



## Methods of transmitting reports via external channels (Naming convention)

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Date	Version	Description
28/01/2008	0.90	Original version
...		
26/08/2020	1.39	Integration of the EBA V2.10 reports into COF Support of the new entity type "Central securities depository" in the PSF file naming convention Integration of the circular 19/731 document types into the DOC naming convention (for information only, no change)
24/09/2020	1.40	Correction of the main TYR code 'PSF' in the PSF chapter (it had been translated into 'PFS' in prior versions, but the code needs to remain the French original code 'PSF' instead)
10/02/2021	1.41	Destinees were adapted for COFREP, FRCREP and OPCREP EBA V3.0 phase 1 and 2 reports were added to the COFREP naming convention
26/05/2021	1.42	Document version adapted to the CSSF graphical charter (no content changes)
10/03/2022	1.43	Update of chapter COF to reflect EBA version V3.2 phase 1 published by the EBA in March 2022
10/03/2022	1.44	Typos
13/07/2022	1.45	Update of chapter COF to reflect EBA version V3.2 phase 2 published by the EBA in June 2022
19/07/2022	1.46	Typos
15/09/2022	1.47	SFP national reportings PSFREP only allowed furthermore with extension .xlsx, no more with extension .xls.
26/10/2022	1.48	Addition of 2 sets of new naming conventions to COF <ul style="list-style-type: none"> <li>- EBA V3.2 phase 3 (Remuneration)</li> <li>- SRB resolution 7.0.2</li> </ul> Fix of a typo in the example part of the PSF chapter Removal of the obsolete module <b>SEACXX</b> from the COF chapter (it will use a different naming convention)

12/12/2022	1.49	Addition to the COF naming convention of the <b>new consolidation codes O, P, R, E</b> used for SRB resolution 7.0.2 files and aligned to / derived from the according SRB Filing Rules document (which bases on EBA Filing Rules V5.2)
08/05/2023	1.50	Disallowing old Office versions 2003 and earlier, thus .doc, .xls, .ppt will NOT be allowed for ANY CSSF naming convention. Some more detailed extension and content restrictions for OTH and ESP have been added. <b>Further restrictions on extensions and regarding formats with active content (binary content, macros etc.) may apply due to CSSF security policies.</b>
16/05/2023	1.51	The official letter sent by post not required anymore for certificate registration.

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## 1. Introduction

This naming convention only covers reportings exchanged through external channels (e-file, Sofie). For all other reportings, naming convention as well as submission conditions are available in dedicated user guides.

External counterparties communicate with the CSSF by electronic means: partly by exchanging structured data (EDIFACT legal reporting, XBRL legal reporting, special enquiries, etc.) and unstructured data (emails with attachments, downloads from the website [www.cssf.lu](http://www.cssf.lu), etc). This document only concerns the file names for the structured data mentioned above.

For historical reasons, certain file names cannot be streamlined; they are described in the annexes of this document.

## 2. Encryption technical standards

This section defines the mandatory technical standards for applicable reporting.

### 2.1 Encryption algorithm/ file signatures

The chosen standards are the following:

#### 2.1.1 Encryption / decryption

Here are the specifications to achieve:

Symmetric encryption algorithm	Algorithm AES 256 CBC or preferably GCM (Rijndael) with 256 bits keys (session key)
Asymmetric encryption algorithm used to encrypt the session key	RSA algorithm with minimal key length as per Luxtrust certificate standards

Padding used with the symmetric encryption algorithm	PKCS#5 (Standard Block Padding)
Session key encryption padding	Standard Block Padding (aka PKCS padding) defined in section [8.1] "Encryption-block formatting" of the document "PKCS #1: RSA Encryption Standard"

#### 2.1.2 Data structure

Here are the specifications to achieve:

Data structure	PKCS#7 version 1.5
Ajoute certificat de signature	Yes, in the PKCS#7 structure

#### 2.1.3 Electronic signature

Here are the specifications to achieve:

Hash algorithm	Sha256 ou sha384 ou sha512
Encryption algorithm	RSA
Attached content	Yes
Counter-signature	No
Multiple signatures	No

#### 2.1.4 Operations order

Here are the specifications to achieve:

Operations order	Encryption before signing
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#### 2.1.5 Example

-----BEGIN PKCS7-----

MIK0jQYJKoZIhvcNAQcDoIK0fjCCtHoCAQAxggExMIIBLQIBADCBITCBjzELMA  
kG

A1UEBhMCTFUxEzARBgNVBAGTCkx1eGVtYm91cmcxGTAXBgNVBACTEEVzY  
2gtc3Vy

... stripped content ...

eALKKLsYzCk6zv3M4v24KmgdBRpKCcbwysXru1Vx1MnBRksuE2hdacuq72U3it  
aV

mg==

-----END PKCS7-----

## 2.2 Compression (generation of .zip files)

Here are the specifications to be made in case the reporting in question specifies the use of a .zip archive for sending data:

Compression algorithm	RFC 1951 (DEFLATE Compressed Data Format Specification version 1.3)
Multi-volume archives (multi-part zipfile)	No
Maximum size of a file in the archive	2 Gigabytes
Codepage	UTF-8

## 2.3 Certificates

### 2.3.1 Introduction

The only certificates authorised for the file encryption by the reporting entity are SSL certificates from the certification authority Luxtrust.

The CSSF only accepts valid certificates (i.e., not expired) and for this purpose there will be a systematic comparison of each certificate used by the reporting entity and the Luxtrust revocation list (CRL) during the receipt of the encrypted report. The CSSF reserves the right to use CRL offline (thus with a slight time-lag in the CRL update).

The reporting entities must for their part also verify the validity of the CSSF certificate before the data encryption and must only use a valid certificate for this purpose.

All the reports of a reporting entity are signed with the same (and thus only one) certificate. Internal work at the reporting entity with copies of the certificate (used, for example, by sub-entities of the reporting entity) is authorised. Nevertheless, the appropriate use of all the copies of its certificate is under the full responsibility of the reporting entity.

### 2.3.2 Certificates formats accepted for registration

Format	Description	Extension (Windows)
PEM	(file text -----BEGIN CERTIFICATE----- -----END CERTIFICATE-----)	.cer ou .pem
DER	(Binary file)	.cer ou .der
PKCS7	Binary file	.p7b

### 2.3.3 Registration procedure for the certificates

The reporting entity must send to the CSSF:

- an e-mail on the address [certrep@cssf.lu](mailto:certrep@cssf.lu) which contains the following information:
  - The channel (or channels) through which the files signed with this certificate will be sent
  - The identification number allocated by the CSSF (where applicable)
  - The certificate number (not necessary if the certificate is correctly attached)
  - The name of the reporting entity
  - The technical sender (only applicable for AIFMD/AIF reporting)
  - Surname of a contact person
  - Forename of a contact person
  - Phone number of a contact person
  - E-mail address of a contact person
  - **Attached files :**
    - Luxtrust certificate used for the signature (authorised formats as per §2.3.2) In the event of change of certificate (i.e. at the end of the validity period of the preceding certificate), the reporting entity will execute the same procedure at least 5 working days prior to the expiry date of the old certificate for the new certificate number. After the confirmation of the certificate activation by the CSSF, the new certificate may be used.
    - A duly signed official letter (in PDF format) including the Luxtrust certificate number used for the signature

The former official letter sent by post is not required anymore.

The reporting entity has the right to go through a third-party service company to generate its reports and send them to the CSSF. The certificate to be registered in that case is the one from the third-party service company. The above e-mail may then be sent as a whole by the third service company for all its clients.

## 3. Feedback reception files: FBR files

For all the reportings referred to in this document (including those in Annex 1), a systematic feedback (acknowledgement of receipt) will be generated, either to confirm the proper reception of a REP file (including its decryption and subsequent submission to the application), or to inform the counterparty of the error condition that prevented the proper reception of the file.

An XML schema was defined by the CSSF to guarantee the proper format of this feedback file. All FBR files will be structured according to that single XML format, irrespective of the reporting.



For the case described in Annex 1 (EDIFACT), which does not follow the convention above, an adequate FBR file name was defined.

#### **4. Feedback application file: FDB files**

Certain applications receiving reporting files will generate a feedback file to inform the counterparty of the result of the applicable CSSF application's processing of a REP file.

## 5. FRC – COREP/FINREP reporting in XBRL (version: 2008)

XBRL reporting takes place via .zip archives.

### 5.1 .zip files

Addressee: the CSSF (BCL may receive the according data directly from CSSF)

Format: TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	‘FRC’ constant
DIR	Direction	Char(3)	‘REP’ for Report → file to the CSSF ‘FBR’ for Feedback → feedback reception file
E	Reporting entity	Char(1)	‘B’
NNNN	Identification number	Number(4)	0001...9999
YYYY	Year	Number(4)	
MM	Month	Number(2)	01 ... 12
TAB	Table	Char(3)	B11, B21, B61, B62, B12, B14, B64, B15, B24, B16, B25, B66, B67
LL	Layout	Char(2)	L2 for B11, L1 for B21, L1 for B61, L1 for B62, L1 for B12, L0 for B16, L0 for B25, L0 for B66, L0 for B67, L2 for B14, L2 for B64,

			L1 for B15, L1 for B24,
C	Accounting version	Char(1)	‘L’, ‘N’, ‘C’, ‘S’
D	Final version	Char(1)	“D” or “N”
S	Sub-type	Char(1)	‘S’ or ‘I’ for B14 and B 64 (type of ratio) ‘-’ for all others
.ext	Extension	Char(4)	For “REP” files: ‘.zip’ For “FBR” files: “.xml”

## 5.2 Instances in the .zip file

The files will have the format

TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S-NAME.xml

All the fields have a meaning that is identical to that defined in the .zip file; in addition there is the NAME and the extension .xml.

The NAME.xml that are allowed depend on the type of table:

TAB	Name.xml
B14	CASRO.xml
B14	CRSA.xml
B14	CRIRB.xml
B14	CREQUIRB.xml
B14	CRSECSA.xml
B14	CRSECIRB.xml
B14	CRTBSETT.xml
B14	MKRSATDI.xml
B14	MKRSAEQU.xml
B14	MKRIM.xml
B14	MKRSAFX.xml
B14	MKRSACOM.xml

B14	OPR.xml
B64	CASRO.xml
B64	CRSA.xml
B64	CRIRB.xml
B64	CREQUIRB.xml
B64	CRSECSA.xml
B64	CRSECIRB.xml
B64	CRTBSETT.xml
B64	MKRSATDI.xml
B64	MKRSAEQU.xml
B64	MKRIM.xml
B64	MKRSAFX.xml
B64	MKRSACOM.xml
B64	OPR.xml
B11	bs.xml
B21	pl.xml
B61	bs.xml
B62	pl.xml
B16	B16.xml
B25	B25.xml
B66	B66.xml
B67	B67.xml
B15	B15.xml
B24	B24.xml

### 5.3 Naming validation

The main verification is the following: .zip file matrix = matrix of all the .xml files, i.e. the string TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S must be identical for the .zip file as well as for all instances.

## 5.4 Examples

.ZIP	Instances
FRCREP-B9999-2007-12-B14-L2-L-N-I.zip	FRCREP-B9999-2007-12-B14-L2-L-N-I-CASRO.xml FRCREP-B9999-2007-12-B14-L2-L-N-I-CRSA.xml ... FRCREP-B9999-2007-12-B14-L2-L-N-I-MKRSACOM.xml
FRCREP-B9999-2007-12-B11-L2-L-N-.zip	FRCREP-B9999-2007-12-B11-L2-L-N---bs.xml
FRCREP-B9999-2007-12-B21-L1-L-D-.zip	FRCREP-B9999-2007-12-B21-L1-L-D---pl.xml
FRCREP-B9999-2007-12-B15-L1-L-N-.zip	FRCREP-B9999-2007-12-B15-L1-L-N---B15.xml

## 6. COF – COREP/FINREP reporting in XBRL (version: 2014)

XBRL reporting takes place via .zip archives.

### 6.1 .zip files

Addressee: the CSSF (BCL may receive the according data directly from CSSF)

Format: TYRDIR-ENNNNNNNN-YYYY-MM-TTTTTT-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	‘COF’ constant
DIR	Direction	Char(3)	‘REP’ for Report → file sent to the CSSF ‘FBR’ for Feedback → feedback reception file ‘FBX’ for feedback on the results of the XBRL validation ‘FDB’ for feedback of the CSSF’s internal reporting system (reserved)

			‘FBH’ for feedback on the results of the transmission of the instance to a second-level European institution, such as the EBA, the ECB (reserved)
E	Reporting entity	Char(1)	‘B’ (Banks) or ‘P’ (PFS – Investment firms)
NNNNNNNN	Identification number	Number(8)	00000001...99999999
YYYY	Year	Number(4)	
MM	Month	Number(2)	01 ... 12
TTTTTT	Table	Char(6)	<p>SCOREP until EBA V3.1 (<b>data: SSOLV, SLEVR, SIPLO before V2.8, SSOLV and SIPLO since V2.8</b>)</p> <p>CCOREP until EBA V3.1 (<b>data: CSOLV, CLEVR, CIPLO before V2.8, CSOLV and CIPLO since V2.8</b>)</p> <p>COREPX from EBA V3.2 (same data as SCOREP/CCOREP)</p> <p>SLEVER until EBA V3.1 (<b>data: SLEVR - since V2.8</b>)</p> <p>CLEVER until EBA V3.1 (<b>data: CLEVR - since V2.8</b>)</p> <p>LEVERX from EBA V3.2 (same data as SLEVER/CLEVER)</p> <p>SLAREX until EBA V3.1 (<b>data: SLAREX</b>)</p> <p>CLAREX until EBA V3.1 (<b>data: CLAREX</b>)</p> <p>LAREXX from EBA V3.2 (same data as SLAREX/CLAREX)</p> <p>SLCRDA until EBA V3.1 (<b>data: SLCRDA, LCR Delegated Act solo</b>)</p> <p>CLCRDA until EBA V3.1 (<b>data: CLCRDA, LCR Delegated Act conso</b>)</p> <p>LCRDAX from EBA V3.2 (same data as SLCRDA/CLCRDA)</p> <p>SNSFRX until EBA V3.1 (<b>data: SNSFR</b>)</p> <p>CNSFRX until EBA V3.1 (<b>data: CNSFR</b>)</p>

		<p>NSFRXX from EBA V3.2 (same data as SNSFRX/CNSFRX)</p> <p>SALMXX until EBA V3.1 (<b>data: Advanced liquidity monitoring, solo</b>)</p> <p>CALMXX until EBA V3.1 (<b>data: Advanced liquidity monitoring, consolidated</b>)</p> <p>ALMXXX from EBA V3.2 (same data as SALMXX,CALMXX)</p> <p>CFINRP until EBA V3.1 (<b>data: CFINRP</b>)</p> <p>SFINRP until EBA V3.1 (<b>data: SFINRP</b>)</p> <p>FINREP from EBA V3.2 (<b>data: CFINRP, SFINRP</b>)</p> <p>CAEXXX until EBA V3.1 (<b>data: Asset Encumbrance consolidated</b>)</p> <p>SAEXXX until EBA V3.1 (<b>data: Asset Encumbrance solo</b>)</p> <p>AEXXXX from EBA V3.2 (same data as SAEXXX, CAEXXX)</p> <p>CFPXXX (<b>data: Funding plans consolidated</b>)</p> <p>SFPXXX (<b>data: Funding plans individual</b>)</p> <p>FPXXXX from EBA V3.2 (<b>data: Funding plans consolidated &amp; Funding plans individual</b>)</p> <p>CSBPCR (<b>data: SBP Credit risk, Consolidated - from version 2.9</b>)</p> <p>SSBPCR (<b>data: SBP Credit risk, Individual - from version 2.9</b>)</p> <p>SBPCRXX (<b>data: SBP credit risk benchmarking - from version 3.2</b>)</p> <p>SBPIF9 (<b>data: SBP IFRS9 benchmarking - from version 3.2</b>)</p> <p>CSBPRM (<b>data: SBP Market risk measures, Consolidated - from version 2.9</b>)</p> <p>SSBPRM (<b>data: SBP Market risk measures, Individual - from version 2.9</b>)</p>
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			<p><b>SBPRMX</b> (<i>data: SBP Market risk measures - from version 3.2</i>)</p> <p><b>CSBPIM</b> (<i>data: Initial Market Valuation for Supervisory Benchmarking Portfolios consolidated</i>)</p> <p><b>SSBPIM</b> (<i>data: Initial Market Valuation for Supervisory Benchmarking Portfolios individual</i>)</p> <p><b>SBPIMV</b> (<i>data: Initial Market Valuation for Supervisory Benchmarking Portfolios - from version 3.2</i>)</p> <p><b>CCOVID</b> (EBA V2.10 Consolidated Covid module covid19_con_ifrs)</p> <p><b>SCOVID</b> (EBA V2.10 Individual Covid module covid19_ind_ifrs)</p> <p><b>COVIDX</b> (EBA V3.2 module covid19.xsd)</p> <p><b>PSDFRP</b> (EBA V2.10 fraudulent payments module psd_frp – ONLY APPLICABLE SHOULD CSSF REQUEST THESE INFORMATION IN XBRL FORMAT, NOT APPLICABLE TO OTHER FORMATS E.G. MS EXCEL) → remains applicable for V3.2</p> <p><b>REMBMX</b> (EBA V3.2 remuneration module rem_bm – ONLY APPLICABLE SHOULD CSSF REQUEST THESE INFORMATION IN XBRL FORMAT, NOT APPLICABLE TO OTHER FORMATS E.G. MS EXCEL)</p> <p><b>REMHEX</b> (EBA V2.10 remuneration high earners module rem_he – ONLY APPLICABLE SHOULD CSSF REQUEST THESE INFORMATION IN XBRL FORMAT, NOT APPLICABLE TO OTHER FORMATS E.G. MS EXCEL) → remains applicable for V3.2</p> <p><b>REMGAP</b> (EBA V3.2 remuneration module rem_gap – ONLY APPLICABLE SHOULD CSSF REQUEST THESE INFORMATION IN XBRL FORMAT, NOT APPLICABLE TO OTHER FORMATS E.G. MS EXCEL)</p>
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		<p><b>REMHRC</b> (EBA V3.2 remuneration module rem_hr_country – ONLY APPLICABLE SHOULD CSSF REQUEST THESE INFORMATION IN XBRL FORMAT, NOT APPLICABLE TO OTHER FORMATS E.G. MS EXCEL)</p> <p><b>REMHRI</b> (EBA V3.2 remuneration module rem_hr_institution – ONLY APPLICABLE SHOULD CSSF REQUEST THESE INFORMATION IN XBRL FORMAT, NOT APPLICABLE TO OTHER FORMATS E.G. MS EXCEL)</p> <p><b>SFRTBX</b> until EBA V3.1 (EBA V3.0 module corep_frtb_ind)</p> <p><b>CFRTBX</b> until EBA V3.1 (EBA V3.0 module corep_frtb_con)</p> <p><b>FRTBXX</b> from EBA V3.2 same data as SFRTBX and CFRTBX)</p> <p><b>CGSIIX</b> until EBA V3.1 (EBA V3.0 module gsii_con)</p> <p><b>GSIIXX</b> from EBA V3.2 (same data as CGSIIX)</p> <p><b>NOTIMP</b> (EBA V3.0 module notif_impracticability)</p> <p><b>MRDECI</b> (EBA V3.0 module mrel_decisions)</p> <p><b>SMRTLA</b> (EBA V3.0 module mrel_tlac_ind)</p> <p><b>CMRTLA</b> (EBA V3.0 module mrel_tlac_con)</p> <p><b>MRTLAX</b> (EBA V3.2 module mrel_tlac)</p> <p>CRESOL until V3.1 (EBA – data: RESOL_CON)</p> <p>SRESOL until V3.1 (EBA – data: RESOL_IND)</p> <p>RESOLX from V3.2 (EBA – data: RESOL_CON and RESOL_IND)</p> <p>CFRXXX defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2 (data: cfr)</p> <p>CIRXXX defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2 (data: cir)</p> <p>FMIRXX defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2 (data: fmir)</p> <p>LDRXXX defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2 (data: ldr)</p>
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LL	Layout	Char(2)	<p>‘00’ for all tables, except for</p> <p>‘01’ for CSBPXX, SSBPXX, CSBPIM, SSBPIM since V2.6 of the EBA and SBPIMV since V3.2</p> <p>Remark: though CSBPXX, SSBPXX were L1, SSBPCR, CSBPCR, SSBPRM, CSBPRM are in L0</p>
C	Accounting version	Char(1)	<p>'L' (EBA IND) - Luxembourg figures only</p> <p>'N' (EBA IND) - Luxembourg + branch figures</p> <p>'S' (EBA IND) - branch figures</p> <p>'C' (EBA CON, CRDLIQSUBGRP) - Consolidated</p> <p>'P' (SRB CONPOE) - Consolidated Point of Entry</p> <p>'E' (SRB INDPOE) - Individual Point of Entry</p> <p>'O' (SRB INDOTH) - Individual Other</p> <p>'R' (SRB RES) - Resolution</p> <p>N.B. The EBA consolidation codes AUTALL, MEMSTAAGGALL, MEMSTAAGGCRDCREINS and MEMSTAAGGINVFIR are for use by CSSF only and thus not part of the present naming convention.</p>
D	Final version	Char(1)	<p>code 'D': definitive version (PRODUCTION – FINREP only)</p> <p>code 'N': non-definitive version (PRODUCTION)</p> <p>code 'X': definitive version (TEST – FINREP only)</p> <p>code 'T': non-definitive version (TEST)</p>
S	Sub-type	Char(1)	<p>‘S’ (simplified) or ‘I’ (integrated) for SCOREP and CCOREP (type of ratio)</p> <p>‘-’ for all others (including COREPX, the corep-of module from EBA V3.2 !!)</p>
.ext	Extension	Char(5)	<p>For ‘REP’ files: ‘.zip’</p> <p>For ‘FBR’ files: ‘.xml’</p> <p>For ‘FBX’ files: ‘.zip’ (containing the original XBRL instance and the XBRL validation result in the form of an .xlsx file)</p>

## 6.2 Instances in the .zip file

The files will have the name format

TYRDIR-ENNNNNNNN-YYYY-MM-TTTTTT-LL-C-D-S-NAME.xbrl

All the fields have a meaning that is identical for that defined in the .zip file; in addition there is the NAME and the extension .xbrl.

NAME.xbrl are allowed depending on the type of table:

TTTTTT	Name.xbrl	Characteristics
CCOREP	CCOREP.xbrl	Consolidated instance of COREP in capital currency until EBA V3.1
SCOREP	SCOREP.xbrl	Solo instance of COREP in capital currency until EBA V3.1
COREPX	COREPX.xbrl	instance of COREP in capital currency from EBA V3.2
CLEVER	CLEVER.xbrl	Consolidated instance of LEVER in capital currency until EBA V3.1
SLEVER	SLEVER.xbrl	Solo instance of LEVER in capital currency until EBA V3.1
LEVERX	LEVERX.xbrl	Instance of LEVER in capital currency from EBA V3.2
CLAREX	CLAREX.xbrl	Consolidated instance of Large Exposures in capital currency until EBA V3.1
SLAREX	SLAREX.xbrl	Solo instance of Large Exposures in capital currency until EBA V3.1
LAREXX	LAREXX.xbrl	Instance of Large Exposures in capital currency from EBA V3.2
CLCRDA	CLCRDA.xbrl	Consolidated instance of LCR Delegated Act in capital currency until EBA V3.1
SLCRDA	SLCRDA.xbrl	Solo instance of LCR Delegated Act in capital currency until EBA V3.1
LCRDAX	LCRDAX.xbrl	Instance of LCR Delegated Act in capital currency from EBA V3.2

CNSFRX	CNSFRX.xbrl	Conso instance of NFSR in capital currency until EBA V3.1
SNSFRX	SNSFRX.xbrl	Solo instance of NFSR in capital currency until EBA V3.1
NSFRXX	NSFRXX.xbrl	Solo instance of NFSR in capital currency from EBA V3.2
CALMXX	CALMXX.xbrl	Consolidated instance of Advanced liquidity monitoring in capital currency until EBA V3.1
SALMXX	SALMXX.xbrl	Solo instance of Advanced liquidity monitoring in capital currency until EBA V3.1
ALMXXX	ALMXXX.xbrl	Instance of Advanced liquidity monitoring in capital currency from EBA V3.2
CFRTBX	CFRTBX.xbrl	Consolidated FRTB until EBA V3.1
SFRTBX	SFRTBX.xbrl	Solo FRTB until EBA V3.1
FRTBXX	FRTBXX.xbrl	FRTB from EBA V3.2
CFINRP	CFINRP.xbrl	Consolidated instance of FINREP in capital currency until EBA V3.1
SFINRP	SFINRP.xbrl	Solo instance of FINREP in capital currency until EBA V3.1
FINREP	FINREP.xbrl	Instance of FINREP in capital currency from EBA V3.2
CAEXXX	CAEXXX.xbrl	Consolidated instance of Asset Encumbrance in capital currency until EBA V3.1
SAEXXX	SAEXXX.xbrl	Solo instance of Asset Encumbrance in capital currency until EBA V3.1
AEXXXX	AEXXXX.xbrl	Instance of Asset Encumbrance in capital currency from EBA V3.2
CFPXXX	CFPXXX.xbrl	Instance of Funding plans in capital currency conso until EBA V3.1

SFPXXX	SFPXXX.xbrl	Instance of Funding plans in capital currency individual until EBA V3.1
FPXXXX	FPXXXX.xbrl	Instance of Funding plans in capital currency from EBA V3.2
CSBPCR	CSBPCR.xbrl	Instance of Supervisory Benchmarking Portfolios Credit Risk consolidated - from version 2.9 until V3.1
SSBPCR	SSBPCR.xbrl	Instance of Supervisory Benchmarking Portfolios Credit Risk individual - from version 2.9 until V3.1
SBPCR	SBPCR.xbrl	Instance of Supervisory Benchmarking Portfolios Credit Risk - from version 3.2
SBPIF9	SBPIF9.xbrl	Instance of Supervisory Benchmarking Portfolios IFRS9 benchmarking - from version 3.2
CSBPRM	CSBPRM.xbrl	Instance of Supervisory Benchmarking Portfolios Market Risk consolidated - from version 2.9
SSBPRM	SSBPRM.xbrl	Instance of Supervisory Benchmarking Portfolios Market Risk individual - from version 2.9
SBPRM	SBPRM.xbrl	Instance of Supervisory Benchmarking Portfolios Market Risk - from version 3.2
CSBPIM	CSBPIM.xbrl	Instance of Initial Market Valuation for Supervisory Benchmarking Portfolios consolidated – until V3.1
SSBPIM	SSBPIM.xbrl	Instance of Initial Market Valuation for Supervisory Benchmarking Portfolios individual – until V3.1
SBPIMV	SBPIMV.xbrl	Instance of Initial Market Valuation for Supervisory Benchmarking Portfolios - from version 3.2

CRESOL	CRESOL.xbrl	RESOL_CON defined by EBA – until V3.1
SRESOL	SRESOL.xbrl	RESOL_IND defined by EBA – until V3.1
RESOLX	RESOLX.xbrl	RESOL defined by EBA - from version 3.2
CCOVID	CCOVID.xbrl	covid19_con_ifrs (XBRL version, if applicable) – until V3.1
SCOVID	SCOVID.xbrl	covid19_ind_ifrs (XBRL version, if applicable) – until V3.1
COVIDX	COVIDX.xbrl	covid19 (XBRL version, if applicable) - from version 3.2
PSDFRP	PSDFRP.xbrl	psd_frp (XBRL version – if applicable)
REMBMX	REMBMX.xbrl	rem_bm (XBRL version – if applicable)
REMHEX	REMHEX.xbrl	rem_he (XBRL version – if applicable)
REMGAP	REMGAP.xbrl	rem_gap (XBRL version – if applicable)
REMHRC	REMHRC.xbrl	rem_hr_country (XBRL version – if applicable)
REMHRI	REMHRI.xbrl	rem_hr_institution (XBRL version – if applicable)
NOTIMP	NOTIMP.xbrl	<b>NOTIMP</b> (EBA V3.0 module <i>notif_impracticability</i> )
MRDECI	MRDECI.xbrl	<b>MRDECI</b> (EBA V3.0 module <i>mrel_decisions</i> )
SMRTLA	SMRTLA.xbrl	<b>SMRTLA</b> (EBA V3.0 module <i>mrel_tlac_ind</i> )
CMRTLA	CMRTLA.xbrl	<b>CMRTLA</b> (EBA V3.0 module <i>mrel_tlac_con</i> )
MRTLAX	MRTLAX.xbrl	<b>MRTLAX</b> (EBA V3.2 module <i>mrel_tlac</i> )

CFRXXX	CFRXXX.xbrl	cfr defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2
CIRXXX	CIRXXX.xbrl	cir defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2
FMIRXX	FMIRXX.xbrl	fmir defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2
LDRXXX	LDRXXX.xbrl	ldr defined by <a href="http://www.srb.europa.eu">www.srb.europa.eu</a> and based on EBA V3.2

### 6.3 Naming validation

The main verification is the following: .zip file matrix = matrix of all the .xbrl files, i.e. the string TYRDIR-ENNNNNNNN-YYYY-MM-TTTTTT-LL-C-D-S must be identical for the .zip file as well as for all instances.

### 6.4 Examples

.ZIP	Instances
COFREP-B00000999-2014-03-CCOREP-00-L-N-S.zip	COFREP-B00000999-2014-03-CCOREP-00-L-N-S-CCOREP.xbrl
COFREP-B00000999-2014-03-CLAREX-00-L-N--.zip	COFREP-B00000999-2014-03-CLAREX-00-L-N---CLAREX.xbrl
COFREP-B00000999-2014-03-CLCRXX-00-L-N--.zip	COFREP-B00000999-2014-03-CLCRXX-00-L-N---CLCRXX.xbrl
COFREP-B00000999-2017-01-SEACXX-00-L-N--.zip	COFREP-B00000999-2017-01-SEACXX-00-L-N---SEACXX.xbrl
COFREP-B00000999-2019-12-RESOLX-00-L-T--.zip	COFREP-B00000999-2019-12-RESOLX-00-L-T---RESOLX.xbrl
COFREP-B00000999-2022-12-LDRXXX-00-O-N--.zip	COFREP-B00000999-2022-12-LDRXXX-00-O-N---LDRXXX.xbrl
COFREP-B00000999-2022-12-CFRXXX-00-P-N--.zip	COFREP-B00000999-2022-12-CFRXXX-00-P-N---CFRXXX.xbrl

## 7. ESP - Special enquiries

Addressee: the CSSF

Format: TYRDIR-ENNNN-YYYY-MM-TAB.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'ESP' constant
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF  'FBR' for Feedback → feedback reception file
E	Entity type	Char(1)	Usual types of entities, e.g. "B" for Bank, "P" for PFS, "I" for IT PFS, "S" for Management company, "K" for SICAR, "I" for legal person not supervised by the CSSF, "A" for AIFMs, "Z" Payment institution, "W" Electronic money institutions, ...
NNNN	Identification number	Number(4)	0001...9999
YYYY	Year	Number(4)	Year
MM	Month	Number(2)	Month
TAB	Table	Char(3)	Any sequence of 3 characters for the type of ESP, e.g. "XYZ"
.ext	Extension	Char(5)	For 'REP' files: depends on the special enquiry; '.xlsx', '.docx', '.pptx' (MS Office 2007) and '.pdf'.  For 'FBR' files: '.xml'

Example: ESPREP-B0999-2007-12-ESE.xlsx

## 8. SIC - SICAR reporting

Addressee: the CSSF



Format: TYRDIR-ECCCCC-TNNNNNKKKK-YYYY-MM-TAB-LL-C-D.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	‘SIC’ for SICAR
DIR	Direction	Char(3)	‘REP’ for Report → file sent to the CSSF ‘FBR’ for Feedback → feedback reception file
E	Type of entity central administration	Char(1)	Potential types of CSSF entities: “1” for legal person, “A” - “Z” (capital letters) for potential types of CSSF entities
CCCCC	Identification number central administration	Number(6)	000001...999999
T	Type of SICAR entity	Char(1)	‘K’
NNNNN	SICAR identification number	Number(5)	00001...99999
KKKK	Compartment number	Number(4)	0001...9999, ‘0000’ if no compartment
YYYY	Year	Number(4)	Year of the reporting period
MM	Month	Number(2)	Month
TAB	Table	Char(3)	‘K31’ for SICAR
LL	Layout	Char(2)	‘L0’ for ‘K31’
C	Accounting version	Char(1)	‘L’, ‘N’, ‘C’, ‘S’
N	Final version	Char(1)	‘D’ or ‘N’
.ext	Extension	Char(5)	For ‘REP’ files: ‘.xlsx’ For ‘FBR’ files: ‘.xml’

Example: SICREP-B999999-K9999990000-2008-06-K31-L0-L-N.xlsx

## 9. PSF – Reporting for PFS and support PFS

Addressee: the CSSF

METHODS OF TRANSMITTING REPORTS VIA EXTERNAL CHANNELS (NAMING CONVENTION)

Unrestricted  
Version: see version table

Format: TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	‘PSF’ constant
DIR	Direction	Char(3)	‘REP’ for Report → file sent to the CSSF ‘FBR’ for Feedback → feedback reception file
E	Reporting entity	Char(1)	‘P’ (PFS) or ‘I’ (support PFS) or ‘&’ (Central securities depository)
NNNN	Identification number	Number(4)	0001...9999
YYYY	Year	Number(4)	
MM	Month	Number(2)	01 ... 12
TAB	Table	Char(3)	PFS other than support PFS or ‘Central securities depository: ‘P11’ for “bilan”, ‘P21’ for “reporting ad-hoc”  Support PFS: ‘I11’ for “bilan”, ‘I21’ for “reporting ad-hoc”
LL	Layout	Char(2)	‘L1’ for P11, P21, I11 and I21
C	Accounting version	Char(1)	“L”, “N”, “S”
D	Final version	Char(1)	‘D’ or ‘N’
S	Sub-type	Char(1)	‘_’
.ext	Extension	Char(5)	For ‘REP’ files: ‘.xlsx’  For ‘FBR’ files: ‘.xml’

*Note: This name format is structurally identical to that used for FRC XBRL (extension excepted)*

Examples :

- PSFREP-P9999-2008-03-P11-L1-L-N--.xlsx
- PSFREP-I9999-2008-03-I21-L1-L-N--.xlsx
- PSFREP-89999-2020-01-P11-L1-L-N--.xlsx

## 10. EDP - Payment institutions reporting

Addressees: the CSSF and the BCL

Format: TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'EDP' constant
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF 'FBR' for Feedback → feedback reception file
E	Reporting entity	Char(1)	'Z' (Payment institution)
NNNN	Identification number	Number(4)	0001...9999
YYYY	Year	Number(4)	
MM	Month	Number(2)	01 ... 12
TAB	Table	Char(3)	'Z11' for "Bilan", 'Z12' for "Identification des fonds de tiers détenus et repris au bilan", 'Z14' for "Adéquation des fonds propres des établissements de paiement", 'Z21' for "Compte de profits et de pertes", 'Z22' for "Compte de profits et de pertes - service de paiement"
LL	Layout	Char(2)	'L0' for all the tables
C	Accounting version	Char(1)	'L', 'N', 'S', 'C'
D	Final version	Char(1)	'D' or 'N'
S	Sub-type	Char(1)	'_'
.ext	Extension	Char(5)	For 'REP' files: '.xlsx'

			For 'FBR' files: '.xml'
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Example : EDPREP-Z9999-2011-03-Z11-L0-L-N--.xlsx

## 11. EME - Electronic money institution

Addressees: the CSSF and the BCL

Format: TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'EME' constant
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF 'FBR' for Feedback → feedback reception file
E	Reporting entity	Char(1)	'W' (Electronic money institutions)
NNNN	Identification number	Number(4)	0001...9999
YYYY	Year	Number(4)	
MM	Month	Number(2)	01 ... 12
TAB	Table	Char(3)	'W11' for "Bilan", 'W12' for "Identification des fonds de tiers détenus et repris au bilan", 'W13' for 'Relevé des emplois autorisés', 'W14' for "Adéquation des fonds propres des établissements de monnaie électronique", 'W21' for "Compte de profits et de pertes", 'W22' for "Compte de profits et pertes - activités liées à la monnaie électronique et aux services de paiement", 'W45' for Composition de l'actionnariat"
LL	Layout	Char(2)	'L0' for all the tables
C	Accounting version	Char(1)	'L', 'N', 'S', 'C'

D	Final version	Char(1)	‘D’ or ‘N’
S	Sub-type	Char(1)	‘_’
.ext	Extension	Char(5)	For ‘REP’ files: ‘.xlsx’ For ‘FBR’ files: ‘.xml’

Example: EMEREP-W9999-2011-03-W11-L0-L-N--.xlsx

## 12. SGO - Management companies reporting

Addressee: the CSSF

Format: TYRDIR-ENNNN-YYYY-MM-TAB-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	“SGO” constant
DIR	Direction	Char(3)	‘REP’ for Report → file sent to the CSSF ‘FBR’ for Feedback → feedback reception file
E	Reporting entity	Char(1)	‘S’ (Société de gestion - Management company) or ‘A’ (AIFM)
NNNN	Identification number	Number(4)	0001...9999
YYYY	Year	Number(4)	
MM	Month	Number(2)	01 ... 12
TAB	Table	Char(3)	“G21” for reporting G2.1 to the CSSF by management companies
LL	Layout	Char(2)	“L2” for G2.1
C	Accounting version	Char(1)	“L”, “N”, “S”
D	Final version	Char(1)	‘D’ or ‘N’
S	Sub-type	Char(1)	‘_’
.ext	Extension	Char(5)	For ‘REP’ files: ‘.xlsx’

			For 'FBR' files: '.xml'
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Examples :

- SGOREP-S9999-2009-03-G21-L2-S-N--.xlsx
- SGOREP-S9999-2009-12-G21-L2-L-D--.xlsx
- SGOREP-A9999-2016-03-G21-L2-L-N--.xlsx

### 13. OPC – Fund XML reporting

Addressee: the CSSF (BCL may receive the according data directly from CSSF)

Format: TYRDIR-EIIIIIII-TJJJJJJJ-SSSSSSSS-YYYY-MM-DD-D-C-O-RRRRRR-LL.ext

Note: **the fields 'E' and 'IIIIIII' stand for the sender (technical agent) and not the central administration/management company**

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'OPC' for reporting OPC
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF  'FBR' for Feedback → feedback reception file
-	Separator	Char(1)	'-' constant
E	Entity type of the <b>sender</b>	Char(1)	Potential CSSF entity types : "1" for legal person, "A" - "Z" (capital letters) for potential types of CSSF entities
IIIIIII	Identification number of the <b>sender</b>	Number(8)	00000001...99999999
-	Separator	Char(1)	'-' constant
T	Entity type of the <b>sender</b>	Char(1)	'O' constant
JJJJJJ J	Identification number of the sender	Number(8)	00000001...99999999
-	Separator	Char(1)	'-' constant

SSSSSS SS	Compartment number	Number(8)	00000001...99999999, '00000000' if no compartments
-	Separator	Char(1)	'-' constant
YYYY	Year	Number(4)	Year of the period end
-	Separator	Char(1)	'-' constant
MM	Month	Number(2)	Month of the period end
-	Separator	Char(1)	'-' constant
DD	Day	Number(2)	'01' constant
-	Separator	Char(1)	'-' constant
D	Final version	Char(1)	'N' for non-final version 'D' for final version 'O4.n__'
-	Separator	Char(1)	'-' constant
C	Accounting version	Char(1)	'L', 'N', 'S', 'C'
-	Separator	Char(1)	'-' constant
O	Separator	Char(1)	'O' constant
-	Separator	Char(1)	'-' constant
RRRRRR	Table	Char(6)	Currently defined: 'O1.2__'
-	Separator	Char(1)	'-' constant
LL	Layout	Char(2)	Currently defined: '0_' pour 'O1.2__'
.ext	Extension	Char(5)	For 'REP' files: '.xml' or '.XML'  For 'FBR' files: '.xml'

Example: OPCREP-B00000111-O11111111-00000000-2009-12-01-N-L-O-O1.2\_\_-1\_.xml

## 14. DOC - Non-structured electronic documents

DOC reporting consists in a series of different document types with non-structured content that the supervised entities must send to the CSSF.

The name structure is a purely technical definition, the implementation of certain types of documents for certain types of supervised entities will be made in any case by way of an official communication by the CSSF.

Addressee: the CSSF

Format: TYRDIR-ENNNNNNNN-CCCCCCCC-PPPP-YYYY-MM-DD-DO-LL-IIII.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'DOC' for «Non-structured documents»
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF  'FBR' for Feedback on Reception → CSSF acknowledgement of receipt  'FDB' for Feedback → CSSF feedback on the received document (only if such a feedback is defined in a CSSF circular)
E	Entity type	Char(1)	CSSF types of entities ('B' for Banks, 'P' for PFS, etc.)
NNNNNNN N	Identification number	Number(8)	00000001...99999999 (if CSSF identifier is known)  00000000 (if CSSF is not yet known)
CCCCCCCC	Compartment number	Number(8)	00000001...99999998 for an entity with compartments,  '00000000' for an entity without compartments  '99999999' if compartment is unknown
PPPP	Unit type	Number(4)	0001...9998 for an entity with compartments and types of units



			‘0000’ for an entity without types of parts ‘9999’ for a type of unknown part
YYYY	Year	Number(4)	Year
MM	Month	Number(2)	Month
DD	Day	Number(2)	Day
DO	Document type	Char(2)	Two-digit code specified in an appropriate CSSF circular, e.g.  ‘AC’ for « le rapport du réviseur concernant AML/CTF » (19/731) ‘AD’ for « annexe 1 de la circulaire CSSF 18/697 (dépositaires de fonds) » (19/731) ‘AI’ for Articles of Incorporation (21/778) ‘AR’ for Annual Report (21/778, ,19/731) ‘AS’ for Annual Report consolidated (19/731) ‘AT’ for Attestation (21/778) ‘BD’ for « liste des membres du Conseil d’Administration » (19/731) ‘CO’ for Compliance Report (,19/731) ‘CP’ for Confirmation of Payment (21/778) ‘GR’ for Governance Respect (,19/731) ‘IA’ for Internal Audit Report (,19/731) ‘IC’ for ICAAP (,19/731) ‘ID’ for ICAAP consolidated (19/731) ‘IL’ for ILAAP (19/731) ‘IM’ for ILAAP consolidated (19/731) ‘KI’ for KIID (21/778) ‘LC’ for Long Form Report consolidated (19/731) ‘LD’ for Long Form Report subsidiaries or specific participations (19/731)

			<p>'LF' for Long Form Report (, ,19/731)</p> <p>'LG' for reconciliation LuxGAAP FINREP (19/731)</p> <p>'LN' for Letter of Notification (21/778)</p> <p>'MC' for Management Letter consolidated (, ,19/731)</p> <p>'MF' for « le rapport du réviseur concernant le respect des règles de conduite » (19/731)</p> <p>'ML' for Management Letter (, ,19/731)</p> <p>'MM' for « rapport annuel de la maison-mère » (19/731)</p> <p>'MP' for Marketing provisions (21/778)</p> <p>'MR' for Management Regulation (21/778)</p> <p>'PC' for Prospectus - Complete (21/778)</p> <p>'PS' for Prospectus - Simplified (21/778)</p> <p>'RC' for Risk Control Report (,19/731)</p> <p>'RE' for « rapport de synthèse relatif à la résolution extrajudiciaire des réclamations » (19/731)</p> <p>'RP' for Recovery Plan (,19/731)</p> <p>'RR' for « Répartition des résultats » (19/731)</p> <p>'SC' for Single Customer View (,19/731)</p> <p>'SR' for Semi-annual Report (21/778)</p> <p>'TR' for « tableau relatif à la résolution extrajudiciaire des réclamations » (19/731)</p>
LL	Document language	Char(2)	<p>Language code ISO 639-1 (alpha-2)</p> <p>E.g. EN=english, FR=français, DE=deutsch, LB=luxembourgeois,</p>
IIII	Number Annexe	Number(4)	<p>'0000' – Main document</p> <p>'nnnn' – Annexe number nnnn</p>

.ext	Extension	Char(5)	For REP and any returned FDB thereof:  '\pdf', '\docx', '\xlsx', '\pptx'  For FBR:  '\xml' (XML)
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Examples:

- DOCREP-B00000998-00000000-0000-2009-12-31-AR-DE-0000.pdf
- DOCREP-B00000998-00000000-0000-2009-12-31-AR-DE-0001.xlsx
- DOCFDB-O00009998-00000000-0000-2009-12-31-PC-DE-0000.pdf

## 15. OTH - Other

Addressee: the CSSF

This reporting is for the secured transmission of any kind of file (for which CSSF has not defined a specific naming convention) from the sender to the regulator (and vice-versa).

Format: TYRDIR-ENNNN-\*.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	'OTH' constant
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF  'FBR' for Feedback → feedback reception file
E	Reporting entity	Char(1)	Usual types of entities, e.g. "B" for Bank, "P" for PFS, "I" for IT PFS, "S" for Management company, "K" for SICAR, "1" for legal person not supervised by the CSSF
NNNN	Identification number	Number(4)	0001...9999
*	Rest of file name	Char(n)	Any string without spaces

			Authorised characters: US ASCII except special characters such as \ / : * ? " < >   &
.ext	Extension	Char(5)	For “REP” files, ‘.xlsx’, ‘.docx’, ‘.pptx’ (MS Office 2007) and ‘.pdf’.  For ‘FBR’ files: ‘.xml’

Example : OTHREP-B9999-HELLO\_WORLD.xlsx

## 16. AIF – AIFM and AIF reportings

Addressee: the CSSF

### 16.1 AIFM

Format: TYRDIR-EIIIIIII-ANNNNNNNN-FMMMMMMM-CCCCCCCC-MAN-  
YYYYMMDDHHMMSS.ext

Meaning:

Code	Meaning:	Structure	Authorised values
TYR	Reporting type	Char(3)	‘AIF’ for “Alternative Investment Fund” reporting
DIR	Direction	Char(3)	‘REP’ for Report → file sent to the CSSF  ‘FDB’ for Feedback → return information by CSSF after analysis of the content  ‘FBH’ for ESMA Feedback → return information by CSSF after refusal by ESMA
-	Separator	Char(1)	‘-’ constant
E	Entity type of the <b>sender</b> or the <b>technical agent</b>	Char(1)	Usual entity types, e.g. ‘B’

			for banks, “P” for PFS, “I” for support PFS, “S” for management companies, “K” for SICAR, “1” for other companies not supervised by the CSSF, etc.
IIIIIII	Identification number of the <b>sender</b>	Number(8)	00000001...99999999
-	Separator	Char(1)	‘-’ constant
A	Entity type of <b>AIFM</b>	Char(1)	‘A’ constant (the identification given by the CSSF for the AIFM has to be used)
NNNNNNNN	Identification number of the <b>AIFM</b>	Number(8)	00000001...99999999
-	Separator	Char(1)	‘-’ constant
F	Entity type of the <b>AIF</b>	Char(1)	‘F’ constant
MMMMMMMM	Identification number of the <b>AIF</b>	Number(8)	‘00000000’ constant in case of AIFM reporting
-	Separator	Char(1)	‘-’ constant
CCCCCCCC	Identification number of the compartment /sub-fund	Number(8)	‘00000000’ constant in case of AIFM report
-	Separator	Char(1)	‘-’ constant
MAN	Type of file	Char(3)	‘MAN’ constant for AIFM
-	Separator	Char(1)	‘-’ constant
YYYYMMDD HHMMSS	File creation date and time	Number(14)	Used to determine the order of processing and guarantees uniqueness

.ext	Extension	Char(5)	'.zip' or '.ZIP' for the REP file containing a single '.xml' or '.XML' file '.xml' for FBR file '.zip' for FDB et FBH files
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Example:

AIFREP-P12345678-A00000001-F00000000-000000000-MAN-20140129145000.zip,  
contains the file AIFREP-P12345678-A00000001-F00000000-000000000-MAN-  
20140129145000.xml

## 16.2 AIF

Format: TYRDIR-EIIIIIII-ANNNNNNNN-FMMMMMMMM-CCCCCCCC-AIF-  
YYYYMMDDHHMMSS.ext

Meaning:

Code	Meaning:	Structure	Authorised values
TYR	Reporting type	Char(3)	'AIF' for "Alternative Investment Fund" reporting
DIR	Direction	Char(3)	'REP' for Report → file sent to the CSSF 'FDB' for Feedback → return information by CSSF after analysis of the content 'FBH' for ESMA Feedback → return information by the CSSF after refusal by ESMA
-	Separator	Char(1)	'-' constant
E	Entity type of the <b>sender</b> or <b>the technical agent</b>	Char(1)	Usual entity types, e.g. 'B'

			for banks, “P” for PFS, “I” for support PFS, “S” for management companies, “K” for SICAR, “1” for other companies not supervised by the CSSF, etc.
IIIIIII	Identification number of the <b>sender</b>	Number(8)	00000001...99999999
-	Separator	Char(1)	‘-’ constant
A	Entity type of <b>AIFM</b>	Char(1)	‘A’ constant (the identification given by the CSSF for the AIFM has to be used, even if the fund also has an ‘O’ identification.
NNNNNNNN	Identification number of the <b>AIFM</b>	Number(8)	00000001...99999999
-	Separator	Char(1)	‘-’ constant
F	Entity type of the <b>AIF</b>	Char(1)	‘O’, ‘K’ or ‘V’ constant (AIF identification attributed by CSSF)
MMMMMMM	Identification number of the <b>AIF</b>	Number(8)	00000001...99999999
-	Separator	Char(1)	‘-’ constant
CCCCCCCC	Identification number of the compartment /sub-fund	Number(8)	00000001...99999999, ‘00000000’ if the fund has no compartments
-	Separator	Char(1)	‘-’ constant
AIF	Type of file	Char(3)	‘AIF’ constant for AIF
I-	Separator	Char(1)	‘-’ constant

YYYYMMDD HHMMSS	File creation date and time	Number(14)	Used to determine the order of processing and guarantees uniqueness
.ext	Extension	Char(5)	‘.zip’ or ‘.ZIP’ for the REP file containing a single ‘.xml’ or ‘.XML’ file  ‘.xml’ for FBR file  ‘.zip’ for FDB et FBH files

Example:

AIFREP-P12345678-A00000111-V00002222-00003333-AIF-20140129145000.zip,  
contains the file AIFREP-P12345678-A00000111-V00002222-00003333-AIF-  
20140129145000.xml

## 17. SUF – XBRL reportings for subfund-based structured products

This reporting works in full equivalence with reporting COF and just like for COF, this XBRL reporting takes place in compressed form using .zip archives

Addressee: the CSSF

### 17.1 .zip files

Format : TYRDIR-ENNNNNNNNN-TJJJJJJJJ-SSSSSSSS-YYYY-MM-R-TTTTTT-LL-C-D-S.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	‘SUF’ constant
DIR	Direction	Char(3)	‘REP’ for Report → file sent to the CSSF  ‘FBR’ for Feedback → receipt from CSSF to entity (feedback reception file)  ‘FBX’ for feedback from CSSF on the results of the XBRL validation



-	Separator	Char(1)	'-' constant
E	Entity type of the technical remitter of the reporting	Char(1)	Potential CSSF entity types : "1" for legal person, "A" - "Z" (capital letters) for potential types of supervised CSSF entities, e. g. 'B' for Banks, 'S' for management companies, 'A' for AIFMs ...
NNNNNNNN	CSSF identification of the technical remitter of the reporting	Number(8)	00000001...99999999
-	Separator	Char(1)	'-' constant
T	Entity type of the structured product	Char(1)	'F' for pension funds, 'K' for SICAR, 'O' for mutual funds, 'T' for securitisation vehicles, 'V' for AIFs
JJJJJJJJ	Identification number of the structured product	Number(8)	00000001...99999999
-	Separator	Char(1)	'-' constant
SSSSSSSS	Subfund / Compartment number	Number(8)	00000001...99999999, '00000000' if the entire structured product is concerned with the report
-	Separator	Char(1)	'-' constant
YYYY	Year	Number(4)	
-	Separator	Char(1)	'-' constant
MM	Month	Number(2)	01 ... 12
-	Separator	Char(1)	'-' constant
R	Reporting Type	Char(1)	'F' (IORP report type)
-	Separator	Char(1)	'-' constant
TTTTTT	Table	Char(6)	SAEEXX SAEIXX

			SARIXX SAXIXX SQEIXX SQRIXX
-	Separator	Char(1)	'-' constant
LL	Layout	Char(2)	'00' for all reports
-	Separator	Char(1)	'-' constant
C	Accounting version	Char(1)	'L', 'N', 'S', 'C'
-	Separator	Char(1)	'-' constant
D	Final version	Char(1)	code 'D': definitive version (n/a) code 'N': non-definitive version (PRODUCTION) code 'X': definitive version (TEST – n/a) code 'T': non-definitive version (TEST)
-	Separator	Char(1)	'-' constant
S	Sub-type	Char(1)	'-' for all (default value)
.ext	Extension	Char(5)	For 'REP' files: '.zip'  For 'FBR' files: '.xml'  For 'FBX' files: '.zip' (containing the original XBRL instance and the XBRL validation result in the form of an .xlsx file)

## 17.2 Instances in the .zip file

The files will have the name format:

TYRDIR-ENNNNNNNN-TJJJJJJJ-SSSSSSSS-YYYY-MM-R-TTTTTT-LL-C-D-S-  
NAME.xbri

All the fields have an identical meaning as defined for the .zip file; in addition there is a separator '-', the NAME and the extension .xbri of the file.

NAME.xbrl are depending on the type of report:

TTTTTT	Name.xbrl	Characteristics
SARIXX	SARIXX.xbrl	EIOPA ari taxonomy (Annual reporting Pension Funds individual)
SAXIXX	SAXIXX.xbrl	EIOPA axi taxonomy (Annual reporting exempted Pension Funds individual)
SQRIXX	SQRIXX.xbrl	EIOPA qri taxonomy (Quarterly reporting Pension Funds individual)
SAEIXX	SAEIXX.xbrl	EIOPA/ECB aei taxonomy (Annual ECB Add-on reporting Pension Funds individual)
SAEEXX	SAEEXX.xbrl	EIOPA/ECB aee taxonomy (Annual ECB Add-on reporting exempted Pension Funds individual)
SQEIXX	SQEIXX.xbrl	EIOPA/ECB qei taxonomy (Quarterly ECB Add-on reporting Pension Funds individual)

### 17.3 Naming validation

The main verification is the following: .zip file matrix = matrix of all the .xbrl files, i.e. the string TYRDIR-ENNNNNNNNN-TJJJJJJJJ-SSSSSSSS-YYYY-MM-R-TTTTTT-LL-C-D-S must be identical for the .zip file as well as for all instances.

### 17.4 Examples

.ZIP	Instances
SUFREP-B00000999-F00009999-00000001-2020-12-F-SARIXX-00-L-N--.zip	SUFREP-B00000999-F00009999-00000001-2020-12-F-SARIXX-00-L-N---SARIXX.xbrl

## 18. Annex 1: EDO - former EDIFACT files - UCI

Addressee: the CSSF and the BCL

### 18.1 Format of the sender's EDIFACT report

Format: ENNNNNNOPPPPPQQQQYYYYMMDDYYYYMMDD.TFF

Note: This name format is structurally identical to the one that is historically used.

**But: the fields ‘E’ and ‘NNNNNN’ stand for the sender (technical agent) and not the central administration/management company**

Meaning:

Code	Meaning	Structure	Authorised values
E	Entity type of the <b>sender</b>	Char(1)	Potential types of CSSF entities: “1” for legal person, “A” - “Z” (capital letters) for potential types of CSSF entities
NNNNNN	Identification number of the <b>sender</b>	number(6)	000001...999999
O	Entity type of the <b>sender</b>	Char(1)	‘O’
PPPPPP	ID of the sender	number(6)	000001...999999
QQQQQ	Compartment number	number(5)	00001...99999
YYYYMMDD	Start date of the period	number(8)	Date
YYYYMMDD	End date of the period	number(8)	Date
.TFF	Extension	Char(4)	‘.T62’ for O4.1 L0 ‘.T63’ for O4.2 L0

Example: B0009990000099003242007120120071231.T62

## 18.2 Format of the feedback file to EDIFACT

Format: TYRDIR-ENNNNNN-OPPPPPQQQQQ-YYYY-MM-TAB.ext

Meaning:

Code	Meaning	Structure	Authorised values
TYR	Reporting type	Char(3)	‘EDO’ constant (EDIFACT reporting OPC)
DIR	Direction	Char(3)	‘FBR’ for Feedback → feedback reception file
E	Entity type of the <b>sender</b>	Char(1)	E value of the REP file

NNNNNN	Identification number of the sender	Number(6)	NNNNNN value of the REP file
O	Entity type of the <b>sender</b>	Char(1)	E value of the REP file
PPPPPP	ID of the sender	number(6)	PPPPPP value of the REP file
QQQQQ	Compartment number	number(5)	QQQQQ value of the REP file
YYYY	Year (end date)	Number(4)	YYYY value of the REP file
MM	Month (end date)	Number(2)	MM value of the REP file
TAB	Table	Char(3)	ext value of the REP file
.ext	Extension	Char(4)	For 'FBR' files: '.xml'

Example : EDOFBR-B000001-O00009900324-2007-12-T62.xml



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