and to disclose appropriately any information of which the CSSF would reasonably expect notice.** | |
| Function | [enter text] |
| Place of signature | [enter text] |
| Date of signature | [enter text] |
| Signature (1)  (1) *when available please use your electronic signature* | [enter text] |