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| **MARKETING OF AIFS****MANAGED BY A NON-EU AIFM****TO PROFESSIONAL INVESTORS IN LUXEMBOURG** |
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| **1. General information on AIFM** |
| **1.1. Details of the AIFM** |
| Legal name:       |
| Address:       |
| Postcode:       |
| Country:       |
| Supervisory authority:       |
| **1.2. Information on the person acting in the name and on behalf of the AIFM, completing and filing this information form for the marketing of AIFs in Luxembourg (the “Applicant”)** |
| **1.2.1. Name of the Applicant**Indicate the first name, last name and professional title of the person filing the application. |
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| **1.2.2. Company name of the Applicant (if different from the AIFM)**Provide the company name of the person filing the application |
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| **1.2.3. Contact details of the applicant**Provide the postal address, a phone number, an e-mail address and a facsimile number of the person filing the application |
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| **2. Details of the AIF in relation to which the marketing in Luxembourg is notified** |
| **2.1. Provide the following information concerning each AIF/sub-fund to be marketed in Luxembourg** |
| Name of the AIF/sub-fund | Domicile of the AIF/sub-fund | National competent supervisory authority of the AIF, if applicable | ISIN, if applicable |
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| **2.2. Provide the following information regarding the legal regime under which each AIF to be marketed in Luxembourg has to publish a prospectus** |
| **2.2.1. Name of AIFs required to publish a prospectus in accordance with Directive 2003/71/EC** (please refer to Article 23(3) of the AIFM Directive) |
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| **2.2.2. Name of AIFs required to publish a prospectus in accordance with the laws and regulations of their home state** (please refer to Article 23(3) of the AIFM Directive) |
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| **2.3. Provide the name of the AIFs required to make public an annual financial report in accordance with Directive 2004/109/EC** (please refer to Article 22(1) of the AIFM Directive) |
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| **2.4. Notification of the acquisition of major holdings and control of non-listed companies** |
| Is the AIFM, at the date of the present information, required to proceed with a notification in accordance with Articles 27 and 28 of the AIFM Directive in relation to one or more of the AIF(s) listed above?[ ]  Yes[[1]](#footnote-1) [ ]  No |

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| **3. Declaration of the AIFM** |
| The person submitting information to the CSSF in the context of the information of marketing in accordance with Article 45 of the AIFM Law (respectively Article 42 of the AIFMD)        [[2]](#footnote-2) hereby confirms1. that it has the authority to submit the relevant information in the name and on behalf of the AIFM,
2. that the information submitted is, to the best of its knowledge, true, accurate and complete,
3. that the AIFM will comply with Article 22 (annual report), Article 23 (disclosure to investors) and Article 24 (reporting obligations to the CSSF) of the AIFM Directive.
4. that the AIFM will notify the CSSF in respect of major holdings and control of non-listed companies and issuers acquired after the date of their marketing notification in accordance with Articles 26 to 30 of the AIFM Directive,
5. that the AIFM will comply with Section XIII (Guidelines on disclosure) of the ESMA Guidelines on remuneration policies[[3]](#footnote-3).

The fees levied in relation to this information of marketing are laid down in the Grand-ducal Regulation of 28 October 2013 relating to the fees to be levied by the CSSF[[4]](#footnote-4).  |

Date (DD/MM/YYYY):

Name of the Applicant:

1. If yes, the AIFM is required to complete the specific form in relation to the *Notification of the acquisition of major holdings and control of non-listed companies* and submitting it simultaneously as appendix to the present form. [↑](#footnote-ref-1)
2. Insert name of the Applicant. [↑](#footnote-ref-2)
3. See Section I.1. and Section XIII of the ESMA guidelines on sound remuneration policies under AIFMD (ESMA/2013/232). [↑](#footnote-ref-3)
4. https://www.cssf.lu/en/document/grand-ducal-regulation-of-21-december-2017-coordinated-version/ [↑](#footnote-ref-4)