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| MARKETING IN LUXEMBOURG IN ACCORDANCE WITH ARTICLE 36 OF THE AIFMD[[1]](#footnote-1) |

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| **1. General information on AIFM** | | | | | | | |
| **1.1. Details of the AIFM** | | | | | | | |
| **Legal name** **:**  **Address** **:**  **Postcode** **:**  **Country** **:**  **Supervisory authority** **:**  Please provide an attestation from the AIFM’s supervisory authority (for non Luxembourg AIFMs only). | | | | | | | |
| **1.2. Information on the person acting in the name and on behalf of the AIFM, completing and filing this information form for the marketing of AIFs in Luxembourg (the “Applicant”)** | | | | | | | |
| **1.2.1. Name of the Applicant**  Indicate the first name, last name and professional title of the person filing the application. | | | | | | | |
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| **1.2.2. Company name of the Applicant (if different from the AIFM)**  Provide the company name of the person filing the application. | | | | | | | |
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| **1.2.3. Contact details of the applicant**  Provide the postal address, a phone number, an e-mail address and a facsimile number of the person filing the application. | | | | | | | |
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| **2. Details of the AIF in relation to which the marketing in Luxembourg is intended** | | | | | | | |
| **2.1. Provide the following information concerning each AIF/sub-fund to be marketed in Luxembourg** | | | | | | | |
| **Name of the AIF/sub-fund** | | **Domicile of the AIF/sub-fund** | | **National competent supervisory authority of the AIF, if applicable** | | **ISIN, if applicable** | |
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| **2.** **2. Provide the following information if the AIF to be marketed is an EU Feeder AIF whose Master AIF is not an EU AIF or whose Master AIF is not managed by an EU AIFM** | | | | | | | |
| **Name of the Master AIF** | **Domicile of the Master AIF** | | **National competent supervisory authority of the Master AIF** | | **Name of the AIFM of the Master AIF** | | **National competent supervisory authority of the AIFM of the Master AIF** |
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| **3. Information about the identity of the entities responsible for carrying out the duties referred to in Article 21 (7), (8) and (9) of the AIFMD** | | | | | | | |
| **3.1. Cash-monitoring** | | | | | | | |
| **Name of the entity.** | | | | **Domicile of the entity** | | | |
|  | | | |  | | | |
| **3.2. Safekeeping of AIF assets** | | | | | | | |
| **Name of the entities** | | | | **Domicile of the entities** | | | |
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| **3.3. Oversight of certain operational functions** | | | | | | | |
| **Name of the entity.** | | | | **Domicile of the entity** | | | |
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| **4. Declaration of the AIFM** | | | | | | | |
| The person submitting information to the CSSF in the context of the information of marketing in accordance the information of marketing in accordance with Article 36 of the AIFMD (respectively Article 37 of the AIFM Law)  [[2]](#footnote-2) hereby declares:  (i) that it has the authority to submit the relevant information in the name and on behalf of the AIFM,  (ii) that the information submitted is, to the best of its knowledge, true, accurate and complete,  (iii) that the AIFM meets all the conditions of Article 36(1)(a), (b) and (c) of the AIFMD (Article 37(1)(a), (b) and (c) of the AIFM Law).  The fees levied in relation to this information of marketing are laid down in the Grand-ducal Regulation of 21 December 2017 relating to the fees to be levied by the CSSF[[3]](#footnote-3). | | | | | | | |

Date (DD/MM/YYYY) :

Name of the Applicant :

1. Respectively Article 37 of the law of 12 July 2013 on alternative investment fund managers (the “AIFM Law). [↑](#footnote-ref-1)
2. Insert name of the Applicant. [↑](#footnote-ref-2)
3. https://www.cssf.lu/en/Document/grand-ducal-regulation-of-21-december-2017/ [↑](#footnote-ref-3)