

**COMMISSION de SURVEILLANCE
du SECTEUR FINANCIER**

In case of discrepancies between the French and the English text, the French text shall prevail

Luxembourg, 3 December 2013

To all investment firms

CIRCULAR CSSF 13/577

Re: Introduction of table EI "Persons responsible for certain functions and activities"

Ladies and Gentlemen,

We are pleased to draw your attention to the introduction of table EI "Persons responsible for certain functions and activities".

The purpose of table EI "Persons responsible for certain functions and activities" is to report the names of the persons designated by an investment firm as persons responsible for a function or activity within the authorised management and the names of the persons responsible for internal control functions as required by several CSSF circulars.

Table EI "Persons responsible for certain functions and activities" takes into account the related changes introduced by Circular CSSF 12/552, as amended by Circular CSSF 13/563.

Table EI "Persons responsible for certain functions and activities" shall be drawn up for the situation as at 31 December of each year and whenever the persons designated in that table change during the financial year; the table shall be sent to the CSSF in paper form only.

Table EI "Persons responsible for certain functions and activities" and the related instructions as included now in the instructions relating to the periodic reporting of PFS other than support PFS and published on our website, are available in electronic format at the following address:

<https://www.cssf.lu/en/legal-reporting-investment-firms/>.

Yours faithfully,

COMMISSION DE SURVEILLANCE DU SECTEUR FINANCIER

Claude SIMON

Director

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