

**Form I.b**

Annex 2 of Circular CSSF 15/612

**Information to be provided by a Luxembourg AIFM which manages unregulated AIFs (established in Luxembourg, in another EU Member State or in a third country) and/or regulated AIFs established in a third country (AIF with multiple compartments)**

COMMISSION DE SURVEILLANCE

DU SECTEUR FINANCIER

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| 1) Information on the AIFM and the AIF | | | |
| 1 | CSSF code of the AIFM | Y | A |
| 2 | Name of the AIFM | Y |  |
| 3 | Name of the AIF | Y |  |
| 4 | Nationality of the AIF | Y |  |
| 5 | National code of the AIF | N |  |
| 6 | LEI code of the AIF | N |  |
| 7 | Date of establishment of the AIF | Y |  |
| 8 | Address of the AIF | Y |  |
| 9 | Regulated AIF | Y |  |
| 10 | Name and address of the supervisory authority |  |  |
| 11 | Reference currency of the AIF | Y |  |
| 12 | Name and address of the depositary bank | Y |  |

**Instructions for filling in the information required in the various fields which are contained in the preceding table**:

* With the exception of item 10 which must only be filled in in the case of a regulated AIF, all the fields in the right-hand column of the preceding table must be filled in if the field is preceded by the letter "Y" in the previous column. The fields which are preceded by the letter "N" must be filled in when the information is available.
* Item 1: The CSSF code of the AIFM is the identifier which the CSSF has attributed to the AIFM. It is made up of the letter A and eight figures. The CSSF code of the AIF may be consulted on the CSSF's website under the following URL: <http://supervisedentities.cssf.lu/index.html?language=fr&type=AIF#AdvancedSearch>.
* Item 5: The national code refers to the code which the supervisory authority has attributed to the AIF when it is supervised. If the AIF is not regulated, it is necessary to indicate the registration number or other similar number. For unregulated Luxembourg AIFs, the trade and companies register number must be indicated.

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| 2) Information to be provided for each additional compartment of the AIF which is managed by the AIFM: | | | |
| (Please copy the tables under this item for each additional compartment which you submit) | | | |
| 13 | Name of the compartment of the AIF | Y |  |
| 14 | National code of the compartment of the AIF | N |  |
| 15 | LEI code of the AIF compartment | N |  |
| 16 | Reference currency of the compartment of the AIF | Y |  |
| 17 | Type(s) of unit (share(s)) marketed (National code, ISIN code, name of the type of unit/share) of the compartment of the AIF | Y |  |
| 18 | Date from which the AIFM manages the compartment of the AIF (in the format DD/MM/YYYY) | Y |  |
| 19 | Countries in which the compartment of the AIF is marketed to professional investors | Y |  |
| 20 | Strategy of the AIF compartment | Y | Tick one strategy only in the table at the end of this Annex. |

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| **AIF strategies:** | | | | | |
| AIF type code | AIF type label | AIF strategy code | AIF strategy label | AIF type – strategy of the AIF |  |
| HFND | Hedge fund strategies | EQTY\_LGBS | Equity: Long Bias | hedge fund – equity: long bias |  |
| HFND | Hedge fund strategies | EQTY\_LGST | Equity: Long/Short | hedge fund – equity: long/short (selection strategy) |  |
| HFND | Hedge fund strategies | EQTY\_MTNL | Equity: Market neutral | hedge fund – equity: market neutral (position strategy) |  |
| HFND | Hedge fund strategies | EQTY\_STBS | Equity: Short Bias | hedge fund – equity: short bias |  |
| HFND | Hedge fund strategies | RELV\_FXIA | Relative Value: Fixed Income Arbitrage | hedge fund – relative value: fixed income arbitrage |  |
| HFND | Hedge fund strategies | RELV\_CBAR | Relative Value: Convertible Bond Arbitrage | hedge fund – relative value: convertible bond arbitrage |  |
| HFND | Hedge fund strategies | RELV\_VLAR | Relative Value: Volatility Arbitrage | hedge fund – relative value: volatility arbitrage |  |
| HFND | Hedge fund strategies | EVDR\_DSRS | Event Driven: Distressed/Restructuring | hedge fund – event driven: distressed/restructuring |  |
| HFND | Hedge fund strategies | EVDR\_RAMA | Event Driven: Risk Arbitrage/Merger Arbitrage | hedge fund – event driven: risk arbitrage/merger arbitrage |  |
| HFND | Hedge fund strategies | EVDR\_EYSS | Event Driven: Equity Special Situations | hedge fund – event driven: equity special situations |  |
| HFND | Hedge fund strategies | CRED\_LGST | Credit Long/Short | hedge fund – credit: long/short (selection strategy) |  |
| HFND | Hedge fund strategies | CRED\_ABLG | Credit Asset Based Lending | hedge fund – credit: asset based lending |  |
| HFND | Hedge fund strategies | MACR\_MACR | Macro | hedge fund – macro |  |
| HFND | Hedge fund strategies | MANF\_CTAF | Managed Futures/CTA: Fundamental | hedge fund – managed futures/CTA: fundamental |  |
| HFND | Hedge fund strategies | MANF\_CTAQ | Managed Futures/CTA: Quantitative | hedge fund – managed futures/CTA: quantitative |  |
| HFND | Hedge fund strategies | MULT\_HFND | Multi-strategy hedge fund | hedge fund – multi-strategy |  |
| HFND | Hedge fund strategies | OTHR\_HFND | Other hedge fund strategy | hedge fund – other type of strategy |  |
| PEQF | Private equity strategies | VENT\_CAPL | Venture Capital | private equity – venture capital |  |
| PEQF | Private equity strategies | GRTH\_CAPL | Growth Capital | private equity – growth capital |  |
| PEQF | Private equity strategies | MZNE\_CAPL | Mezzanine Capital | private equity – mezzanine capital |  |
| PEQF | Private equity strategies | MULT\_PEQF | Multi-strategy private equity fund | private equity – multi-strategy |  |
| PEQF | Private equity strategies | OTHR\_PEQF | Other private equity fund strategy | private equity – other type of strategy |  |
| REST | Real estate strategies | RESL\_REST | Residential real estate | real estate – residential real estate |  |
| REST | Real estate strategies | COML\_REST | Commercial real estate | real estate – commercial real estate |  |
| REST | Real estate strategies | INDL\_REST | Industrial real estate | real estate – industrial real estate |  |
| REST | Real estate strategies | MULT\_REST | Multi-strategy real estate fund | real estate – multi-strategy |  |
| REST | Real estate strategies | OTHR\_REST | Other real estate strategy | real estate – other type of strategy |  |
| FOFS | Fund of fund strategies | FOFS\_FHFS | Fund of hedge funds | fund of fund – fund of hedge funds |  |
| FOFS | Fund of fund strategies | FOFS\_PRIV | Fund of private equity | fund of fund – fund of private equity |  |
| FOFS | Fund of fund strategies | OTHR\_FOFS | Other fund of funds | fund of fund – other type of fund of funds |  |
| OTHR | Other Strategy | OTHR\_COMF | Commodity fund | other – commodity fund |  |
| OTHR | Other Strategy | OTHR\_EQYF | Equity fund | other – equity fund |  |
| OTHR | Other Strategy | OTHR\_FXIF | Fixed income fund | other – fixed income fund |  |
| OTHR | Other Strategy | OTHR\_INFF | Infrastructure fund | other – infrastructure fund |  |
| OTHR | Other Strategy | OTHR\_OTHF | Other fund | other – other fund |  |

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| If the compartment of the AIF is a feeder AIF, the following information on the master AIF must be filled in: | | | |
| 21 | Name of the master AIF | Y |  |
| 22 | Nationality of the master AIF | Y |  |
| 23 | National code of the master AIF | N |  |
| 24 | LEI code of the master AIF | N |  |
| 25 | Date of incorporation of the master AIF | Y |  |
| 26 | Address of the master AIF | Y |  |
| 27 | Name of the AIFM of the master AIF | Y |  |

**2) Information to be provided for each additional compartment**

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| 3) Additional documents: |

In addition to the form Ib, the following documents must be submitted:

1. the most recent version of the articles of incorporation, if the CSSF is not in possession of it;
2. an offering document, if such document exists;
3. the most recent annual report of the AIF ("annual accounts") in the event that such a report has already been prepared.

The Ib form is to be submitted together with the documents listed above to the CSSF directly via eDesk ([eDesk portal](https://edesk.apps.cssf.lu/edesk-dashboard/dashboard/getstarted?lng=en)).