



Commission de Surveillance  
du Secteur Financier

## CSSF Regulation No 20-09 of 14 December 2020

amending CSSF Regulation  
No 20-02 of 29 June 2020 on  
the equivalence of certain  
third countries with respect  
to supervision and  
authorisation rules for the  
purpose of providing  
investment services or  
performing investment  
activities and ancillary  
services by third-country  
firms

# **CSSF Regulation No 20-09 of 14 December 2020 amending CSSF Regulation No 20-02 of 29 June 2020 on the equivalence of certain third countries with respect to supervision and authorisation rules for the purpose of providing investment services or performing investment activities and ancillary services by third-country firms**

(Mém. A 2020, No 1075)

*The Executive Board of the Commission de Surveillance du Secteur Financier,*

Having regard to Article 108a of the Constitution;

Having regard to the Law of 23 December 1998 establishing a financial sector supervisory commission ("Commission de surveillance du secteur financier"), as amended, and in particular Article 9(2) thereof;

Having regard to the Law of 5 April 1993 on the financial sector, as amended, and in particular the second subparagraph of Article 32-1(1) thereof;

Having regard to Directive 2014/65/EU of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Directive 2002/92/EC and Directive 2011/61/EU;

Having regard to Regulation (EU) No 600/2014 of the European Parliament and of the Council of 15 May 2014 on markets in financial instruments and amending Regulation (EU) No 648/2012, and in particular the fifth subparagraph of Article 46(4) thereof;

Having regard to the opinion of the Consultative Committee for Prudential Regulation;

*Decides:*

## **Article 1**

### **Amendments to CSSF Regulation No 20-02 of 29 June 2020 on the equivalence of certain third countries with respect to supervision and authorisation rules for the purposes of providing investment services or performing investment activities and ancillary services by third-country firms**

The Annex "LIST OF THIRD COUNTRIES AND TERRITORIES ESTABLISHED FOR THE PURPOSES OF ARTICLE 2" of CSSF Regulation No 20-02 shall be amended with the addition of the following point (7):

"7) United Kingdom of Great Britain and Northern Ireland".



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## **Article 2**

### **Date of entry into force**

This Regulation shall enter into force on 1 January 2021.

## **Article 3**

### **Publication**

This Regulation shall be published in the Journal officiel du Grand-Duché de Luxembourg and on the website of the Commission de Surveillance du Secteur Financier.

Luxembourg, 14 December 2020

### **Commission de Surveillance du Secteur Financier**

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