



Commission de Surveillance
du Secteur Financier

e-Prospectus

FAQ ACCOUNTS AND
CERTIFICATES

e-Prospectus

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e-Prospectus

1. What is a LuxTrust certificate?

[LuxTrust](#) is a certification authority. Certificates from LuxTrust guarantee via different products (token, smartcard, mobile app, etc.) the identity and authentication of the person who connects to an online application for performing electronic operations.

2. Which LuxTrust product is the right one for e-Prospectus?

You are free to choose the product (token, smartcard, mobile app, etc.) you prefer. The [LuxTrust Mobile Identity](#) can be [obtained online](#) at LuxTrust.

3. Who needs a LuxTrust certificate?

When connecting to the digital CSSF portal e-Prospectus, the LuxTrust certificate will be used to identify (“who are you?”) and authenticate yourself (“how do you prove who you are?”).

Therefore, **to create and access an e-Prospectus account, you need a LuxTrust certificate.**

4. Who needs an e-Prospectus account?

If you would like to submit applications for prospectus approvals, add documentation, see the CSSF feedbacks and follow the progress of your file, you need an e-Prospectus account.

- That means that mainly depositors (who today send their applications and documents by e-mail) will need an e-Prospectus account.
- Issuers introducing their applications via a law firm/depositor can also create an e-prospectus account, if they possess a LuxTrust certificate. By this, they can access all the files where their depositor has entered the issuer’s e-mail address in the appropriate contact data list.
- Each person intending to work on the e-Prospectus platform should have his/her own account (and therefore also his/her certificate).

Please note, that no e-Prospectus account is needed to submit Final Terms! The submission of Final Terms will continue to be made via the Final Terms Form published on the CSSF Website.

5. What type of LuxTrust certificate is needed: private or pro?

For e-Prospectus, both certificates can be used. In any case, it is the individual behind the certificate who is responsible for the operations executed with the related account on the e-Prospectus platform.

6. How can you order a LuxTrust certificate?

Orders have to be placed with [LuxTrust](#). If you opt for [LuxTrust Mobile app](#), you can pass your order via video identification by following a [3-step process](#).

7. If you already possess a LuxTrust certificate, can you use it for e-Prospectus or do you need a new one?

You can use the certificate you possess, but you can also opt to order a new one which you use for e-Prospectus.

Your LuxTrust certificate will have to be linked to your e-Prospectus account. (A user guide is available explaining how to do this.)

8. Can non-residents get a LuxTrust certificate?

Yes, they can.

9. Is it possible to have a company certificate which is used by several employees?

Each person intending to work on the e-Prospectus platform should have his/her own account (and therefore also his/her certificate).

You still can work as a team on an application if you enter the email addresses of the team members into the appropriate contact data list. By this, all team members have access to the file and receive the related CSSF emails. (A user guide will be available explaining how to do this.)

10. What is the validity of the certificate?

LuxTrust subscription are valid for [3 years](#).

11. Can an issuer have a view on the file submitted on his behalf by a depositor?

If the depositor enters the issuer's email address into the appropriate contact data list of a file, the issuer will receive the CSSF emails in copy regarding this file.

If the issuer has an e-Prospectus account himself and his email address is in the appropriate contact data list of a file, he can also access this file.

In any case, any approval will be notified to the issuer by email.

12. What applications are covered by the e-Prospectus platform?

e-Prospectus will cover the applications related to Prospectus Regulation (EU) 2017/1129 and the Law of 16 July 2019 on Prospectuses for Securities:

- Submission and follow-up of the application for approval of prospectuses and supplements
- Submission of requests for notification in relation to each of the above cases

You don't need e-Prospectus for the submission of Final Terms! This continues to be made by means of the Final Terms form on the CSSF website! However, if you have access to the related Base Prospectus in e-Prospectus, via the platform you will be able to follow-up on submitted Final Terms.



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