

Table O 4.1 "Renseignements financiers annuels"

1. Table characteristics

Periodicity	Yearly
"Version comptable"	L
"Version définitive"	Final D
Layout	0
Currency	Currency of the capital of the sub-fund of the undertaking for collective investment
Unit	Units of the currency of the capital of the sub-fund of the undertaking for collective investment
Print form to be sent	NO
To be sent by	Luxembourg undertakings for collective investment

2. Columns of the table

SubT. Label	Column	Type	Label
1	AMT	E	Montant / Amount
2	AMT	E	Montant / Amount
3	AMT	E	Montant / Amount

3. Type of lines and inter-line relationships

Please see annex A to the circular IML 97/136 for the full labels of the line numbers.

Table O 4.1 (lines 1-xxxxx)

Line	Type	Reference
10000	S	30000
11000	S	10000
11100	S	11000
11110	S	11100
11111	E	11110
11112	E	11110
11120	E	11100
11130	E	11100
11200	S	11000
11210	E	11200
11220	E	11200

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11300	E	11000
11400	E	11000
12000	S	10000
12100	S	12000
12110	E	12100
12120	E	12100
12200	E	12000
12300	E	12000
13000	S	10000
13100	S	13000
13110	E	13100
13120	E	13100
13200	E	13000
14000	S	10000
14100	E	14000
14200	E	14000
15000	S	10000
15100	E	15000
15200	E	15000
20000	S	30000
21000	E	20000
22000	E	20000
30000	T	--
40000	S	60000
41000	E	40000
42000	E	40000
43000	E	40000
44000	S	40000
44100	E	44000
44200	E	44000
44300	E	44000
50000	S	60000
51000	S	50000
51100	E	51000
51200	E	51000

51300	E	51000
52000	S	50000
52100	E	52000
52200	E	52000
52300	E	52000
53000	S	50000
53100	E	53000
53200	E	53000
54000	E	50000
55000	E	50000
60000	S	70000
71000	E	70000
72000	E	70000
70000	S	30000
30010	E	30000
80000	S	30000
81000	E	80000
82000	E	80000
90000	E	30000
91000	I	90000
95000	E	--
96000	E	--

Certain S lines are to be computed not by adding the entry lines, but by deducting entry lines.

Please note that the following structural changes have been made respective to the circular IML 97/136:

- All lines have one more digit, i.e. a zero is added to the end, except
- 1112 which becomes 11111
- 1113 which becomes 11112
- 1114 becomes 11120
- 1115 becomes 11130

Table O 4.1 (lines 2-xxxxx-yy)(examples)

Line	Type	Reference
11000-BE	S	--
11100-BE	S	11000-BE
11110-BE	S	11000-BE

11111-BE	E	11000-BE
11112-BE	E	11000-BE
11120-BE	E	11000-BE
11130-BE	E	11000-BE
11200-BE	S	11000-BE
11210-BE	E	11000-BE
11220-BE	E	11000-BE
11300-BE	E	11000-BE
11400-BE	E	11000-BE
13200-BE	E	--
14100-BE	E	--
11000-FR	S	--
....	E	11000-FR
11000-LU	S	--
--	E	11000-LU
11000-HK	S	
...	E	11000-HK
11000-ZW	S	--
...	E	11000-ZW

The order of the lines transmitted is not important, but it would help reading the file if it was an alphabetical order, either ordered by the line number part, or by the ISO-part of the line. Please refer to circular IML 97/136 for a list of valid ISO country codes. This list will be regularly updated. Please note that the country code for Zaire has been changed from ZR to CD, as the Republic of Zaire became the Democratic Republic of the Congo.

Table O 4.1 (lines 3-xx)(examples)

Line	Type	Reference
BE	E	--
LU	E	--
...	E	--
HK	E	--
...	E	--
ZW	E	--

4. Verification rules

- All entry lines and included lines (E and I lines) are mandatory. In sub-table 2 existing ISO codes and line numbers must be reported only if there is a corresponding amount to be reported. In sub-table 3 existing ISO codes must be reported only if there is a corresponding amount to be reported.
- The 1-91000 is an included line, thus its amount may not be larger than the amount in line 1-90000.
- All amounts have a credit trend, except lines 1-60000, 1-70000, 1-71000, 1-72000, 1-80000 which may have a debit trend (only 1-71000 and 1-72000 are entry lines).
- The total of the 'S'-marked lines in sub-table 2, referring to 1-11000, must be equal to line 1-11000.
- The tests enumerated in the circular IML 97/136 can be done for the use of the central administration, but need not be for the aim of transmission to the CSSF, as the right side of the equation does not concern lines to be reported.
- Each total of the lines 11000XY through 11400XY, 13200XY and 14100XY, where XY is to be replaced by all possible currencies used by the table, of sub-table 2 must correspond to the amounts of each of the line referred to in sub-table 1.

☐The total of the lines in sub-table 3 must be equal to 100.

5. EDIFACT example for table O 4.1

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UNA:+.? '
UNB+UNOA:1+X000011-FINREP-LU+IML-FINREP-LU+980125:1200+X00001198+APPLICPASSWORD'
UNH+X0000119800002+FINREP:1:911:UN'
BGM+411:ZZZ:5+TABLE-O-4.1--D-L-00001+9'
DTM+242:199826011631:203'
DTM+243:199826011645:203'
PEI+Y+183+1997010119971231+718'
SJS+FCP+O000011:ZZZ+00001'
NAD+DT+NOM DE L?'OPC++STREET AND NUMBER++TOWN++L-2055+LU'
NAD+AE+CENTR.ADMINISTR.S.W.I.F.T.ADDRESS:25:17'
CTA+AD+:MR.-B-EMPLOYEE'
COM+1234567881:TE'
COM+1234567890:FX'
NAD+DO+IMLULULL:25:17'
CUX+2:DEM+20.2345'
UNS'D'
CPT+DET:1-11111'
VAL+AMT+123456789+CD'
QTY+140:1'
CPT+DET:1-11112'
VAL+AMT+123456789+CD'
QTY+140:1'
CPT+DET:1-11120'
VAL+AMT+123456789+CD'
QTY+140:1'
CPT+DET:1-11130'
VAL+AMT+123456789+CD'
QTY+140:1'
....
CPT+DET:1-96000'
VAL+AMT+123456789+CD'
QTY+140:1'
CPT+DET:2-11100-BE'
VAL+AMT+123456789+CD'
QTY+140:1'
CPT+DET:3-BE'
VAL+AMT+123456789+CD'
QTY+140:1'
UNS+S'
QTY+140:13'

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VAL+AMT+0'
AUT+AUTHENTPASSWORD'
DTM+218:199826011630:203'
UNT+76+X0000119800001'
UNZ+1+X00001198'

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