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Description générée automatiquement

MiFIR Transaction Reporting Issue/Error notification document

MiFIR Transaction Reporting Issue/Error notification document

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# Context

This form has been created in order for entities within [MiFIR](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32014R0600) scope to notify the CSSF of issues and/or errors arising from MiFIR transaction reporting. As laid out in Article 15(2) of [Commission Delegated Regulation (EU) 2017/590 of 28 July 2016 (“RTS 22”)](https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32017R0590), trading venues or investment firms shall promptly notify the relevant competent authority, i.e. the CSSF, of any shortcoming within a transaction report.

The form should be sent to the CSSF Markets Division at the following address: [transactionreporting@cssf.lu](mailto:transactionreporting@cssf.lu).

# Entity details

|  |  |
| --- | --- |
| Date |  |
| Executing entity name & LEI |  |
| Executing entity type (Investment Firm, Bank, RM, MTF, OTF, …) |  |
| Submitting entity name & LEI |  |
| Submitting entity type (ARM, TV, entity itself) |  |
| Contact details (name, business department) |  |
| Contact coordinates (email, phone) |  |

# Issue/error details

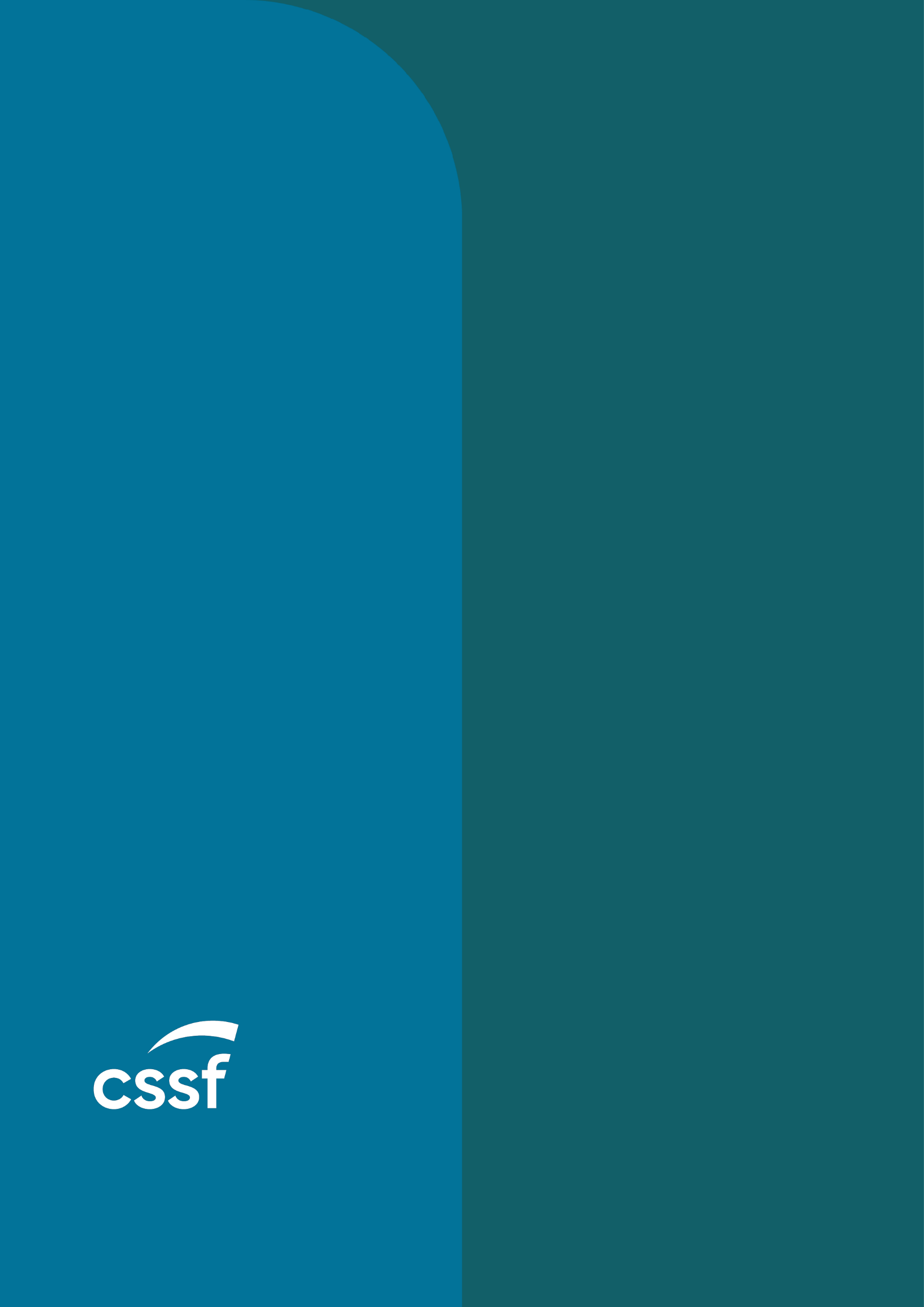
|  |  |
| --- | --- |
| RTS22 Field number(s) |  |
| Details of issue/error (including impacted reporting period) |  |
| Cause of issue/error and cause of detection |  |
| Amount of impacted transaction reports (if applicable) |  |

# Remediation plan

|  |  |
| --- | --- |
| Remediation actions taken so far |  |
| Escalation actions taken so far |  |
| Remediation plan including system change implementation date(s) (if applicable) |  |
| Total number of transaction reports to be cancelled and resubmitted (if applicable) |  |

# Other details

|  |  |
| --- | --- |
| Please fill in any further details deemed important to the resolution of the identified issue |  |



Commission de Surveillance du Secteur Financier

283, route d’Arlon

L-2991 Luxembourg (+352) 26 25 1-1

[direction@cssf.lu](mailto:direction@cssf.lu)

[www.cssf.lu](http://www.cssf.lu/)