**DE-NOTIFICATION LETTER**

**UCITS/COMPARTMENT(S) AND / OR SHARE CLASS(ES)**

for the purpose of de-notifying the marketing arrangements of a UCITS/compartment(s) and/or share class(es) of a Luxembourg undertaking for collective investment in transferable securities (“UCITS”) in respect of which a notification for marketing has been made to the CSSF in accordance with Article 93 of the Directive 2009/65/EC as transposed by Article 54 of the Law of 2010 (“UCI Law”).

**SECTION A**

De-notification of (a) UCITS/compartment(s) and/or share class(es) in (host Member State):

Name of the UCITS:

UCITS home Member State:

Legal form of the UCITS (please tick appropriate box)

**[ ]**  common fund (FCP) **[ ]**  investment company

Name of the UCITS management company/self-managed investment company:

UCITS management company’s home Member State:

Address of registered office/domicile:

Details of UCITS management company’s/self-managed investment company’s website:

Details of contact person at the UCITS management company/self-managed investment company:

Name:

Position:

Telephone number:

Email address:

De-notification of the UCITS/compartment(s) only:

|  |  |  |  |
| --- | --- | --- | --- |
| Name of the compartment(s) to be de-notified (indicate “N/A” if UCITS with no compartments) | LEI code of the UCITS - compartment(s) | Date of application of de-notification of marketing arrangements (e.g. dd/mm/yyyy) | Name, ISIN and CSSF identifiers of share classes (UCITS/Sub-fund/ShareClass – e.g. XNNNNN / CCC / PPP) |
|       |       |       |       |

De-notification of the UCITS share class(es) only:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Name of the compartment(s)  | LEI code of the compartment(s) | Date of application of de-notification of marketing arrangements (e.g. dd/mm/yyyy) | Name and CSSF identifier of the share class(es) to be de-notified(UCITS/Sub-fund/ShareClass – e.g. XNNNNN / CCC / PPP)  | ISIN of the share class(es) |
|       |       |       |       |       |

Additional information:

***NB: For a non-voluntary de-notification of the marketing arrangements for the relevant UCITS/compartment(s)/share class(es) in case of a life-cycle event, i.e. in case of termination, liquidation, merger or at the end of a limited term of such UCITS/ compartment(s)/share class(es), section B does not apply and does not need to be completed.***

***Please indicate the reason for the non-voluntary de-notification in the “Additional information” section above and furthermore, ensure that the letter is duly completed and signed under Section C.***

**SECTION B**

The UCITS management company/self-managed investment company hereby confirms that the conditions required for the de-notification of the marketing arrangements of the UCITS/ compartment(s)/share class(es) under Article 93a(1)(a) to (c) of Directive 2009/65/EC as transposed by Article 54-1(1)(a) to (c) of the UCI Law have been complied with:

Yes [ ]  No [ ]

Indication of the publicly available medium where the intention to terminate marketing arrangements for the relevant UCITS/compartment(s)/share class(es) is made public pursuant to Article 93a(1)(b) of Directive 2009/65/EC as transposed by Article 54-1(1)(b) of the UCI Law:

Confirmation as to whether investors in the host Member State where de-notification takes place are still invested in the relevant UCITS/compartment(s)/share class(es) at the time of de-notification:

Yes [ ]  No [ ]

If the foregoing is answered with **YES**, the UCITS management company/self-managed investment company hereby confirms that the conditions under Article 93a(4) of Directive 2009/65/EC as transposed by Article 54-1(4) of the UCI Law shall be complied with for as long as investors in the host Member State remain invested in the relevant UCITS compartment(s)/share class(es):

Yes [ ]  No [ ]

The UCITS management company/self-managed investment company hereby confirms that it will inform the CSSF by email to luucits-upd@cssf.lu as soon as the relevant UCITS share class(es) shall cease to have investors in the host Member State:

Yes [ ]  No [ ]

**SECTION C**

The de-notification letter shall be signed by an authorised signatory of the UCITS management company or the self-managed investment company or a third person empowered by a written mandate to act on behalf of the notifying entity. The signatory shall state his/her full name and capacity, and shall ensure the confirmation is dated.

|  |  |
| --- | --- |
| Signature: | Date: |
| Last name: | First name: |
| Company: | Position: |
| Phone: | Email address: |