

ANNEX II

NOTIFICATION TEMPLATE FOR THE EXCHANGE OF INFORMATION IN RELATION TO BRANCH PASSPORT APPLICATIONS BY PAYMENT INSTITUTIONS AND E-MONEY INSTITUTIONS

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| --- | --- | --- |
| 1) | Home Member State | Click here to enter text. |
| 2) | Name of the competent authorities of the home Member State | Click here to enter text. |
| 3) | Date of receipt by the competent authority of the home Member State of the complete and accurate application from the payment institution/e-money institution | Click here to enter a date. |
| 4) | Member State where the branch is to be established | Click here to enter text. |
| 5) | Type of application | [ ]  First application[ ]  Change to previous application[ ]  End of business activity/cessation |
| 6) | Type of Institution | [ ]  Payment Institution[ ]  E-Money Institution |
| 7) | Name of the payment institution/e-money institution | Click here to enter text. |
| 8) | Head office address of the payment institution/e-money institution | Click here to enter text. |
| 9) | Unique identification number of the payment institution/e-money institution in the format of the home Member State as specified in Annex I (where applicable) | Click here to enter text. |
| 10) | Legal Entity Identifier (LEI) of the payment institution/e-money institution (where available) | Click here to enter text. |
| 11) | Home Member State authorisation number of the payment institution/e-money institution (where applicable) | Click here to enter text. |
| 12) | Contact person within the payment institution/e-money institution | Click here to enter text. |
| 13) | Email of the contact person within the payment institution/e-money institution | Click here to enter text. |
| 14) | Telephone number of the contact person within the payment institution/e-money institution | Click here to enter text. |
| 15) | Branch address | Click here to enter text. |
| 16) | Identity of persons responsible for the management of the branch | Click here to enter text. |
| 17) | Email of the persons responsible for the management of the branch | Click here to enter text. |
| 18) | Telephone number of the persons responsible for the management of the branch | Click here to enter text. |
| 19) | Payment services to be provided | 1. [ ]  Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account2. [ ]  Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account3. Execution of payment transactions, including transfers of funds on a payment account with the user's payment provider or with another payment service provider: a) execution of direct debits, including one-off direct debits [ ]  b) execution of payment transactions through a payment card or a similar device [ ]  c) execution of credit transfers, including standing orders [ ]  4. Execution of payment transactions where the funds are covered by a credit line for a payment service user: a) execution of direct debits, including one-off direct debits [ ]  b) execution of payment transactions through a payment card or a similar device [ ]  c) execution of credit transfers, including standing orders [ ]  Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: [ ]  yes [ ]  no5. [ ]  Issuing of payment instruments [ ]  Acquiring of payment transactionsIncluding granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: [ ]  yes [ ]  no6. [ ]  Money remittance7. [ ]  Payment initiation services8. ☐ Account information services |
| 20) | Electronic money services to be provided (applicable only to e-money institutions) | [ ]  Issuing of electronic money[ ]  Distribution and/or Redemption of electronic money |
| 21) | Description of the organisational structure of the branch | Click here to enter text. |
| 22) | Business plan, which demonstrates that the branch is able to employ the appropriate and proportionate systems, resources and procedures to operate soundly in the host Member State, comprising: |  |
| a. main objectives and business strategy of the branch and an explanation of how the branch will contribute to the strategy of the institution and, where applicable, of its group; | Click here to enter text. |
| b. a forecast budget calculation for the first three complete financial years. | Click here to enter text. |
| 23) | Governance arrangements and internal control mechanisms, comprising the following items: |  |
| a. description of the governance structure of the branch, including functional and legal reporting lines and the position and role of the branch within the corporate structure of the institution and, where applicable, of its group; | Click here to enter text. |
| b. description of internal control mechanisms of the branch, including the following items: | Click here to enter text. |
|  i. internal risk control procedures of the branch, the link with the internal risk control procedure of the payment institution/e-money institution, and where applicable, of its group; | Click here to enter text. |
|  ii. details of the internal audit arrangements of the branch; | Click here to enter text. |
|  iii. details of the anti-money laundering procedures to be adopted by the branch in the host Member State, under Directive (EU) 2015/849. | Click here to enter text. |
| 24) | In case of outsourcing of operational functions of payment/e-money services: |  |
| a. Name and address of the entity to which operational functions are to be outsourced; | Click here to enter text. |
| b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced; | Click here to enter text. |
| c. Type and exhaustive description of the operational functions outsourced. | Click here to enter text. |



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